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Measuring and modeling of soil thermal properties and ground heat flux at two different sites at Lena Delta, Siberia

Diploma thesis

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"Doubt is the beginning of wisdom."

Aristotle

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I List of abbreviations, symbols, constants

a.r.l.	above river level
a.s.l.	above sea level
CCSM	Community Climate System Model
CLM	Community Land Model
e.g.	for example
et al.	and others
f.	following page
ff.	following pages
GCM	General Circulation Model
GST	ground surface temperature
HadCM3	Hadley Centre Coupled Model version 3
i.e.	this means
MAAT	mean annual air temperature
MAGT	mean annual ground temperature
MATLAB	Matrix Laboratory
MOSES	Met Office Surface Exchange Scheme
SOCC	soil organic carbon content
ТОС	total organic carbon
vol.%	volumetric percent
WRB	World Reference Base for Soil Resources

$oldsymbol{eta}_{ai}$	fraction parameter [-]
Cv	volumetric heat capacity [MJ m ⁻³ K ⁻¹]
C _h	specific heat capacity [MJ kg ⁻¹ K ⁻¹]
d _h	thermal diffusivity [m² s⁻¹]
f _n	weighting factor [-]
f _{sc}	soil organic fraction [-]

iv

φ	porosity of sample [vol.%]
Kc	thermal conductivity of the continuous phase [W m ⁻¹ K ⁻¹]
K _{dry}	soil dry thermal conductivity [W m ⁻¹ K ⁻¹]
K _{dry, min}	soil mineral dry thermal conductivity [W m ⁻¹ K ⁻¹]
K _e	Kersten number [-]
K _h	soil thermal conductivity [W m ⁻¹ K ⁻¹]
Ks	solid soil thermal conductivity [W m ⁻¹ K ⁻¹]
K _{s,min}	mineral soil solid conductivity [W m ⁻¹ K ⁻¹]
K _{sat}	soil saturated thermal conductivity [W m ⁻¹ K ⁻¹]
m _{ds}	mass of dried sample [g]
<i>m</i> _f	mass of foil [g]
m	mass of ice content [g]
m_t	total mass of sample [g]
m _w	mass of wet sample [g]
m _{wf}	mass of wet sample + foil [g]
$ ho_{b}$	bulk density [kg m-3]
$ ho_{sc}$	soil carbon density [g cm⁻³]
$\boldsymbol{\rho}_t$	total density of sample [g cm-3]
SOCC	soil organic carbon content [kg m ⁻²]
Т	temperature [°C, K]
$T_{meas}(z, t)$	measured temperature in a certain depth over time [°C]
$T_{mod}(z, t)$	modeled temperature in a certain depth over time [°C]
θ_A	volumetric air content [-]
Θ_l	volumetric ice content [-]
$ heta_{\circ}$	volumetric organic content [-]
θ_{s}	volumetric solid content [-]
$ heta_{sat,}$	volumetric water content for saturated soil [-]
$ heta_{sat, min}$	volumetric water content for mineral soil [-]
VA	volume of air content [cm ³]
Vı	volume of ice content [cm ³]
Vo	volume of organic content [cm³]
Vs	volume of solid soil content [cm ³]
V_t	total sample volume [cm ³]
Wi	mass fraction ice [-]
Wo	mass fraction organic [-]
z	vertical coordinate [m]

C _{h,a}	specific heat capacity air = 0.00072 MJ kg ⁻¹ K ⁻¹
C h,i	specific heat capacity ice = 2.0 MJ kg ⁻¹ K ⁻¹
C _{h,o}	specific heat capacity organic = 2.5 MJ kg ⁻¹ K ⁻¹
C h,s	specific heat capacity solid material = 2.4 MJ kg ⁻¹ K ⁻¹
Es	smoothing parameter = 4
K _{dry,sc}	thermal conductivity dry organic soil = 0.1 W m ⁻¹ K ⁻¹
K _{h,a}	thermal conductivity air = 0.025 W $m^{-1} K^{-1}$
K _{h,i}	thermal conductivity ice = 2.2 W $m^{-1} K^{-1}$
K _{h,o}	thermal conductivity organic = 0.25 W m ⁻¹ K ⁻¹
K _{h,s}	thermal conductivity solid = 2.92 W m ⁻¹ K ⁻¹
K _{liq}	thermal conductivity liquid water = 0.6 W m ⁻¹ K ⁻¹
$\rho_{\scriptscriptstyle A}$	density of air = 1.29 kg m ⁻³
ρ_l	density of ice = 917 kg m ⁻³
$ ho_{\circ}$	density of organic = 1300 kg m ⁻³
$ ho_{s}$	density of solid content = 2650 kg m ⁻³
$ ho_{ m sc,\ max}$	maximum soil carbon density = 1.3 g cm ⁻³
$ heta_{sat,sc}$	volumetric water content for saturated organic soil = 0.9
$\boldsymbol{\theta}_{i0}$	volumetric ice content, where water starts to affect soil thermal
	conductivity = 0.15
$\boldsymbol{ heta}_{liq}$	volumetric liquid water content, assumed 0 for analysed soils

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1 Introduction – state of the art

Permafrost areas have been under observation for a long time. Due to their special appearance in terms of landscape elements such as pingos or polygonal tundra they are of interest for geomorphologists. For the construction of buildings and roads or pipelines a detailed knowledge about the ground is necessary. A very specialised vegetation and an even more adapted fauna with many interesting microbiological processes are of great interest for biologists. If nothing else, permafrost areas are fascinating because of their treeless vastness – in the very north – and their hostile environment.

Arctic and high-Arctic regions are complex ecosystems that respond quickly to changing ambient conditions. During these days permafrost regions are spotlighted mainly in terms of global climate change. That is because climate change would affect those extreme areas in a much more intense way than other regions (ROMANOVSKY ET AL. 2007). Especially the topic of a release of stored carbon in the frozen soils is discussed by many authors. According to POST ET AL. (1982) the upper 100 cm of tundra soils contain about 14% of the worlds total soil carbon content. More recent studies were performed by TARNOCAI ET AL. (2009) who estimates that approximately 33% of the global organic carbon is stored between 0 and 100 cm depth in tundra soils. Added to this organic content there is a currently frozen, thus immobile fraction of organic material stored in the deeper soil layers. If permafrost thaws, this large carbon storage becomes potentially accessible to increased microbial decomposition which presumably leads to increased production of green house gases. Hence, it is conceivable that large permafrost areas convert into considerable atmospheric carbon sources under a warming climate. Due to this potential feedback mechanisms it seems to be crucial to include permafrost in all projections of future climate.

During the last decades there has been great effort to develop general circulation models (GCM) which are able to predict future scenarios of the atmosphere under changing climate conditions. Despite its potential importance in the global carbon cycle, permafrost is yet not included in the land atmosphere schemes of recent GCMs. According to the third report of the Intergovernmental Panel on Climate Change (IPCC 2001: 491) "there has been limited progress towards developing a permafrost model for use in climate models". One of the major challenges is to close the gap between the GCMs and the existing regional permafrost models. Efforts in this direction had been made, among others, by STENDEL ET AL. (2007). The following fourth IPCC report (2007) contains a whole section discussing Arctic and Antarctic processes related to global climate. KITABATA ET AL. (2006) predict in this report a poleward moving permafrost line with a 50% reduction of the recent ground ice volume by 2030. Furthermore ANISIMOV & RENEVA (2006) used the output of five different GCMs to predict the future permafrost distribution in Russia. All models showed different results which was mainly caused by uncertainties in the input parameters (ANISIMOV & RENEVA 2006).

For modelling permafrost and its response to a changing climate the soil thermal properties are crucial parameters. Those properties include the thermal conductivity, the thermal diffusivity and the heat capacity of the ground. The thermal conductivity is the ability of the soil to conduct heat, the heat capacity of a soil describes its ability to store heat and the thermal diffusivity is the quotient of those two parameter. However, in the vast Arctic region data on thermal soil properties are only sparsely available.

The soil heat capacity can be directly inferred if the soil composition is known. However, the determination of the thermal conductivity is very difficult, as it not only depends on the soil. Other parameter are for example the vegetation cover of the soil, water movement within the soil or the sun radiation. Especially lateral water movement processes are hard to quantify. Therefore, the soil thermal conductivity is often parametrized according to accessible information on the soil. Many authors have developed model approaches and calculations (e.g. GOODRICH 1980, LUNARDINI 1998, ZHANG & KUSHWAHA 1998). For example HINZMANN ET AL. (1998) developed a model simulating thermal processes in both the active layer and the underlying permafrost. GUYMAN ET AL. (1980) developed a model for calculating freezing processes and GORI (1983) designed a model to predict the thermal conductivity of unsaturated frozen soils. A good overview about different models currently in use is given by RISEBOROUGH ET AL. (2008).

It is the objective of this work to show the differences of various models for simulating the thermal properties of frozen ground. The focus rests on determining the thermal conductivity, which is essential for the heat transport. The model results are compared to each other and to measured data of heat diffusivity and by that the heat conductivity. The models try to simulate the grounds thermal conductivity in two different soil cores. Those cores are taken from two islands in the Lena Delta in Siberia by scientists of the Alfred-Wegener-Institute in Potsdam.

The thesis is subdivided as followed: The second chapter includes a definition of permafrost and processes that are related, followed by a description of the two dealt with study sites in the Lena Delta in chapter 3. The used methods in this work are explained in chapter 4, while the results of the measurements are given in the next chapter 5. Finally section 6 deals with the discussion of the results and in the end part 7 gives a conclusion.

2 Fundamentals

2.1 Permafrost

According to Davis (2001: 2), permafrost is ground which has a "temperature lower than 0 °C" for "at least two consecutive years", even over summer. This shall be understood without "glaciers and ice caps" (HARRIS 1986: 1). FRENCH (2007: 83) widens the temperature range to: "at or below 0 °C". This doesn't necessarily mean that the water in permafrost is already frozen at 0 °C. Because of higher pressures or salinity rates water may stay unfrozen even with lower ambient temperatures. At the same time the presence of water – or ice – is not necessary to define permafrost. The Antarctic permafrost for example contains "little or no ice" (FRENCH 2007: 83).

The upper part of the permafrost is called the active layer because it thaws in summer and refreezes in autumn. It contains the roots of the vegetation and water movement can take place. The lower part is the permafrost which stays frozen over the whole year. Its depth varies due to different variables that will be described in the following sub chapter. Within permafrost, patches of unfrozen soil may exist, the so called talik, which is depicted in fig. 1. Taliks can be found in different sizes and locations, especially beneath rivers and lakes in permafrost areas because of warming effects due to the superimposed water masses. Changing ambient conditions such as water content, salinity, pressure or temperature are further reasons for taliks to form. These factors are influenced by properties of the soil and the overall environmental conditions.



Fig. 1: Illustration of permafrost components (modified after: FRENCH 2007: 84).

2.2 Distribution of permafrost

Most of the recent global permafrost can be found in the northern hemisphere; approximately 22% of the land surface are underlain by frozen soil (BROWN ET AL. 1998). The circumpolar countries, such as Russia, Canada, the U.S. or Norway, have different percentages of their area being underlain by permafrost. Those are, according to the CANADIAN ENCYCLOPEDIA: Russia with 50% of its area underlain by permafrost, Alaska with 80% and Canada with 40 to 50%. Fig. 2 shows the current permafrost distribution on the northern hemisphere and the projected permafrost boundary by the year 2100. This boundary was simulated by using the five models of the ACIA report (2005).

Several factors limit the formation of permafrost, which can roughly be classified in "climatic and terrain factors" (HARRIS 1986: 60).

Latitude and by that the angle of the sun radiation affect temperature and precipitation – the main climatic factors. Snow for example plays a major role in insulating the

ground from the cold winter temperatures, so both the amount and duration of snow cover affect the formation of permafrost. Of importance for the development of permafrost is also the mean annual air temperature (MAAT), although some authors, such as HARRIS (1986: 60), state that the distribution of permafrost "does not correlate too well" with the MAAT. This demonstrates the influence of factors other than the local temperature conditions.



Fig. 2: Distribution of permafrost on the northern hemisphere (source: BROWN ET AL. 1998). The dotted line marks the predicted permafrost distribution in 2100 (source: ACIA 2005).

As terrain factors count the "local relief, vegetation, hydrology [and] nature of the soil or rock" (HARRIS 1986: 60). Topography is important, especially in the mountains where

permafrost is usually thicker on the north facing hill due to lower insulation. Mountains also have an effect on the local climate, such as building cold traps and sun exposed spots, which again influences local vegetation. Vegetation on the other hand insulates the ground from hot summer temperatures. It therefore plays a major role in the non Arctic desert zones (HARRIS 1986). The interaction of all limiting factors defines the spreading of permafrost on the land surface.

There is also a limitation of the depth of permafrost. Due to the geothermal gradient permafrost can only be built up to a certain depth. This gradient varies in space, depending on the heat conductivity of the underlain material (WILLIAMS & SMITH 1989). It is therefore difficult to estimate the depth of permafrost only by surface temperatures without considering the geothermal gradient (LACHENBRUCH & MARSHALL 1969).

Additionally there is some sub-sea permafrost on the continental shelves in the Arctic Ocean which developed during the last glaciation when the water level was much lower. Later, with rising water level, the shelves were flooded and the permafrost was conserved under water (HARRIS 1986). Although the temperatures are below 0 °C this type of permafrost contains a lot of fluid water instead of ice, due to the salinity of the ocean water (DAVIS 2001). This soil is called permafrost because of the defining condition of year-round temperatures below 0 °C, even though the water in this soil is not frozen at this temperature (WILLIAMS & SMITH 1989).



Fig. 3: Simplified permafrost development from north to south. Depicted is the example of Canada (modified after: FRENCH 2007: 71).

The distribution of permafrost decreases in the northern hemisphere from north to south. In the high Arctic and Arctic regions there is continuous permafrost (underlying 90-100% of the landscape), where soil is frozen – up to 1500 m in depth (Siberia) – and the active layer is very shallow. Going south, the depth of the permafrost body decreases while the depth of the active layer increases. Discontinuous permafrost (50-90%) follows in southern direction, where taliks exist between blocks of permafrost. In the southern part of the permafrost area there is sporadic permafrost (0-50%) where permafrost patches become smaller and taliks increase in size. The distribution from north to south is shown in fig. 3 on the example of Canada. The southern-most distribution of permafrost is the so called mountain permafrost; this can be found in low latitudes at high altitudes, respectively in the mountains (WILLIAMS & SMITH 1989, BROWN ET AL. 1998).

Due to the arrangement of land and sea on the southern hemisphere permafrost can only be found at high altitudes in the Andes and on the Antarctic continent.

All over the permafrost regions of the northern hemisphere a degradation of permafrost has been reported during the last decades. Those include Russia (Romanovsky ET AL. 2010), Canada (NELSON 2003), Alaska (JORGENSON ET AL. 2001) and the Tibetan Plateau in China (Yang ET AL. 2010). Therefore there is the need of models for simulating the extension of permafrost. Those models are then used to predict the future evolution of the permafrost areas. A comprehensive summary of those models is given in the ACIA report (2005). Other authors, such as DELISLE (2007), predict smaller degradation rates.

2.3 Cryogenic processes

2.3.1 Segregation ice

When the temperature of the ground drops below 0 °C, water in the pores starts to turn into ice. Due to the affinity of all elements to reach the lowest level of energy and the existence of a temperature gradient in the soil, water moves to the coldest areas and freezes there, emitting freezing energy and therefore dropping to a lower energy level. This movement is called cryosuction, i.e. the water is drawn through the soil towards the freezing zone (WILLIAMS 1988). The amount of ice depends on several factors, for example the content and conductibility of water in the soil, the temperature gradient and the pore size. Silty soils tend to have the highest rates of cryosuction because of good water movement properties and medium pore sizes. If the pore sizes are bigger,

e.g. in sandy soils, the ice forms in situ in the pores and builds up a compact block of frozen soil, whereas ice lenses tend to form in silty soils (GÜNTHER 2009).

The larger the temperature gradient the heavier the suction, which can be up to 120 atm. The freezing water builds up lenses containing pure ice, the so called segregation ice (Davis 2001). There are several theories of explaining the formation of segregation ice, which are illustrated in detail by Davis (2001).

2.3.2 Frost heave

The frost heave is a part of the cryoturbation processes. It is defined as "soil movement due to frost action" (FRENCH 2007: 144) and therefore contains all motions of particles and water or ice within the soil which are caused by thawing and freezing based on temperature changes. According to FRENCH (2007: 144) "the water-ice phase change is necessary for cryoturbation".

There is a distinction between primary, or initial, frost heave and secondary, or continuing, frost heave (WILLIAMS 1988). The primary heave usually occurs during freeze-back of the soil in autumn and is linked to the increasing volume of the water turning into ice while developing ice lenses or ice layers. It takes place at the freezing front, which continues moving downwards with time and therefore can mostly be found in the active layer (DAVIS 2001). The water freezes not completely at 0 °C, however, but stays partly liquid. Therefore frozen soils can be seen as permeable; they allow water to move through, which is one major reason of building up ice lenses (see chapter above) (WILLIAMS 1988). The primary frost heave is a quick process which tend to have highest rates of ground lifting during the first hours of freezing. The secondary heave takes place later in winter and is not clearly understood yet. Due to the permeability of ice moisture can travel through and build up ice lenses way "behind the freezing front" (FRENCH 2007: 54). This heave is a slower but more intense process than the primary one and is therefore able to build up large congregations of ice (Davis 2001).

Because of the volume expansion of freezing water (about 9%) the water in the soil may cause an uplift of the ground. The heave normally veers towards least resistance and at right angle to the ice layers (WILLIAMS & SMITH 1989). This elevation can be described as "the expansion of the soil due to ice which forms by accumulation of water drawn to the freezing zone from adjacent unfrozen material" (WILLIAMS 1988: 493). Because of the higher pressure of the ice, the soil is lifted up and can move large objects such as stones, pipelines, streets or even houses. Regions with the highest rates of

frost heave tend to be those with silty soil material because of good water availability and particle size distribution, that enhances the formation of ice congregations (Davis 2001).

3 Study site description

3.1 The Lena Delta

Location

The Lena Delta (72°-74°N, 123°-130°E) is located at the Laptev Sea in the north eastern part of the Russian Federation, more precisely in the constituent Yakutian Republic, Siberia (see fig. 4). The Laptev Sea is part of the Arctic Ocean and the delta forms the intersection between continent and ocean. The size of about 32 000 km² makes it the largest delta in the Arctic and one of the largest in the world (GORDEEV & SHEVCHENKO 1995). The north-south stretch is about 150 km and the west-eastern dimension approximately 230 km. There are more than 1 500 islands in the delta, separated by channels and branches of different size. The land area of the delta is underlain by permafrost with depth ranging between 500 and 600 m (GRIGORIEV 1960).

Climate

The climate of the Lena Delta can be characterised as high-Arctic and highly continental with low temperatures, due to the high latitude, and low precipitation despite the closeness of the Arctic Ocean. The low precipitation is mainly caused by the distance to the Atlantic Ocean and the barriers of the mountains in the south-east of the delta that shield the Lena Delta from the Pacific Ocean (GüNTHER 2009). The climate station in Tiksi, approximately 110 km south-east of the delta on the mainland, records a mean January temperature of -33.3 °C and +7.0 °C for July (BOIKE ET AL. 2003). The mean annual air temperature during the 30-years-measurement period from 1961 to 1990 is -13.6 °C (ZUBRZYCKI ET AL. 2008). Total annual precipitation is about 125 mm including very low winter snowfall with less than 40 mm (BOIKE ET AL. 2003). Snow melt usually starts in the beginning of June. The snow free period lasts from the middle of June to mid-September (LANGER 2010) which also represents the growing season. During this time more or less 45% of the annual precipitation is falling as rain (KUTZBACH 2005). In summer maximum temperatures reach about 20 °C. Polar day lasts from mid-May to beginning of August. Winter temperatures drop to about -45 °C and the polar night lasts from mid November to end of January (LANGER 2010).



Fig. 4: Location of the Lena Delta within the Russian Federation and location of the two study sites (source: ESA, Russia Map).



Fig. 5: Mean annual discharge of the Lena near the island of Stolb in the Lena Delta (source: ARCTICNET 2011).

The Lena River has its source in the Baikal Mountains near the Baikal Lake and is almost 4 500 km long before flowing into the Laptev Sea. The River drains a total area of 2 490 000 km² and is therefore the largest drainage channel of Siberia. The annual discharge is 520 km³ of water (LARA ET AL. 1998) and the highest stream flow can be measured in June (see fig. 5). More than 1/3 of the total annual discharge is released during this time. This phenomenon is con-

nected to the snow melt in the catchment area (YANG ET AL. 2002). Due to late thawing of ice in the northern part of the river, ice barriers block the outflow and retain the water. This leads to oscillations in the water level in the southern parts of the Lena up to 10 m (GUNTHER 2009). This event usually occurs during the Lena ice drift in mid-June (LANGER 2010).

There are four major branches flowing through the delta. The largest one is called Trofimovskaya branch and carries about 61% of the total annual water discharge. It is flowing in eastern direction. The second one, the Bykovskaya branch, transports 25% of the water in north-eastern direction. The Tumatskaya and the Olenyokskaya branch, flowing to the north and the west, respectively, both transport about 7% (Schwamborn ET AL. 2002).

Geology

The geological basement of the Lena Delta is divided in many different units which form a complex structure. There are many blocks of different height that form the fundament of the delta, overlain by younger river sediments such as sands. In the southern part of the delta the basement can be found at the ground surface whereas it is buried up to 3 000 m deep beneath sediments in the north-eastern part (GUNTHER 2009). The younger sediments level the structure of the basement almost everywhere. Nevertheless there remain open trenches that form some of the big branches through the delta like the Olenyokskaya branch. On the other hand there are elevations of the basement such as the Arga Muora Sise (GUNTHER 2009).

Geomorphology and soils

The Delta of the Lena River is spreading widely due to the northward decreasing tractive force of the river; sedimentation rates are about 21 mio. t a^{-1} (GÜNTHER 2009). One can distinguish between 3 different terraces. The first one rises between 1 and 12 m a.s.l. and is covering most of the eastern part of the delta. This youngest terrace is assumed to form the active part of the delta. The western part, dominated by the Arga Island, consists of sandy islands with an elevation of 20 to 30 m a.s.l. and represents the second terrace. The third terrace then rises from 30 to 55 m a.s.l., and is formed by sandy sequences overlain by an ice complex. This terrace is found in the

southern and south-eastern part of the delta and is the oldest terrace, formed during the Late Pleistocene (Schwamborn ET AL. 2002). The area of the Lena Delta was not glaciated during the Quaternary (Galabala 1997).

Due to rough and cold environmental conditions, the process of soil formation is slow. The overall production of biomass is low and the produced organic material is mainly stored in the soil and only partially decomposed by microbes. Typical soil types for the Lena Delta are, according to the WRB classification, Cryosols (ZUBRZYCKI ET AL. 2008).

Vegetation

Due to the cold environment and the rough wind conditions there are few but highly adapted species of plants. Different kinds of mosses, lichens and herbs are spread on the drier parts of the islands whereas peat is growing in the wetter areas. Due to high wind speed shrubs are dwarfish developed (GüNTHER 2009). According to a vegetation classification by SCHNEIDER ET AL. (2009: 383) almost 50% of the area of the Lena Delta is covered with "wet sedge- and moss-dominated tundra". This includes water saturated substrates with hydrophilic vegetation (SCHNEIDER ET AL. 2009). This correlates with the circumpolar Arctic vegetation map developed by WALKER ET AL. (2005) who describes the vegetation in the Lena Delta mainly consisting of sedges, mosses and dwarf shrub wetland.

3.2 Samoylov

Location

The island of Samoylov is located in the upper part of the Lena Delta, at 72°22'N and 126°30'E and covers an area of 4.3 km² (see fig. 4). Nearby is the fork of two of the main channels of the delta, the Bukovskaya and the Trofimovskaya branch. Fig. 6 shows an aerial picture of Samoylov.



Fig. 6: Aerial picture of Samoylov Island. The first terrace is visible on the right with the typical polygonal ground structure and lakes (source: BOIKE ET AL. 2007).

Geomorphology

The island can be divided into two major units: the floodplain (0 to 4 m a.r.l.) in the western part (3.4 m²) and a higher-elevated part in the east (1 to 12 m a.r.l., 4.1 m²), representing the first terrace explained above. The floodplain is overflown with water from the Lena River annually during the spring flood in June whereas the eastern part is only flooded during occasional high-water events (ZUBRZYCKI ET AL. 2008).

The first terrace on Samoylov island features a polygonal surface structure that is characteristic for wet tundra landscapes. The polygons have sizes between 25 and 100 m² and consist of dry, slightly elevated rims and a depression in the centre that is mostly wet and contains small ponds or water-saturated soils with peat. The rims are between 0.2 and 0.5 m elevated above the centres of the polygons (Langer 2010). The polygonal structure is formed by ice wedges that occur beneath the surface of the rims (FRENCH 2007).

Soils

The soil temperatures on the island of Samoylov are very low. The depth of zero annual amplitude of temperatures, which marks the depth were seasonal temperature changes are not visible any more, occurs approximately at 15 m with temperatures around -10 °C. During summer time the soil thaws up to a depth between 0.4 and 0.5 m (LANGER 2010).

The western floodplain shows no soil formation and mainly consists of sands. The first terrace in the eastern part of the island shows a soil pattern following the polygonal surface structure. The soils were formed during the Holocene end experienced no major disturbances in their development. The wet centres of the polygons consist of gley-ic-histic cryosols and comprise silty sands with thick accumulations of organic material under chemically reducing conditions. The porosity of the soil is quite high. The drier rims consist of gleyic-turbic cryosols and show a silty and/or loamy sand composition (LUDIN 2010). The organic cover is much thinner on the rims and organic material is under oxic conditions due to the lower water level. The soil structure of the rims is much more mixed than the one of the polygon centres. Due to cryoturbation processes there are no stratified layers as there are in the centres (KATTENSTROHT 2009). A more detailed description of the soils on Samoylov is given in RACHOLD (1999).

Vegetation

About 95% of the islands surface is covered with a moss/lichen layer which grows up to 5 cm height. Only 30% are covered with vascular plants that grow up to 20-30 cm

(KUTZBACH ET AL. 2004: 348). There are differences in vegetation cover between the wet centres of the polygons and the rims. The centres and the edges of the ponds are covered with hydrophytic sedges and mosses whereas the rims are dominated by mesophytic dwarf shrubs, mosses and forbs – only few of the species from the centre are growing on the rims and the other way round (KUTZBACH ET AL. 2004).

3.3 Kurungnakh

Location

The island of Kurungnakh is located in the southern part of the delta (72°20'N, 126°18'E) close to the Olenyokskaya branch, the major western outflow channel of the delta (WETTERICH ET AL. 2008). It covers an area of about 330 km² (GÜNTHER 2009). The location of the island is marked on fig. 4.

Geomorphology

The central part of Kurungnakh is part of the third river terrace, deposited during the late Quaternary. Some of the boundary sections are part of the first terrace. The island rises up to 40 m a.r.l. There are two main formations of sediments distinguishable: a sandy formation and an ice-rich complex that covers the sandy deposits. The Ice Complex, or Yedoma Suite, consists mainly of peat and silt and is frequently interrupted by thermokarst depressions of different sizes (GÜNTHER 2009). Those depressions are also called alas (FRENCH 2007).

According to CZUDEK & DEMEK (1970: 103) thermokarst "is the process of melting of the ground ice accompanied by local collapse of the ground surface and the formation of depressions". A disturbance of the thermal equilibrium within the permafrost causes thermokarst development; this process is shown in fig. 7 using the example of Kurung-nakh.

The initial state of alas is shown in fig. 7 (1). The landscape is covered with polygonal tundra and low centre polygons. Then the ice wedges beneath the rims start to thaw, e.g. by removing the organic layer from the surface, which leads to the development of high centre polygons. The areas of the former ice wedges are filled with water and start to affect the surrounding material: by warming the soil, ground ice thaws. The second stage, fig. 7 (2), shows the thawed polygons and the resulting thermokarst lake. The material beneath the lake stays unfrozen and is therefore called talik. The process of

thawing the surrounding material continues with time, both vertically and laterally. The mature state of the thermokarst development is shown in fig. 7 (3). The water-filled depression is as deep as the Ice Complex and the material beneath does not refreeze in greater depths.



Fig. 7: Thermokarst development in Yedoma landscapes, using the example of Kurungnakh: scheme cross section is on the right, scheme plane view on the left (source: MORGENSTERN ET AL. 2011: 1545).

The eroded material from the edges of the lake is deposited at the bottom and is called taberit. Due to rain events and resulting development of drainage channels the thermokarst drains, sometimes only partially, visible in fig. 7 (4). A small amount of water remains. The material at the surface refreezes and a second generation of polygonal tundra is developing. In the fifth stage (fig. 7 (5)) a new ice core beneath the remaining thermokarst lake results in building up a pingo (GÜNTHER 2009, CZUDEK & DEMEK 1970, MORGENSTERN ET AL. 2011).

A detailed description of the Ice Complex and the cryostratigraphy on Kurungnakh is given by Wetterich et al. (2008), Morgenstern et al. (2011) and Schirrmeister et al. (2011).

Soils and vegetation

The soils mainly consist of sand as on other islands in the delta and are therefore relative dry. This leads to sites with xerophytic vegetation, mainly consisting of herbs with low need for water (KUZMINA & SHER 2006). The uppermost soils are characterised by SCHIRRMEISTER ET AL. (2010: 7) as "Holocene peaty cryosols". Within the ice complex which consists of ice bands and ice veins there are sand and peat lenses. ZUBRZYCKI ET AL. (2008) refine the soil types to glacic aquiturbels and aquic histurbels.

The soils in the alas depressions were formed during the Pleistocene. Due to the thermokarst development they were compacted. Nowadays these Pleistocene soils are reshaped with Holocene soil forming processes (MORGENSTERN ET AL. 2011).

4 Methods

4.1 Field work

4.1.1 Soil sampling

Existing methods

There are several ways of taking drilling cores. Depending on the intended depth of the core and the material that is to be penetrated first choice is between hand drilling or using technical support in terms of a motorized drill.

Hand drilling tools are for example the 'Pürckhauer drill' for short and small cores to a maximum depth of 2 m, especially used for soils with no ice content or rocks that are easy to penetrate (AG Boden 2005). As seen in fig. 8 (A), a straight barre made out of steel is pushed in the ground by using a sledge and pulled out with a lever or a drawer. This drill supplies more or less undisturbed soil samples but due to the size of it the amount of sample is quite low (ECOTECH). There are various different drills that can be used by hand and work more or less in the same way. For penetrating



Fig. 8: Pürckhauer drill (A); sledge (B) and lever (C) (source: ECOTECH).

the ground also twisted drills can be used that have vanes to be screwed into the ground. For enlarging the depth of the taken cores bore rods can be added to the initial drill.

A second widely spread method is the use of a motorized drill. There, a drilling rod is attached to a small engine. This method will be explained more detailed in the follow-ing.
Used method

For penetrating frozen ground there is a lot of energy necessary. Hand drilling methods do not work for this reason, the ground is too compact. Due to the ice content the soil is hard to drill in. Therefore only motorized boring can be used.

Equipment

For drilling into permafrost a robust bore rod with a drilling head is needed. The deeper the core the longer the rods need to be. Then an engine, normally running with petrol, is attached to the rod and the core can be taken. Parts of the equipment are shown in fig. 9.



Fig. 9: Drilling equipment: (A) engine for penetrating the ground, (B) drilling head with sample (Photo: J. Boike 2009).

Previous work

Starting the coring includes the decision of the position of the core. This can be made before entering the field by using maps and/or satellite images. Anyway it can be necessary to change the position due to changed surrounding conditions.

It is important to make notes about the whole process of coring to be able to reconstruct the procedure later. Those notes include, for example, time and position of the drilling, constitution of the ground, thickness of a possible vegetation cover and the active layer. The more details available the easier the analysis of the core will be later. It even might be important to describe the weather conditions during the coring.

Taking the core

When drilling the bore head into the ground and removing it, a core can be taken. Usually when exploring permafrost the upper, non-frozen part is removed and not part of the core. Nevertheless this is depending on the research topic.

The drilling head is put on the frozen ground and driven by engine into the soil. Due to the given size of the bore head only small parts can be removed at one time.

Once the core is exposed to the surface the next steps need to be made quickly. Due to the higher temperatures at the surface the core starts to thaw immediately and since the diameter of the drilling equipment is not wide this thawing affects the whole core. The depth of the several samples is written down and then they are packed into sample bags with description of depth and direction of the core on them. A sample and its bag is shown in fig. 10 with the depth



Fig. 10: Sample bag with sample and note of depth and direction of core (Photo: J. Boike 2009).

marked on the yellow tape. Then the bags are put into a isolating box to keep them cold until they can be put into a freezer.

4.1.2 Borehole instrumentation

General knowledge

Temperature chains are measuring equipment that are installed to measure several temperatures over a longer period in a defined interval between the single sensors. They can be set up for example in water bodies or bore holes. The longer the measurement is set up the more reliable are the temperature values given out. The sensors are measuring a steady stratification of temperatures with increasing depth in the bore hole.

In the case of this work two temperature chains had been adjusted in permafrost boreholes on the islands of Samoylov and Kurungnakh.

Equipment

After drilling the cores a plastic tube is pushed into the remaining hole with a diameter of 10 cm to have contact with the surrounding soil material. This tube matches the length of the bore hole and finishes with the grounds surface. A second tube with smaller diameter but longer dimension is inserted into the first one. The two tubes – grey and green – are visible in fig. 11 (A) in the lower part. In between the two tubes sand or other soil material is put in for a coupling between the temperature of the ground material and the temperature in the tube. The second tube then is closed at the bottom so that no water can infiltrate. In this tube finally the temperature chain is brought in. The chain contains several Fig. 11: Installing of temeprature chain with (A) temperature sensors in defined intervals, depending on depth and desired preciseness. The upper most sensor is shown in



plastic tubes, (B) temperature sensor, (C) temperature chain and (D) the data logger; the red line marks the grounds surface (Photo: J. Boike 2009).

fig. 11 (B) and the chain itself in fig. 11 (C). It is important not to cram the chain in the tube but to reach the bottom exactly. Otherwise the depths of the sensors do not correlate with the depth of the bore hole and it is later impossible to locate the exact depth of the sensors.

At the end of the chain a data logger collects the data in a time interval that needs to be specified before by programming the logger. This logger is shown in fig. 11 (D), already isolated with foam plastic. The isolation is necessary since the logger responses to big temperature differences in the surrounding atmosphere and so data could be falsified. After setting the last sensor and the logger the smaller tube is closed at the top to avoid an infiltration of water. The closure of the tube is shown in fig. 12.

Measuring problems

The Arctic tundra is a very sensitive environment that responds to changes for example in vegetation cover very quickly. Due to the coring described in the previous chapter and the installing of the temperature chain on Kurungnakh island a problem showed up that had not been considered before. As a result of people walking around the bore hole the vegetation surrounding it had been destroyed. This is visible in fig. 11 where the area around the bore hole has a darker colour – visible in the foreground – than the ground further away – in the background. The picture had been taken when installing the chain. Figure 12 shows a photo that has been taken one year later.



Fig. 12: Surrounding of the bore hole on Kurungnakh one year after installing the temperature chain; the red line marks the former grounds surface (Photo: M. Langer 2010).

Due to the change in vegetation cover the land's surface lost parts of its ability to reflect radiation. Instead the ground absorbed more radiation than usual which led to an increased warming of the ground. The result one year later was a small thermokarst pond where the drilling took place and the ground had been disturbed. The ground settled down and the ice inside turned into water. This is visible when comparing the red marks in figures 11 and 12 that trace the grounds surface. The settling has an amount of 30 cm which lead to the result that the uppermost ground temperature sensor is no longer measuring the temperature of the soil but the one of air. This is visible when comparing those sensors during the measuring period.

4.2 Lab measurements

4.2.1 Importance of measuring the physical properties of the soil

Soil thermal properties are determined by using the composition of the soil and its structure within the soil column. Those physical properties are determined in the laboratory and include the particle size distribution, the ice content and the porosity, the organic content, the air content and the density.

The particle size distribution influences the ability of the soil to conduct heat just as the ice, the air and the organic content. Additional the ice content is needed to determine the volumetric solid content of the soil, which could not be measured itself. This was not possible due to the irregular shapes of the remaining solid contents after thawing the sample and the mixing of this content with organic material. If not defined different, the solid soil content refers here to the mineral content of the soil matrix.

The composition of all soil constituents influences the ability of the soil to store heat, or the heat capacity, respectively.

4.2.2 The particle size distribution

The particle size distribution had been measured by using a Coulter LS, a laser diffraction particle size analyzer. It distinguishes different grain sizes by using their different light diffractions and refractions. The intensity of the detected light after measuring is analyzed and plotted as the amount of particles in this specific range.

Following grain size ranges had been chosen: clay 0 - <2 μ m, silt 2 - <63 μ m and sand >63 μ m (AG Boden 2005). Although the instrument supplies more detailed analysis this simplified classification meets the needs of the following calculations and is accurate enough to get an idea about the particle size distribution in the core.

4.2.3 The soil ice content and porosity

Ice content

The ice content can be calculated by using:

$$\theta_{I} = \frac{m_{I}}{\rho_{I}} * V_{i}^{-1}$$
(4.2.1),

with θ_i being the volumetric ice content as a value between 0 and 1, m_i the mass of the ice content examined by weighting both wet m_w and dry mass m_{ds} of the sample, and ρ_i the density of ice. V_t then is the total volume of the sample.

Porosity

The porosity of the two soil samples is determined by using

$$\phi = 1 - \frac{V_s}{V_t} \tag{4.2.2},$$

with φ being the porosity as a number between 0 and 1, and V_s the volume of the solid soil content (including the volume of organic content). The volume of the solid soil content V_s is calculated by subtracting the volume of ice V_l and the volume of air V_A from the total volume, which is described in chapter 4.2.5; the following equation shows the calculation.

$$V_{s} = V_{t} - V_{I} - V_{A} \tag{4.2.3}$$

The volumetric air content θ_A and therefore the volume of air V_A within the sample could not be measured with the used methods. Therefore it is estimated to be between 0.0 and 0.1. For further calculations a fixed value of θ_A = 0.05 was used.

4.2.4 The soil organic content

The procedure of determining the organic content is a standardized lab method (S_{CHUMACHER} 2002). The sample is weighted and dried. After measuring the weight again the sample is put in an oven and heated by over 450 °C. At this temperature, the organic content is burned and after cooling the sample again the weight without the organic material can be examined. By using the different weights before and after the heating the organic content can be determined as mass fraction w_o of the soil. The organic weight content is the mass of the soils organic material per total sample weight. The volumetric organic content θ_0 is evaluated by

$$\theta_O = \frac{m_{ds} * w_O}{V_t * \rho_O} \tag{4.2.4},$$

with m_{ds} being the dried mass of solid material of the sample, w_0 the mass fraction of

organic and ρ_0 the density of organic. In this work the value of FAROUKI (1981b) for the density of organic (1.3 g cm⁻³) was used for calculations. The dry mass of the sample m_{ds} had been measured after thawing the frozen block of soil and drying it via freeze drying.

4.2.5 The soil carbon content

For calculating the soil organic carbon content (SOCC) the equation given by TARNOCAI ET AL. (2009) was used:

$$SOCC = w_0 * \rho_b * z * (1 - w_I)$$
 (4.2.5),

with ρ_b being the bulk density of the sample, described below, *z* the layer thickness and w_l the mass fraction of ice.

Since the analysed soil cores do not include the active layer, values from KUTZBACH ET AL. (2004) for the Samoylov core for the upper 54 cm of the soil were used. Due to the lack of measurement data, it was not possible to calculate the organic content for the active layer on Kurungnakh but is was done for the lower soil layers. Therefore the upper 30 cm of the soil of the Kurungnakh core are missing.

4.2.6 The soil density

Definition of density The density is given as

$$\rho_t = \frac{m_t}{V_t} \tag{4.2.6},$$

where ρ_t is the density of the whole sample (including ice) and m_t is the mass of the sample.

Note that the described soil density differs from the bulk density ρ_b which is defined as the dry mass of soil m_{ds} per total volume V_t (JURY ET AL. 1991).

Existing methods

There are several ways of measuring the density of soils described in literature. HARTGE (1988) for example used standardized soil tin cans which is the general method for field investigations, and is also used by the DECCW (2008). Another way is to cover the

sample with paraffin and then dip into a water basin using Archimedes' Principle: a body dipped in a fluid displaces the same mass of fluid as the body itself possesses. At the same time the displaced volume correlates with the volume of the body. Because of the irregular shape of the available drill cores the first method is not adaptive, the contamination of the sample which would take place in the second method makes it also not usable. However, the general idea of Archimedes Principle of water replacement had been adopted.

Measurement equipment

The container for measuring needed to have a scale for estimating both the volume before and after the sample is inserted. Because of the size of the samples no scale of a container that would be big enough to fit the samples is at the same time accurate enough. Therefore an overflow cylinder had been constructed, which was filled to a certain limit and the additional water could flow out and can be caught in a measuring cylinder.

The overflow cylinder was cut out of an old plastic bottle and tagged with a hole, where a plug with a flexible tube made



Fig. 13: Overflow-cylinder as equipment for density measurement (Photo: K. Fröb 2011).

out of Teflon had been tucked in (see fig. 13). Teflon was used because it does not absorb water and no water drops block the tube. At the same time the diameter of the tube was big enough to let the water flow trough. The already existing overflow cylinders were not adaptable because of the size of the upper opening, which turned out to be too small for the analysed samples.

Soil sample preparation

The soil samples had been taken from the cores by opening every plastic bag (method for taking soil cores see chapter 4.1.1) and extracting a part of the containing frozen soil. Ideally the middle part was used although it was not always possible. The frozen cores are very rigid and needed to be trimmed which had been done by using knives and a small saw. If a part on the edge of the sample was easier to cut off it had been

used; due to the frozen condition of the samples the extraction needed to be done quickly and for further investigations the impact on the rest of the sample had to be as small as possible. Using the saw for example started to melt small parts of the core due to frictional heat.

Note that the applied method is destructive to the sample.

Density measurement

For measuring the density the samples had been weighted in frozen condition, giving the wet mass m_w of the sample, then weld in thin foil, weighted again, giving out m_{wf} , and brought in the described overflow cylinder. The foil is necessary because of the avoidance of contaminating the sample. Because of the possible error according to the foil and the included air the whole sample had been vacuum-packed by using a vacuum pump. The overflowing water from the cylinder had been caught in a measuring cylinder and the volume was read out, giving V_t as value for every sample. The weight of the foil m_t had been examined by subtracting m_w from m_{wf} and was used later to examine the dried sample mass m_{ds} .

It was important to keep the samples under frozen condition. Therefore the measurements were performed in a cooling chamber at approximately 4 °C and only a few samples were taken out of the freezer at one time so that the samples did not have enough time to melt completely although the edges were affected. The water in the overflow cylinder was held at temperature around 0 °C. Outflow disturbance due to surface tension was avoided by adding a little bit of dish liquid to the water so that the water drained exactly at the edge of the Teflon tube.

Furthermore, the temperature dependence of the water density was accounted for in the measurements.

Possible sources of error

The obtained density values are subject to measurement errors, including both the mass and the volume determination. However, the combined error on the density is less than 1% assuming Gaussian error propagation. Consequently, the density error affects the calculations on the soil constituents.

Further errors are induced by the foil around the sample whose volume has not been taken into account nor the potential shortcomings in the vacuum between foil and sample. Those errors are difficult to quantify but assumed to be below the accuracy as determined above. Added to this the heterogeneity in the soil and therefore the uncertainties of values are much higher than the mathematical error. It needs to be considered that the variations in the core itself are higher than those calculated. Therefore a detection of density distribution with depth is possible, although variations are not significant.

4.3 Determination of soil thermal properties

4.3.1 The volumetric and specific heat capacity

The soil volumetric heat capacity c_v is the sum of the volumetric heat capacities of the single components $c_{v,n}$ occurring in the soil weighted by their volumetric content θ_n . The subscript *n* always refers to the several soil components:

$$c_{v} = \theta_{O} c_{v,O} + \theta_{I} c_{v,I} + \theta_{S} c_{v,S} + \theta_{A} c_{v,A}$$
(4.3.1).

The subscripts *O*, *I*, *S* and *A* refer to components of organic, ice, solid material and air. The volumetric heat capacities used in this work are given in table 1. They have been calculated by using:

$$c_{v,n} = \rho_n * c_{h,n}$$
 (4.3.2),

with ρ_n being the density of the soil constituent and $c_{h,n}$ its specific heat capacity.

4.3.2 The thermal conductivity from soil temperature data (Conduction method)

The conduction method was developed by WESTERMANN ET AL. (2009) and also used by LANGER (2010). It directly calculates the thermal diffusivity of a soil column which can be used in combination with the above described heat capacity to estimate the soils thermal conductivity.

By assuming a conductive 1-D-heat transport temperature changes are governed by the heat transfer equation as:

$$c_{h}(z,t)\frac{\partial}{\partial t}(T(z,t)) = \frac{\partial}{\partial z}(K_{h}(t,z)\frac{\partial}{\partial z}T(t,z))$$
(4.3.3).

By taking the specific heat capacity c_h and the heat conductivity K_h as constant in space equation (4.3.3) can be expressed as

$$\frac{\partial}{\partial t}T(z,t) = d_{h}\frac{\partial^{2}}{\partial z^{2}}T(z,t)$$
(4.3.4),

with

$$d_h = \frac{K_h}{c_h} \tag{4.3.5}$$

being the thermal diffusivity d_h of the soil column. For computation the thermal diffusivity three time series of temperature in a profile of different depths are needed. They may be called $T_{meas}(z_1, t)$, $T_{meas}(z_2, t)$ and $T_{meas}(z_3, t)$ with z being denoted as depth of the sensor and $z_1 < z_2 < z_3$. The boundary conditions for solving equation (4.3.3) are given by the two time series $T_{meas}(z_1, t)$ and $T_{meas}(z_3, t)$. The heat capacity and the thermal conductivity are considered to be equal for every calculated part of the soil.

The initial condition for solving the equation is assumed to be a linear interpolation between the two outer sensors, respectively the boundary conditions of $T_{meas}(z_1, t=0)$ and $T_{meas}(z_3, t=0)$. It is not necessary for the initial condition to be highly exact due to the fact that after a few time steps calculated values are getting independent from it. The numerical solution of equation (4.3.4) is performed in MATLAB and gives the modeled temperature distribution through the considered soil layer, including the middle temperature value $T_{mod}(z_2, t)$.

It is important to exclude the time series, where phase change occurs, i.e. from water to ice or other way round, because equation (4.3.4) does not account for it (Langer 2010b; WESTERMANN ET AL. 2009).

The model gives the thermal diffusivity d_h as output. By using equation (4.3.4) with physical properties such as volumetric contents of the different soil components the thermal conductivity can be calculated with equation (4.3.5).

A least-mean-square fit for d_h can be performed by using $T_{meas}(z_2, t)$. Thereto the RMS error between $T_{meas}(z_2, t)$ and $T_{mod}(z_2, t)$ is minimized.

4.4 Modeling of thermal conductivity

4.4.1 Calculating the soil thermal conductivity using the de Vries method (1952)

The first of the presented methods for calculating the thermal conductivity of soils as a porous medium is the theory developed by de Vries (1952). The soil consists of volumetric fractions of water, air and a solid matrix, which again is subdivided in a solid, e.g. sand and/or clay, and an organic fraction (WESTERMANN 2010; DE VRIES 1975). Soil volume is considered much larger than the soil grains so that micro-scale heterogeneities average out. For simplification reasons the particles of the solid matrix are assumed to have spherical shape (DE VRIES 1975).

De Vries (1951) developed his model for a water-air system, i.e. the pores are filled either with water or air or both. In the present work there had been a generalization from water-air systems to air-ice systems. In the now applied system the pores within the soil are filled with either air or ice or both. The outcome of this are interfaces between air and ice. The same input parameters as for the water-air system then had been adapted to the air-ice system. This adaption was also successfully done by IPPISCH (2001).

To calculate the thermal conductivity of the described unit cell, the thermal conductivity of every fraction in combination with the volumetric amount of the fraction and a weighting factor was used. Equation (4.4.1) describes the computation:

$$K_{h} = \frac{\sum_{n} f_{n} \theta_{n} K_{h,n}}{\sum_{n} f_{n} \theta_{n}}$$
(4.4.1),

with $K_{h,n}$ being the thermal conductivity of the soil components, e.g. solid, ice, water or organic material, and θ_n being the volumetric content of the fraction. The weighting factor f_n describes the dimension of the impact of the fraction (DE VRIES 1975; WESTERMANN 2010). These are defined in the laboratory as described in the previous chapter 4.2. The conductivities for the pure components are available in literature, although having partially big ranges. Table 1 shows the employed values for all calculations:

soil component	thermal conductivity K _h [W m ⁻¹ K ⁻¹]	volumetric heat capacity c _v [MJ m ⁻³ K ⁻¹]
solid mineral	2.9	2.4
organic	0.3	2.3
air	0.025	9.26*10 ⁻⁴
ice	2.2	2.0

Table 1: Thermal properties of soil components (taken from: FAROUKI 1981b: 12)

The weighting factor f_n controls the influence of the different fractions and is calculated as followed:

$$f_{n} = \left[1 + \frac{1}{3} \left(\frac{K_{h,n}}{K_{c}} - 1\right)\right]^{-1}$$
(4.4.2)

with K_c being the thermal conductivity of the continuous phase, which connects the several fractions of the soil. This continuous phase is therefore important to define (FAROUKI 1981b; WESTERMANN 2010). As the soil particles are assumed to be spherical, only water, ice or air can form the mentioned phase. By taking a transition from air-filled to ice-filled pores into consideration, one must define K_c as

$$K_{c} = K_{h,a} + \beta_{ai} (K_{h,i} - K_{h,a})$$
(4.4.3)

with

$$\boldsymbol{\beta}_{ai} = \left[1 + \left(\frac{\boldsymbol{\theta}_i}{\boldsymbol{\theta}_{i0}}\right)^{-\boldsymbol{\epsilon}_s - 1}\right]$$
(4.4.4).

 $K_{h,a}$ and $K_{h,i}$ refer to the conductivities of air and ice, β_{ai} is the transition parameter, θ_i being the volumetric ice content and θ_{i0} a soil parameter related to the ice content in the soil, where ice "starts to affect thermal conductivity" (CAMPBELL ET AL. 1994: 308). ε_s is a smoothing parameter (WESTERMANN 2010). For both variables θ_{i0} and ε_s there are values given by CAMPBELL ET AL. (1994) for different soils; in this thesis $\theta_{i0} = 0.15$ which can be assumed for the soils found in the study area and is also used by WESTERMANN (2010). The smoothing parameter ε_s is set to 4.

Zero unfrozen water content had been assumed which is reasonable for the temperature encountered at the study site.

4.3.2 The Johansen model (1975)

The second method presented in this work is the modeling theory of Johansen (1975), shown in FAROUKI (1981b). The theory is based on the different composition of grain sizes in the soil, combined with the amount of organic. The grain sizes and their amount had been determined in the lab, described in chapter 4.2.2, just as the organic content, reported in chapter 4.2.4.

In the following equations only values were put in without units.

The Johansen calculation is based on "a combination of the dry K_{dry} and saturated K_{sat} thermal conductivity weighted by a normalized thermal conductivity" (LAWRENCE & SLATER 2006: 148), called the Kersten number, K_e . The two conductivities are depending on the soil type, especially on the grain size distribution and the organic material. For calculation the thermal conductivity K_h after Johansen's equation is used as followed:

$$K_{h} = K_{e} K_{sat} + (1 - K_{e}) K_{dry}$$
(4.4.5).

The organic fraction f_{sc} for every soil layer is defined as

$$f_{sc} = \frac{\rho_{sc}}{\rho_{sc,max}} \tag{4.4.6},$$

with ρ_{sc} being the soil carbon density and $\rho_{sc,max} = 1.3$ g cm⁻³ the maximum carbon density, given by LAWRENCE & SLATER (2006). Next, the volumetric ice content is calculated for the mineral soil, $\theta_{sat, min}$, by using

$$\theta_{sat, min} = 0.489 - 0.00126 (\% sand)$$
 (4.4.7);

the volumetric sand content is needed for calculation. The sand content refers to the percentage of grain size fraction and shall not be mistaken by using the volumetric sand content referring to the whole sample. Since equation (4.4.7) only refers to mineral content, the organic part of the soil is included with

$$\theta_{sat} = (1 - f_{sc}) \theta_{sat, \min} + f_{sc} \theta_{sat, sc}$$
(4.4.8)

by assuming $\theta_{sat,sc} = 0.9$ [-] (LAWRENCE & SLATER 2006). The dry mineral conductivity $K_{dry,min}$ is now calculated following JOHANSEN (1975):

$$K_{dry,min} = \frac{0.135\,\rho_b + 64,7}{2700 - 0.947\,\rho_b} \tag{4.4.9}.$$

The term ρ_b is the bulk density of the mineral soil and has to be calculated here with

$$\rho_b = 2700(1 - \theta_{sat, min})$$
 (4.4.10).

In combination with the calculated thermal conductivity of dry organic soils $K_{dry,sc}$ = 0.1 W m⁻¹ K⁻¹, performed by FAROUKI (1981b) and given by LAWRENCE & SLATER (2006) the dry thermal conductivity of the soil column can be calculated with

$$K_{dry} = (1 - f_{sc}) K_{dry, \min} + f_{sc} K_{dry, sc}$$
(4.4.11).

The saturated thermal conductivity on the other hand is given by

$$K_{sat} = K_s^{1-\theta_{sat}} K_{liq}^{\theta_{sat}} K_{h,i}^{\theta_{sat}-\theta_{liq}}$$
(4.4.12)

with K_{liq} being the thermal conductivity of liquid water and $K_{h,i}$ the conductivity of ice. θ_{liq} is the volumetric liquid water content, which is assumed to be zero in the present work. Therefore K_s , the soil solid thermal conductivity, can be described as

$$K_{s} = (1 - f_{sc})K_{s,min} + f_{sc}K_{h,o}$$
(4.4.13),

while defining $K_{h,o}$ = 0.25 W m⁻¹ K⁻¹ as the organic solid soil conductivity after LAWRENCE & SLATER (2006). The conductivity of the mineral part of the soil column $K_{s,min}$ is expressed as

$$K_{s,min} = \frac{8.8(\% \, sand) + 2.92(\% \, clay)}{(\% \, sand) + (\% \, clay)}$$
(4.4.14).

As it was shown, the method by Johansen is based on the grain size distribution and the volumetric organic content in the soil.

4.3.3 Conductivity after Endrizzi et al. (2011)

The last of the presented modeling methods here is the calculation of conductivities performed by $E_{NDRIZZI ET AL.}$ (2011). They used a simple equation to calculate the soil thermal conductivity K_h which on the other hand is adaptable to frozen soils. The following equation shows the computation

$$K_{h} = (\Sigma \theta_{n} \sqrt{K_{h,n}})^{2}$$
 (4.4.15).

The different constituents, presented by the subscript n, are "solid soil, liquid water, ice, air" (ENDRIZZI ET AL. 2011: 375f.). The thermal heat conduction of the different soil components is given in table 1.

5 Results

5.1 Samoylov

-20

-30

Jul



5.1.1 Soil temperature profile

-1.535 m (2)

-2.535 m (3) -4.035 m (4)

Sep

Oct

Nov

Aug

date Fig. 14: Temperature profiles from July 2009 to August 2010 of the different measuring depths of the Samoylov core including the surface temperature; depths are distances from 0 m downwards. GST is to be considered as ground surface temperature.

Jan

Feb

Mar

Apr

May

Jun

Jul

Aug

Dec

The duration of measuring the temperature profiles in the Samoylov bore hole is 13 months, starting from the 9th of July 2009 to the 20th of August 2010. The different development of temperatures with depth is clearly visible in fig. 14 with sensor at depth

(1) as the shallowest sensor having the largest amplitude and the sensor at depth (4) the smallest. With increasing depth a temperature signal is smoothed and needs more time to travel, therefore deviations showsup later the deeper the temperature sensor is located. The phase shifting between the sensors is noticeable; the maxima and minima in temperature are experiencing a time shift with increasing depth, as visible in the maxima of sensor at depth (1) during late November 2009 and the one from the sensor at depth (4) one month later. At those extreme points the gap between the several temperature sequences gets smaller with depth, e.g. the minimum in winter, where the difference between sensor at depth (1) and (3) accounts for 6 K whereas it is 3.5 K between sensor (3) and (4). Accordingly sensor (1) shows an deviation from the sinusoidal course during the second week of November 2009, which is also in a smaller scale visible in the deeper sensors at depth (2), (3) and (4) when enlarging fig. 14. This is related to a temporary increase in temperatures at the surface as recognizable when comparing the GST to those graphs. A second irregularity in GST is found during early February 2010 which again creates a signal also recorded by the deeper sensors.

The freeze back, i.e. the re-freezing of the soil in autumn where soil temperatures near to the surface drop beneath 0 °C, occurs from the last week of September until the beginning of October, more or less 10 days long. This is visible in the temperature step of the GST during that time where values are slightely under 0 °C. The thawing period lasts from 10th May to 7th June where data of GST balance around 0 °C after a steep increase of temperature on 9th May, where data jump up from -10 °C to -2 °C on 10th May. In the following GST shows an abrupt rise to values above 0 °C.

5.1.2 Soil physical properties

The Samoylov core shows no major variations with depth in all physical properties. The detected maxima and minima are nearly all within the range of uncertainty. A short description of the developing of values for the several properties is given in the following. The **grain size distribution** shows the following characteristics: the clay content, that was detected in the Samoylov core, is not significantly changing with depth; values range more or less around 5% and are overall low. The biggest fraction is the silt content with values ranking between 37 and 76%. The highest variations are found in the sand content with 18 to 60%. There is no trend with depth visible except the increase in variability.

The curve of the total organic content (TOC) shows, after a small minimum, a steep

increase of values which result in a peak at 140 cm depth with an organic content of 2.9 vol.%. This is the highest rate within the core. After that values drop quickly to 0.6 vol.% at 170 cm depth followed by a second but lower peak at 250 cm (2.0 vol.%) and a second decrease as far as 0.7 vol.%. Subsequently values for the TOC settle around 1.4 vol.% with small variations.

The **porosity** of the Samoylov core shows increasing values until depth 200 cm, followed by a decrease as far as depth 310 cm and a new slight increase. Data start from \sim 60 vol.% porosity, reach \sim 80 vol.% at maximum, drop to \sim 50 vol.% and rise again to \sim 70 vol.%.

The curve of the **ice content** follows the porosity exactly as nearly all pores are filled with ice (see chapter 4.2.3). The offset between the two curves is the air content in the pores. The values of the ice content increase until depth of 200 cm to a maximum of 73 vol.% of ice content in the core. With increasing depth variations become more significant.

The curve of the **density** trend shows a quite regular development. Values are relatively low, more precisely around 1.0 g cm⁻³, and therefore just about the density of ice. The values of the density are not significantly increasing with depth. There is no welldefined correlation to the grain size contribution. The small variations in density are caused by multiple factors, such as ice and organic content.



Fig. 15: Physical properties of the Samoylov core.



5.1.3 Calculation of conductivity

Fig. 16: Thermal properties for the Samoylov core calculated by using different models.

Conduction method

The conduction method provides data with small variations. The volumetric heat capacity, that is needed for calculation, is shown in fig. 16 a. Values are slightly over $2.0 \text{ MJ m}^{-3} \text{ K}^{-1}$ which is close to the heat capacity for ice.

The conductivity itself provides data just below 2.0 W m⁻¹ K⁻¹. As there are two different diffusivities given for different depths by the model, the one with clearer minimum in terms of diffusivity had been chosen for calculating the thermal conductivity. Results are shown in fig. 17. There were four temperature sensors available in the Samoylov core that match the restriction of not crossing the 0 °C limitation. That is why diffusivities for two depths can be provided, shown in fig. 17 A.

As visible in fig. 17 B the mean diffusivity between sensor at depth two and four shows a clearer minimum. Therefore the correlation between the interpolated and the real measured data is better. That is the reason for choosing this output diffusivity as constant for the whole core. The two obtained diffusivities were $8.6*10^{-7}$ m² s⁻¹ for the depth between sensor one and three and $9.6*10^{-7}$ m² s⁻¹ for the depth between sensor two and four.



Fig. 17: Interpolated temperatures by using the conduction method: (A) shows the temperature profiles for two different depths, (B) the total squared error for a range of diffusivities, showing the minimum just below 10⁶ m² s⁻¹. The interpolated temperatures for depth 2 and 3 correlate with the measured temperatures of depth 2 and 3. Therefore the two matching curves are overlying each other. The interpolated temperature is visible in (A) whereas the measured temperature only appears where the two curves do not correlate completely.

de Vries (1952)

The results of the calculation of the thermal conductivity in the Samoylov core by using the de Vries method is shown in figure 16 e. The conductivity balances around 2.3 W m⁻¹ K⁻¹, which is slightly more than the conductivity of ice, and is homogeneous with increasing depth. The conductivity is mainly influenced by the ice content which is natural since ice is the continuous phase and by the solid content. The solid content has a higher conductivity than ice and due to its volumetric content influences the thermal conductivity as well. Therefore values are slightly over the conductivity of ice. Both air and organic have a comparative small volumetric content and a small thermal

conductivity; their influence on the thermal conductivity is negligible.

Johansen (1975)

The curve of the thermal conductivity calculated with the Johansen method shows high variations. Values are shown in figure 16 c. Data range from 1.2 to 2.7 W m⁻¹ K⁻¹ and there is no clear trend visible. The variations are driven by the organic content in the core. The higher the content of organic the smaller the thermal conductivity gets. A minor role in terms of influencing the thermal conductivity is played by the grain size distribution. Although being necessary for calculation there is no clear connection between conductivity and sand and/or clay content visible.

Endrizzi et al. (2011)

The development of the thermal conductivity calculated by using the method developed by Endrizzi et al. (2011) shows a similar development as the curve of the de Vries method although having an offset. Values are shown in fig. 16 d. This offset is on the order of 0.2 W m⁻¹ K⁻¹; the conductivity calculated by de Vries is slightly higher. The values are around 2.2 W m⁻¹ K⁻¹ which is just about the thermal conductivity for ice.

5.2 Kurungnakh



5.2.1 Soil temperature profile

Fig. 18: Temperature profiles from July 2009 to August 2010 of the different measuring depths of the Kurungnakh core including the surface temperature; depths are distances from 0 m downwards.

The duration of temperature measurement in the Kurungnakh borehole lasts from 11th of July 2009 to 14th of August 2010, accordingly 13 months. The time shift of maximum and minimum temperature is visible in fig. 18; sensor at depth (1) shows its maximum during middle of September whereas sensor at depth (6) reaches its maximum during the second week of November. The close connection between the upper sensors and the GST is identifiable at the irregular shape of sensor at depth (1) and (2) during winter time as well as in the steep decrease of temperature starting from middle of October and the abrupt increase of temperatures in spring time, respectively, starting from end of April. The deeper the sensor is installed the smaller gets the influence from GST due to the damping of the signal. Accordingly, with increasing depth the temperature

signal needs more time to travel.

Both GST and sensor at depth (1) show a huge difference in the two summers. In summer 2009 GST ranged between 0 and 10.0 °C and sensor (1) barely exceeds 0 °C. The summer of 2010, in comparison, shows GST ranging from 2.0 to more than 20 °C and the sensor at depth (1) passes the 0 °C boundary widely while possibly not even culminate maximum summer temperatures. Those changes are mainly caused by the different environmental conditions in the two consecutive years. The vegetation cover around the instrumented bore hole had been destroyed and so the ground settled down. Therefore the sensor at depth (1) did not measure ground but surface temperatures (further explanation in chapter 4.1.2).

The freeze back takes place during the last week of September and the first week of October. Values of the GST are ranking between -1.8 °C and +1.0 °C and the sensor at depth (1) experiences a slow decrease in temperatures from -0.1 °C to -0.3 °C. After this step GST is dropping down faster whereas sensor (1) keeps decreasing slowly and shows its bend during the third week of October; the other sensors follow with time. The thawing period can be identified from 19th May to 9th June where data show a steep increase from -6.0 °C to almost 0 °C. In the following values range around 0 °C until the 9th June where an abrupt rise in temperature is visible.



5.2.2 Soil physical properties

Fig. 19: Physical properties of the Kurungnakh core.

The Kurungnakh core shows only small variations in all curves of the physical properties. The detected maxima and minima are nearly all within range of uncertainty. A short description of the physical properties of the core as visible in fig. 19 is given in the following.

The **grain size distribution** of the Kurungnakh core shows a clay content not exceeding 10% and with very small variations. Those are in no significant relation to depth. The highest differences are visible in the sand content with values ranging from 6 to 40%; the variability is lower at the top of the core, increases in the middle and decreases again. With values varying from 52 to 89% the silt content is the biggest grain size fraction.

The upper part of the Kurungnakh core indicates the highest **total organic content** of over 3.0 vol.% but is dropping down fast to 1.0 vol.% at 90 cm depth. After a second peak at 140 cm (2.1 vol.%) values drop again to a second minimum (0.8 vol.%) and later start to rise until the bottom of the core, including variations.

The variations in the curve of the **porosity** of the Kurungnakh core are high. After a steep increase of the porosity, which concludes in a maximum at 150 cm depth and over 80 vol.% porosity, values drop until the bottom of the core to ~50 vol.% porosity. The cause of those high differences is mainly in the sand content of the core which varies a lot as well. The deeper the core the lower the porosity.

The **ice content** shows a similar curve as the porosity due to the filling of almost all pores with ice, as explained in chapter 4.2.3. It increases to a maximum at 150 cm depth and later decreases until the end of the core. Values range from 37 to 80 vol.%; the mean ice content in the Kurungnakh core is about 58 vol.%. The offset between porosity and ice content is related to the air content.

The **density** of the Kurungnakh core decreases as far as 120 cm depth, followed by a steady increasing of values until they almost reach the origin magnitude. The minimum value is about 0.8 g cm⁻³ which is significantly lower than the density of ice.





Fig. 20: Thermal properties for the Kurungnakh core calculated by using different models.

Conduction method

The curve for the conduction method calculating the thermal conductivity shows the smallest variations, visible in fig. 20 b. The volumetric heat capacity, that is needed for calculation, has been plotted in fig. 20 a. The two curves show similarity in development with the heat capacity having greater variations. The mean conductivity for the whole core is about 2.2 W m⁻¹ K⁻¹ and the mean heat capacity ranges around 2.1 MJ m⁻³ K⁻¹. There were three different diffusivities available since five depths for interpolating could be used. Depth 1 needed to be excluded because of the phase change of water in this depth. This phase change is visible in fig. 18 where temperatures pass the 0 °C limitation. The interpolations that were possible are shown in fig. 21 A and the corresponding correlation coefficients in fig. 21 B.

The three given diffusivities were $8.0^{*}10^{-7}$ m² s⁻¹ for the depth between sensor two and four, $1.1^{*}10^{-6}$ m² s⁻¹ for the depth between sensor three and five and $8.6^{*}10^{-7}$ m² s⁻¹ for the depth between sensor four and six. Because showing the clearest minimum the diffusivity between sensor at depth three and five had been chosen for calculation.



Fig. 21: Interpolated temperatures of the Kurungnakh core by using the conduction method: (A) shows the temperature profiles for two different depths, (B) the total squared error for a range of diffusivities, showing the minimum just below 10⁶ m² s⁻¹. The interpolated temperatures for depth 3, 4 and 5 correlate with the measured temperatures of depth 3, 4 and 5. Therefore the two matching curves are overlying each other. The interpolated temperature is visible in (A) whereas the measured temperature appears where the two curves do not correlate completely.

de Vries (1952)

The calculation for soil thermal conductivity after the de Vries method is shown in fig. 20 e. After a small decrease in values until 150 cm depth data increase slowly until the bottom of the core. The minimum has a magnitude of 2.1 W m⁻¹ K⁻¹ and correlates with a maximum in porosity. This can be explained by the differences in the thermal conductivity of the soil components: the solid content has a high conductivity and ice a smaller one (for values see table 1). Since the porosity is high at the depth of the minimum there is more ice in the layer than minerals. Therefore the conductivity is lower. The mean conductivity is 2.3 W m⁻¹ K⁻¹ for the whole core and therefore only little over the conductivity for ice. This value was expected since ice has by far the highest volumetric content in the core and the second biggest fraction, the solid content, possesses a higher conductivity.

Johansen (1975)

The soil thermal conductivity calculated by using the Johansen method shows very high variations for the Kurungnakh core. This is visible in fig. 20 c. The highest variations are concentrated in the middle of the core between 150 and 300 cm depth. There, data oscillate between 2.5 and 3.0 W m⁻¹ K⁻¹. They are mainly influenced by the organic content and, in a smaller scale, the sand fraction of the core. In comparison to other models values for the thermal conductivity are high which is surprising since the organic content, that influences the thermal conductivity most, possesses only a small thermal conductivity.

Endrizzi et al. (2011)

The conductivity of the soil calculated with the method developed by Endrizzi et al. (2011) shows a similar trend as the curve of the de Vries calculation. The mean thermal conductivity is 2.2 W m⁻¹ K⁻¹ which is just about the conductivity for ice. There are only minor variations, shown in fig. 20 d.

5.3 Comparison

5.3.1 Soil temperature profiles

The comparison of the two temperature profiles of Samoylov and Kurungnakh shows that there are some differences. The freeze back at the surface occurs at the same time although Kurungnakh "needs" a little bit longer. The time is around last week of September and beginning of October. The thawing period shows more differences. On Samoylov thawing starts on 9th May very abruptly and data range around 0 °C after a small minimum on 14th May. On Kurungnakh thawing begins on 19th May, ergo 10 days later, and the development of temperatures is neither as steep as on Samoylov nor as high as there; it looks more step-like.

5.3.2 Soil physical properties

The two cores of Samoylov and Kurungnakh do not differ significantly in the analysed physical properties. Due to the uncertainties of the measuring methods the identified results of the soil cores are all within the same range. They are very similar in all properties and do not show a clear trend with depth. This is surprising since the soils have

quite different evolutionary histories.

The **grain size distribution** of the soil cores showed the following deviations when compared to each other: the clay content of the Kurungnakh core is about twice as high as the one from Samoylov but having the same, small variations in depth. Although the deviation seems quite high the overall clay content is still low. The two soil cores have a big silt fraction in common, which is again higher in the Kurungnakh core. The Samoylov core contains the bigger sand content with the higher variability.

Both **TOC**-curves display a maximum at 140 cm depth and a second, smaller one between 240 and 250 cm. In the upper parts of the core, Samoylov possesses the higher values of organic content. The overall organic content is not high; Samoylov contains in average 1.5 vol.% organic and Kurungnakh 1.4 vol.% which again shows the similarity of the two cores.

The **porosity**-curves of the two soil cores show a similar trend of rising to a maximum in depth between 150 and 200 cm and a followed decrease of values. The overall porosity is quite high: Samoylov on average 63 vol.% and Kurungnakh 58 vol.%. The difference is mainly caused by a higher sand fraction in the Samoylov core.

The development of the **ice content** with increasing depth is similar in both soil cores. After a maximum between 150 and 200 cm depth values are decreasing, in case of Kurungnakh until the bottom. The Samoylov core shows an increase in values from 300 cm depth until the bottom which can be explained by the high sand content in this part of the core.

The two curves do not show any similarity in **density**. This is caused by the strong influence of many factors that control this property, e.g. the ice content, the sand and clay content or the organic content.

5.3.3 Calculation of conductivity

Conduction method

The curves of the thermal conductivity calculated by using the conduction method for the soil cores from Samoylov and Kurungnakh both show only minor variations. The conductivity of the Samoylov core balances around 2.0 W m⁻¹ K⁻¹ whereas mean value is about 2.2 W m⁻¹ K⁻¹ in the Kurungnakh core. This offset can be explained by the difference in the heat capacity of the two soil cores which have nearly the same values of 2.0 and 2.2 W m⁻¹ K⁻¹. In the Kurungnakh core there is a slight increase in values visible with ongoing depth whereas the Samoylov core does not show anything alike.

de Vries (1952)

The overall development of the thermal conductivity with depth calculated with the de Vries method is similar in both cores. From the top there is a slight decrease that results in a small minimum. This minimum can be found at 200 cm depth in the Samoylov core and at 150 cm in the Kurungnakh core. Both conductivities are in the range between 2.0 and 2.5 W m⁻¹ K⁻¹, although variations are higher in the Kurungnakh core. Both cores reach their initial conductivity at their bottom again.

Johansen (1975)

The two curves of the two soil cores calculated with the Johansen method look completely different to each other. The Samoylov core shows small values for the central part of the core whereas the Kurungnakh core shows quite high conductivities for this part. A small similarity can be seen in the lower part of the core, starting from 375 cm depth. After a maximum at that depth values drop down and rise again until the bottom of the core. Although having different magnitudes and lengths the development looks similar.

Endrizzi et al. (2011)

The conductivities of both cores calculated by using the Endrizzi method range in the same area. Values balance around 2.2 W m⁻¹ K⁻¹ and do not show major variations. The curve of the Kurungnakh core is a little more irregular than the one of the Samoylov core. Both curves show a minimum at 150 cm depth that can be traced to a minimum in the volumetric heat capacity that is also visible in figures 16 and 20.

6 Interpretation and discussion

6.1 Error discussion for soil properties

The available physical property data from the two cores are afflicted with errors caused by the chosen measurement methods.

The relative error for the **density** is about 1%. The uncertainty is mainly caused by the volume determination of the samples with the overflow cylinder. Due to being one of the basic measurements the error of the volume determination affects all volumetric contents of the different soil constituents. Therefore the relative error of the **volumetric ice content** is about 1.9% and the one for the **volumetric organic content** 0.3%. Due to the fact, that none of the used measurement analysis was able to determine the **volumetric air content**, it can only be estimated to 5.0 vol.%. If the assumption is made that there is an absolute uncertainty of 5.0% on the air content, the **volumetric air content** to 0% the relative error for the solid soil content gets 4.7% and by setting the air content to 10.0 vol.% the error is 6.7%. Therefore the error of the air and the solid soil content have the same dimension. Both cores show the same error for the volumetric solid soil content.

The **volumetric heat capacity** of the soil is a sum of the heat capacities of the single soil constituents weighted by their volumetric contents. A similar calculation accounts for the error. By taking the error into consideration the volumetric heat capacity for Kurungnakh can be determined with (2.1 ± 0.1) MJ m⁻³ K⁻¹ and for Samoylov (2.0 ± 0.1) MJ m⁻³ K⁻¹. Therefore both cores have the same heat capacity within the range of error.

6.2 Measurement analysis – Conduction method

The conduction method is an approach of directly calculating the soils thermal diffusivity and by using another soil thermal property – the heat capacity – the thermal conductivity can easily be determined. It needs to be taken into consideration that the output conductivity is an average bulk conductivity, valid for the whole core. Due to the need of three temperature sensors in the soil column per output diffusivity the quantity of output parameter is limited so an average is reasonable.

It is reasonable to give an error for the calculated thermal conductivity by using the conduction method. There are two different diffusivities for Samoylov and three for Kurungnakh from which a mean diffusivity had been calculated. From the spread of the values for the different sensor pairs (chapter 4.3.2) and the curves of the error sums for different thermal diffusivities (figs. 17 and 21), there is an estimation for the relative error of about 20% on the thermal diffusivities, both for Samoylov and Kurungnakh. As this uncertainty continues to the thermal conductivity, a similar relative error must be assumed here, resulting in thermal conductivity of (1.9 ± 0.4) W m⁻¹ K⁻¹ for Samoylov and (2.2 ± 0.4) W m⁻¹ K⁻¹ for Kurungnakh.

When comparing the measured data to those of PUTKONEN (1998) there is good agreement, both for the heat capacity of the frozen soil and the thermal conductivity. The author uses a heat capacity of 2.1 MJ m⁻³ K⁻¹ for a permafrost site on Spitsbergen as input value and receives a thermal conductivity of 1.9 W m⁻¹ K⁻¹. The analysed soil type is a silt loam with a high gravel content (PUTKONEN 1998). Those values match the results of the present study very well. The same method for unfrozen sediments on Svalbard, Spitsbergen is performed by WESTERMANN ET AL. (2009). Here the value for the heat capacity is well within the range of error but the determined thermal conductivity of (1.3 ± 0.4) W m⁻¹ K⁻¹ is beneath the presented data due to the unfrozen state (WESTERMANN ET AL. 2009). The values of NICOLSKY ET AL. (2009) for thermal conductivity on a Alaskan sites are with (2.6±0.1) W m⁻¹ K⁻¹ above the measured data of the study but fit well for their own modeled conductivities. This can be explained by the depth of the analysed borehole: NICOLSKY ET AL. (2009) used measurement data from 3 to 30 m depth.

6.3 Modeling analysis

6.3.1 The de Vries (1952) method

The model for calculating the soils thermal conductivity developed by de Vries (1952) is based on the different soil constituents, their volumetric content and heat capacity. Therefore detailed knowledge about the soils composition is necessary as input data. Originally the method had been developed for unfrozen soils but according to FAROUKI (1981b) it is one of the few models also adaptable to frozen ground. According to TARNAWSKI & WAGNER (1993) the model by de Vries is "the most generally accepted model for two-phase-media". Especially for soils with high moisture content – above 0.8 degree of saturation – the model displays good values (TARNAWSKI & WAGNER 1993).

When calculating mean values of the physical properties with depth and using them as input parameter for the model the mean thermal conductivities for both cores gets $2.2 \text{ W m}^{-1} \text{ K}^{-1}$. This matches the values of the E_{NDRIZZI ET AL}. (2011) model and the conduction method for Kurungnakh. On Samoylov the de Vries model shows an offset to the conduction method and therefore to the measured data. However, this offset is within the range of error for the conduction method so both methods deliver similar values.

TARNAWSKI & WAGNER (1993) successfully applied the de Vries method to predict frozen soils thermal properties and found good correlation to measured data. JAME & NORUM (1980) found good agreement between modeled values for the thermal conductivity by using the de Vries method and experimentally determined values. Therefore it was used as input method for a model calculating a coupled heat and mass transfer model in a freezing soil (JAME & NORUM 1980). HARLAN (1973) tried to use the de Vries method for calculating the heat transfer in a partially frozen soil and compare it to observed temperatures. Due to the lack of available physical properties for the analysed profiles this comparison was not possible but data showed good matches to laboratory observations (HARLAN 1973). When comparing both the de Vries and the Johansen method to observed data, ZHANG ET AL. (2008b) get better results at all observed sites by using the de Vries method except for thawing processes where the Johansen model (1975) performs slightly better. This after all was not subject in the present analysis.

Many authors successfully use the de Vries method for unfrozen soil material (e.g. CAMPBELL ET AL. 1994) or for peat soils (HAYASHI ET AL. 2007) which again was not subjected in this work.

There was no GCM traceable which uses the de Vries (1952) model as basic model. Although having very good correlation to real measured data the model requires a lot of information about the soil composition which are hard to project on larger areas. This makes the method unpractical for large scale modeling.

6.3.2 The Johansen (1975) method

The modeling approach of Johansen (1975) is based on the grain size composition and the organic content. Therefore only few data are required as input parameter. The model interpolates between the soil thermal conductivity in unsaturated and saturated condition with reference to the degree of saturation (FAROUKI 1981a). The method by JOHANSEN (1975) is prone to small deviations in the input data and therefore not appropriate for highly heterogeneous soils. Best results are available for soils with low variability in their physical properties. According to FAROUKI (1981b) the advantage of the Johansen method over many others is the adaptability to frozen soils.

The error for the Johansen method is small when calculated by using the input data of carbon content and grain sizes. What needs to be taken into consideration is the high variability in the core itself. The calculated errors are only adaptable for the taken samples but the core shows a much higher variability than the mathematically calculated errors.

By choosing to take mean values of the physical properties as input for the model the conductivity gets 1.9 W m⁻¹ K⁻¹ for the Samoylov core and 2.6 W m⁻¹ K⁻¹ for the Kurung-nakh core. Those values differ from the other used models, especially the value for the Kurungnakh core.

There is an evident difference between this model and the other two ones by de Vries (1952) and Endrizzi (2011) in terms of range of values. It is visible that the development of values with increasing depth is similar to the de Vries (1952) method but the amplitude of the values is much higher. When comparing the mean Johansen conductivity to the measured data of the conductive method it is visible that there is only a small difference to the Samoylov core of about 0.2 W m⁻¹ K⁻¹, which is clearly within the range of error for the conduction method. The Kurungnakh core shows a higher offset with the Johansen method giving out 2.6 W m⁻¹ K⁻¹ and the conduction method 2.2 W m⁻¹ K⁻¹ which is still but marginal within the range of errors.

By comparing the output values to other literature data there is good correlation. LAWRENCE & SLATER (2006) use the Johansen method as input data for modeling a Community Land Model (CLM). The input parameter they use to calculate the thermal conductivity are in the range of values that had been used for this thesis and so are the results. Although not describing a silty soil as in this case the given values for peat soil, sandy soil and clay soil match within the range of error (LAWRENCE & SLATER 2006). The values of WRIGHT ET AL. (2003) show good correlation to the modeled conductivities in this thesis. WRIGHT ET AL. (2003) specify their conductivities to different geological surface units. For the case of this study the values for the alluvial unit can be assumed which the authors calculated between 1.6 to 2.5 W m⁻¹ K⁻¹ and therefore fits to the measured data here (WRIGHT ET AL. 2003). ZHANG ET AL. (2008a) showed a modeling approach for estimating the soils temperature by using the Johansen method. Data fit well between measured and modeled temperatures (ZHANG ET AL. 2008a) which is not visible in the case of this study of HENRY & SMITH (2001). They also report good correlation between measured and modeled temperatures by using the Johansen method. The authors used the method to model soil temperatures in permafrost areas in Canada and found high consistency between modeled and mapped soil temperatures (HENRY & SMITH 2001).

The method for calculating ground thermal conductivity of Johansen (1975) is used as input model for several GCMs. Those are for example the Community Climate System Model (CCSM) of the National Center for Atmospheric Research (NCAR) in the United States of America and the the Hadley Centre Coupled Model version 3 (HadCM3) developed by the Met Office Hadley Centre in the United Kingdom. When looking at the basic input models of the GCMs one notices that the CCSM includes a Community Land Model (CLM) which has a section describing the modeling of thermal conductivity of soils in both frozen and unfrozen state (OLESON ET AL. 2004). Therefore they include permafrost as a special type of soil. The surface part of the HadCM3 is called MOSES (Met Office Surface Exchange Scheme) and does not include permafrost in particular but refers to "soil moisture in both liquid and frozen forms" (Cox ET AL. 1999).

When looking at the results of the Johansen method it needs to be stated that this model is the most imprecise one with the highest variations. The mean thermal conductivity though is more or less within the range of error of the measurement data. The model is commonly used because of its small number of input parameters which are easy to collect; by using global soil and organic carbon maps one can identify the grain size distribution and the TOC content.

6.3.3 The method by Endrizzi et al. (2011)

The method by Endrizzi et al. (2011) is based on a simplified model of "quadratic parallel mixing" (ENDRIZZI ET AL. 2011). The volumetric contents of the soil constituents and their conductivity is needed as input parameter, similar to the de Vries method. The model by Endrizzi et al. (2011) had been developed recently. Therefore no revisions or literature values are available.

In the present study one can see that there is good correlation between the de Vries model and the one by Endrizzi for both soil cores. When comparing the Endrizzi model to the measured data there is clear correlation. Especially in the Kurungnakh core values for conductivity match very well; the differences are within the range of error. The Samoylov core shows an offset of values between the conduction method and the Endrizzi model although having a similar development with depth. A possible explanation for the differences in the Samoylov core – in comparison to the one from Kurungnakh – is the different input diffusivity for the conduction method.

Due to the recent publication of the model by Endrizzi et al. (2011) there are no large models which include the method. But by taking the various input parameters into consideration one can assume that a broad use in GCM is unlikely to happen, just as the de Vries model.

6.4 Soil carbon content

The most widely accepted data basis for soil carbon content is given by TARNOCAL ET AL. (2009). They estimated the permafrost carbon pool to be in total 1672 Pg, most of it in peatlands. TARNOCAL ET AL. (2009) analysed soil profiles in terms of organic carbon content and distinguished between several soil types. When comparing their data to the measured values in this thesis there is a clear trend visible. TARNOCAL ET AL. (2009) state that a histic cryosol contains a mean organic content of 67.2 kg m⁻² with a range of 31 to 171 kg m⁻² in the upper 100 cm of the soil column. This is compared to values in the present thesis from the analysis of the Samoylov core and values from Kutzbach ET AL. (2004). For the upper 100 [cm] of the Samoylov core there is an organic content of 27.8 kg m⁻² which is way below the mean value of TARNOCAL and just under the range of values for their analysis. Similar results are observed when comparing the deeper layers: TARNOCAL give mean contents of organic for 100 – 200 cm depth with 62 kg m⁻² with
a range between 22 to 128 kg m⁻² whereas in the presented study there is a content of 23 kg m⁻² for the Samoylov core and 7.6 kg m⁻² for the Kurungnakh core. Slightly better correlation is visible in the depth between 200 and 300 cm depth: TARNOCAL ET AL. (2009) give a value of 41.5 kg m⁻² within a range of 20 to 94 kg m⁻² whereas here 22.5 kg m⁻² for the Samoylov core and 12.2 kg m⁻² for the Kurungnakh core is received. The different values of organic for the core of Samoylov and Kurungnakh is explained by the different histories of development of the two island, highlighted in chapter 3. The soils on Samoylov island are young, Holocene soils consisting of sand and peat. The soils on Kurungnakh then are much older, formed in the Pleistocene and transformed during the alas formation. The organic material in those soils is potentially eluted and therefore the content of organic is lower than in the younger soils on Samoylov.

All measured values for the soil cores are at the lower end of the range given by TARNOCAL ET AL. (2009) or below it. Since they used only 13 soil samples to get mean values it is hard to assume their values as fixed for the analysed soil type, especially when taking the high variations into consideration.

7 Conclusion

Due to the rough environmental conditions arctic regions are still a part of the earth that is little understood. The measuring period is short and reduced to the summer months and the climate is challenging to both scientists and equipment. Therefore scientists try to simulate processes that are not measurable or require much effort. One of those processes is the thermal conductivity of the soil.

Soil thermal properties are a crucial parameter for estimating the thermal behaviour of the soil. It was the object of this thesis to compare different models to each other and to measured data. Such studies are important to estimate the difference between modeled soil thermal conductivity and reality. However, these studies have not been done yet so comparable literature data is scarce.

The results show that the model, which fittest least to measured data, is the one developed by Johansen (1975). Nevertheless it is the most widely used in the land surface schemes of large-scale climate models since the input parameters, i.e. the organic content and the grain size distribution of the soil, are comparatively easy to obtain. On the other hand output data from this model differ by a factor of 1.2 or 20% from the measured values which means that the ground heat flux used for climate scenarios has an offset of that range to reality.

A better solution would be to use the model of de Vries (1952). It requires more detailed knowledge about the soil composition and the several constituents. Requiring spatial information about these parameters is necessary when trying to incorporate the model into regional and global simulations but poses a challenging tasks for large regions and especially the remote Arctic areas.

Using a simple but inadequate model like Johansen, however, largely biases modeling results of ground heat flux which is a crucial parameter in projections of future Arctic climate change. Correct parametrization of local soil parameters as implemented in the de Vries model are essential to adequately represent soil spatial heterogeneity in permafrost terrain and associated processes.

Those soil parameters also include the stored organic carbon within the soil. As was

shown in this study, literature values vary significantly from those estimated in this thesis. The soil carbon contents given by TARNOCAL ET AL. (2009) are overestimated. By using those values future climate scenarios will bias the amount of carbon that is potentially available to the atmosphere. This leads to large uncertainties within those scenarios. Again, more datasets of soil physical parameters and correct parametrization of the soil thermal properties will aid to improve future scenarios of permafrost distribution and future climatechange.

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IV Glossary

active layer	uppermost soil layer in permafrost soils that experiences season- al freezing (in winter) and thawing (in summer)
atmosphere (atm)	unit of pressure, 1 atm = 9,81*10 ⁴ Pa
Cryosol	soils with limited rooting due to shallow permafrost or stoniness, ice-affected soils (WRB classification)
cryosuction	water movement through the soil due to temperature gradient
freeze back	freezing of the uppermost soil layer in autumn due to decreasing air temperatures
GCM	General Circulation Model, coupled model of future climate conditions between land, atmosphere and ocean
gleyic	having within 100 cm of the mineral soil surface a layer, 25 cm or more thick, that has reducing conditions in some parts and a gleyic colour pattern throughout (WRB classification)
heat capacity	c _h , amount of energy that is necessary to rise a body's temperature in a defined range, distinguish between volumetric [J m ⁻³ K ⁻¹] (per unit volume) and specific heat capacity [J kg ⁻¹ K ⁻¹] (per unit mass)
histic	having a organic horizon starting within 40 cm of the soil sur- face
Histosol	soil with thick organic layer (>40 cm) or high volumetric content of organic material (>75 vol.%) (WRB classification)

permafrost table	upper boundary of the permafrost body
pingo	small hill within permafrost areas with core of pure segregation ice, up to 50 m high with diameter up to 1000 m
talik	unfrozen material between patches of permafrost respectively active and passive layer or beneath lakes and rivers in permafrost areas
thermal conductivity	K_h , ability of a body to transfer heat [W m ⁻¹ K ⁻¹]
thermal diffusivity	$d_{h},$ temporal change of spatial variability of temperature $[m^{2}\ s^{\text{-1}}]$
turbic	having cryoturbation features at the soil surface (WRB classification)

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Appendix

Appendix A

Description of the soil core from Samoylov Island.

depth [cm] /	description
(sample name)	•
47.5 – 54.5 / (001)	 high content of organic material, fragments of plants good visible started to thaw pores filled with ice light brown coloured matrix few mineral content visible
54.5 – 73.5 / (002)	 started to thaw high content of organic material at the bottom much ice next to darker areas at the top light coloured matrix, at the bottom darker
73,5 – 92,5 / (003)	 ice needles, secondary started to thaw top: dark coloured, silty matrix bottom: few organic material high ice content
92,5 – 103 / (004)	 silty matrix started to thaw top: dark brown coloured matrix, beneath grey and brown few organic material
103 – 118 / (005)	 top: dark brown coloured, silty matrix, beneath more grey few ice layers visible grey brown coloured spots started to thaw
118 – 133 / (006)	 high content of organic material layers: dark to light brown coloured matrix started to thaw bottom: few ice lenses
133 – 149 /	started to thaw

(007)	 ice lenses high content of organic material grey to brown coloured matrix, red spotted
149 – 167 / (008)	 few ice lenses high content of organic material top: darker brown coloured matrix, bottom: red spots started to thaw
167 – 184 / (009)	 homogeneous at top and bottom ice lenses high content of organic material bottom: layers visible started to thaw
184 – 200 / (010)	 ice lenses high content of organic material light brown coloured matrix with dark brown spots started to thaw
200 – 219 / (011)	 high content of organic material light brown coloured matrix started to thaw ice lenses
219 – 236 / (012)	 less organic material pale coloured spots many ice lenses silty matrix started to thaw
236 – 252 / (013)	 less content of organic material, fibred ice lenses dark grey coloured matrix silty matrix started to thaw
252 – 265 / (014)	 organic material ice lenses secondary ice needles light grey coloured matrix, brown spots
265 – 274 / (015)	 primary ice lenses top: dark brown coloured matrix massive ice lenses top: few organic material, bottom: more organic material started to thaw
274 – 288 / (016)	 High content of organic material, less at bottom ice lenses silty matrix dark brown coloured matrix with light brown areas started to thaw

288 – 298 / (017)	 coarse organic material dark brown coloured matrix, near organic material light brown ice lenses started to thaw
298 – 313 / (018)	 few organic material, fibred ice lenses light to dark grey coloured matrix started to thaw
313 – 327 / (019)	 coarse fibred organic material light grey coloured matrix brown colour around organic material secondary ice lenses, where sample started to thaw
327 – 341 / (020)	 bottom: fibred organic material ice lenses light grey brown started to thaw
341 – 356 / (021)	 high content of organic material coarse soil matrix, higher sand content brown coloured matrix, lighter spots with finer material started to thaw
356 – 374 / (022)	 brown coloured matrix decreasing organic content from top to bottom massive, sandy matrix few, fibred organic material ice lenses started to thaw
374 – 382 / (023)	 ice lenses decreasing organic content from top to bottom dark grey brown coloured, silty matrix secondary ice needles started to thaw
382 – 395 / (024)	 light grey coloured matrix organic material, fibres visible, concentrated in the centre part started to thaw
395 – 408 / (025)	 bottom: massive ice lense decreasing organic content from top to bottom dark grey coloured, silty matrix, near organic material light grey brown started to thaw

Appendix B

Description of the soil core from Kurungnakh Island.

depth [cm] / (sample name)	description
0 – 20 / (K2-1)	 grey brown colour silty, fine sandy matrix fragments of plants, partial green coloured, fragments of roots top: coarse organic material, lighter coloured bottom: decomposed organic material, auburn coloured with black spots
20 – 40 / (K2-2)	 edges started to thaw grey brown coloured, darker than above silty, fine sandy matrix fragments of plants: leaves, stipes ice-rich cryostructure with ice needles ice needles 0.5 to 1.0 cm long, <1 mm thick
40 – 53 / (K2-3)	 top: ice needles as above high ice content in whole sample, segregated, massive ice, ice lenses grey coloured matrix less organic material
53 – 70 / (K2-4)	 top: 1 cm organic rich layer, mosses, leaves grey, silty-sandy matrix several ice lenses, few mm thick almost no organic material visible, few fragments of plants
70 – 88 / (K2-5)	 grey coloured matrix ice lenses several fragments of plants (leaves) few spots of silty, light grey coloured material
88 – 101 / (K2-6)	 grey coloured, silty to fine sandy matrix several ice lenses several fragments of plants few spots of silty, light grey coloured material

101 – 115 / (K2-7)	 grey coloured, silty to fine sandy matrix several ice lenses several fragments of plants few spots of silty, light grey coloured material
115 – 126 / (K2-8)	 grey coloured, silty to fine sandy matrix ice needles visible massive ice lense (2 x 0.5 cm) fabric organic material, light grey coloured, auburn spots
126 – 135 / (K2-9)	 grey coloured, silty to fine sandy matrix ice lenses, ice needles peaty plant detritus
135 – 146 / (K2-10)	 grey coloured, silty to fine sandy matrix brown coloured fragments of plants ice needles (either primary or secondary)
146 – 159 / (K2-11)	 light grey coloured, silty to sandy matrix ice needles fabric organic material
159 – 164 / (K2-12)	 grey coloured, silty to fine sandy matrix few spots of silty, light grey coloured material compact ice lenses fabric organic material
164 – 178 / (K2-13)	 grey coloured, silty to fine sandy matrix few spots of silty, light grey coloured material fabric organic material blocky structure
178 – 183 / (K2-14)	 dark grey coloured, silty to fine sandy matrix few spots of silty, light grey coloured material homogeneous small ice lenses few fragments of plant detritus
183 – 196 / (K2-15)	 dark grey coloured, silty to fine sandy matrix few spots of silty, light grey coloured material small, thin ice lenses no organic material visible
196 – 204 / (K2-16)	 dark grey coloured, silty to fine sandy matrix ice needles fabric organic material
204 – 209 / (K2-17)	 dark brown coloured, silty to fine sandy matrix ice needles probably fine organic material
209 – 220 / (K2-18)	 dark grey coloured, silty to fine sandy matrix spots of silty, light grey coloured material ice lenses, several mm thick no organic material visible

220 – 234 / (K2-19)	 grey coloured, silty to fine sandy matrix spots of silty, light auburn coloured material ice lenses fabric organic material
234 – 245 / (K2-20)	 grey coloured, silty to fine sandy matrix spots of silty, light auburn coloured material massive ice lenses several fragments of plants
245 – 260 / (K2-21)	 grey coloured, silty to fine sandy matrix big spots of silty, light grey coloured material small spots of silty, light auburn coloured material massive ice lenses (2 cm thick) few organic material
265 – 280 / (K2-22)	 grey coloured, silty to fine sandy matrix many spots of silty, light auburn coloured material thick ice lenses, in between smaller connected ice lenses no organic material visible
280 – 288 / (K2-23)	 grey and brown coloured, silty to fine sandy matrix big spots of silty, auburn coloured material few spots of silty, light grey coloured material thin ice lenses (< mm thick, several mm long)
288 – 300 / (K2-24)	 grey to brown and auburn coloured, silty to fine sandy matrix lighter coloured than previous sample thin ice lenses, 2 to 5 mm interval no organic material visible
300 – 310 / (K2-25)	 grey and brown coloured, silty to fine sandy matrix big spots of silty, auburn coloured material few spots of silty, light grey coloured material ice lenses
310 – 330 / (K2-26)	 grey and brown coloured, silty to fine sandy matrix few spots of silty, light grey coloured material massive ice lenses
330 – 333 / (sample too small for measurements)	 dark grey coloured, silty to fine sandy matrix few spots of silty, light grey coloured material few spots of silty, auburn coloured material ice lenses
333 – 345 / (K2-27)	 dark grey coloured, silty to fine sandy matrix few spots of silty, light grey coloured material few spots of silty, auburn coloured material thin ice lenses several fabric organic material "smells like Ice Complex"
345 – 358 / (K2-28)	 dark grey coloured, silty to fine sandy matrix spots of silty, light grey coloured material

	 few spots of silty, auburn coloured material less ice content (few, thin lenses)
358 – 366 / (K2-29)	 dark grey and brown coloured, silty to fine sandy matrix few spots of silty, auburn coloured material several fragments of plants
366 – 380 / (K2-30)	 dark grey and brown coloured, silty to fine sandy matrix few spots of silty, auburn coloured material ice lenses several fragments of plants

Eidesstattliche Erklärung

Hiermit erkläre ich an Eides Statt, dass ich die vorliegende Diplomarbeit selbst angefertigt habe und keine anderen als die angegebenen Quellen verwendet wurden. Die aus fremden Quellen direkt oder indirekt übernommenen Gedanken sind als solche kenntlich gemacht.

Die Arbeit wurde bisher keiner Prüfungsbehörde vorgelegt und auch noch nicht veröffentlicht.

Ort, Datum

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