

1                                   **On the effects of increased vertical mixing**  
2                                   **on the Arctic Ocean and sea ice**

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15                                   Key Points:

- 16                                   ● Increased vertical mixing leads to a cooling of the cold halocline layer and  
17                                   Atlantic Water layer.  
18                                   ● The reduced Arctic ocean stratification induces an adjustment of the circulation  
19                                   pattern.  
20                                   ● More vertical mixing reduces sea ice thickness all year round and decreases  
21                                   summertime sea ice concentration.

22  
23                                   Abstract

24                                   Against the backdrop of Arctic sea ice decline, vertical mixing in the interior  
25                                   Arctic Ocean will most likely change, but it is still unclear how the Arctic Ocean and  
26                                   sea ice will respond. In this paper, a sea ice-ocean model with a simple  
27                                   parameterization for interior background mixing is used to investigate the Arctic  
28                                   Ocean and sea ice response to a scenario of increased vertical mixing. It is found that  
29                                   more vertical mixing reduces sea ice thickness all year round and decreases  
30                                   summertime sea ice concentration. More vertical mixing leads to a cooling of the cold  
31                                   halocline layer and Atlantic Water layer below. The increased vertical mixing speeds  
32                                   up vertical heat and salinity exchange, brings the underlying warm and saline water  
33                                   into the surface layer, and contributes to the sea ice decline. Vertical salinity gradient  
34                                   of the cold halocline layer reduces together with a much fresher Atlantic Water layer,

35 and more volume of saline water enters the deep ocean below the Atlantic Water layer.  
36 As a result, the reduced Arctic ocean stratification leads to an adjustment of the  
37 circulation pattern. Cyclonic circulation anomalies occur in the surface layer  
38 shallower than 20 m depth and in the interior ocean deeper than 700 m depth, while  
39 anti-cyclonic circulation anomalies occur between these depths. Our study suggests  
40 that the extra heat and salinity exchange induced by more vertical mixing will have a  
41 noticeable impact on the upper ocean structure, ocean circulation and sea ice in a  
42 changing Arctic Ocean.

43

44 Key words: Arctic, vertical mixing, sea ice, ocean circulation

45

## 46 1. Introduction

47 Vertical mixing in the ocean affects the exchange of ocean heat and salinity,  
48 controls the ocean stratification, and indirectly modifies the pattern of ocean currents  
49 (Goosse et al., 1999). In the mid-latitude ocean interior away from boundaries, the  
50 observed value of vertical mixing is  $O(10^{-5} \text{ m}^2\text{s}^{-1})$  (Gregg, 1987; Kunze et al., 2006).  
51 Enhanced vertical mixing of  $O(10^{-4} \sim 10^{-3} \text{ m}^2\text{s}^{-1})$  has been found over rough  
52 topography, such as ridges (Althaus et al., 2003; Klymak et al., 2006), seamounts  
53 (Kunze and Toole, 1997; Lueck and Mudge, 1997) and canyons (St. Laurent et al.,  
54 2001; Carter and Gregg, 2002). The energy for vertical mixing against stable  
55 stratification in the ocean interior is provided by the breaking of internal waves,  
56 which in turn are generated by kinetic energy input from wind and tides over rough  
57 topography (Ferrari and Wunsch, 2009).

58 In the Arctic Ocean, sea ice greatly reduces the effects of wind forcing. Most of  
59 the basin is north of the critical latitude of the M2 tide, thus internal wave energies  
60 generated by the interaction of barotropic tides with bathymetry are low compared to  
61 typical low-latitude levels (Simmons et al., 2004; St. Laurent et al., 2002) and hence  
62 cannot contribute much to vertical mixing. Brine rejection during the formation of sea  
63 ice is an additional Arctic-specific mechanism responsible for vertical mixing in the  
64 weakly stratified cold Arctic and sub-Arctic basins, such as the Labrador Sea and  
65 Greenland Sea. In the interior Arctic, however, brine rejection is less effective in  
66 inducing vertical mixing because of the strong stabilizing vertical salinity gradient  
67 (Yang et al., 2004). As a result, vertical mixing in the interior Arctic is relatively low.  
68 For example, mixing coefficients of  $O(10^{-6} \sim 10^{-5} \text{ m}^2\text{s}^{-1})$  were estimated from

69 microstructure measurements at the Barneo ice camp in April 2007 (Fer, 2009).

70 The Arctic Ocean is a quiescent ocean with the warm salty Atlantic Water layer  
71 underlying the cold halocline layer. As an important source of heat and salt in the  
72 Arctic Ocean, the Atlantic Water subducts under the cold halocline layer after entering  
73 the Arctic Ocean from Fram Strait and the Barents Sea (Steele and Boyd, 1998), then  
74 follows cyclonically the rim of the Arctic shelf with several cross-ridge intrusions  
75 (Rudels et al., 1994; Woodgate et al., 2001; Lenn et al., 2009). The Atlantic Water  
76 spreads over the whole Arctic Ocean at the depths from 200 m to 900 m (Zhang and  
77 Steele, 2007). The heat from the warm Atlantic Water inflow through Fram Strait  
78 alone would be able to melt the Arctic sea ice within four years (Turner, 2010), but the  
79 strong stratification of the cold halocline layer is thought to be a barrier that insulates  
80 the heat in the Atlantic Water layer from the mixed surface layer and sea ice.

81 Convective mixing cannot reach the Atlantic Water layer and as a consequence, the  
82 Atlantic Water layer heat hardly contributes to the surface heat budget. The turbulent  
83 heat flux across the cold halocline layer is not significantly different from zero (Fer,  
84 2009) and the net average heat loss from the Atlantic Water layer in the interior Arctic  
85 was estimated to be as low as  $4 \text{ Wm}^{-2}$  (Fer et al., 2010).

86 Sea ice melting and freezing, maintaining the cold halocline layer and the  
87 Atlantic Water layer circulation are closely linked with the Arctic Ocean stratification,  
88 as well as freshwater supply (Jensen et al., 2016). Typically, ocean stratification is  
89 eroded by vertical mixing. The role of vertical mixing in maintaining Arctic Ocean  
90 state was explored in previous studies (e.g. Zhang and Steele, 2007). In a numerical  
91 study with different vertical mixing magnitudes, the Atlantic Water layer circulation  
92 and vertical distribution of ocean properties in the cold halocline layer displayed  
93 distinct patterns. In the Canadian Basin, stronger vertical mixing weakens the ocean  
94 stratification, which will lead to an anticyclonic circulation at all depths. Weaker  
95 vertical mixing strengthens the ocean stratification, which will lead to a strong  
96 anticyclonic circulation in the upper layer and a cyclonic circulation in the lower layer  
97 (Zhang and Steele, 2007). However, the effects of varying vertical mixing on sea ice  
98 are still unclear.

99 The Arctic summer sea ice extent has been declining over the past several  
100 decades (Parkinson and Cavalieri, 2008; Stroeve et al., 2008; Gao et al., 2015). On  
101 one hand, the increasing open water area in the Arctic Ocean allows more wind  
102 kinetic energy input into the Arctic Ocean. Over flat bathymetry in mid-latitudes, the

103 wind-driven mixing can reach 600 m depth and propagates even deeper with the help  
104 of anti-cyclonic eddies (Jing et al., 2011). Wind-driven mixing will likely increase  
105 according to observational evidence and could have profound effects on the Arctic  
106 circulation and sea ice (Comiso et al., 2008; Perovich, 2011). On the other hand, sea  
107 ice decline favors local surface evaporation, induces increased Arctic precipitation  
108 (Bintanja and Selten, 2014), the extra freshwater and heat storage in the surface layer  
109 due to sea ice decline supports a more stratified Arctic Ocean, which will limit  
110 vertical mixing (Davis et al., 2016). In the light of these processes with opposite  
111 effects, it is difficult to predict and to quantify the change in vertical stratification and  
112 hence interior vertical mixing.

113 In this paper, we assume that vertical mixing in the Arctic Ocean will increase in  
114 the future and study the isolated effects of increased vertical mixing on the Arctic  
115 ocean state and the sea ice melting-freezing cycle, especially on the upward heat  
116 transport of the Atlantic Water layer, the Atlantic Water layer circulation, and annual  
117 cycle of sea ice. The paper focuses on the ocean and sea ice responses in an Arctic sea  
118 ice-ocean model to increased vertical mixing by amplifying the vertical background  
119 diffusivity coefficient. The rest of the paper is organized as follows: section 2  
120 describes the Arctic model and the numerical experiments. Section 3 briefly compares  
121 baseline experiment results with observations. The sea ice response to increased  
122 vertical mixing are presented in section 4 and the ocean response in section 5. Section  
123 6 will compare the tracer tendency terms due to vertical background diffusivity with  
124 vertical advection terms. Summary and conclusion are given in section 7.

125

## 126 2. Model and Numerical Experiments

### 127 2.1 Coupled Sea Ice-Ocean Model

128 The model used in this study is an Arctic configuration of the Massachusetts  
129 Institute of Technology general circulation model (MITgcm, Marshall et al., 1997)  
130 including a sea ice module with state of the art dynamics (Losch et al., 2010). The  
131 configuration is based on that of Nguyen et al. (2011). The model domain covers the  
132 whole Arctic Ocean, it has open boundaries close to 55°N in both the Atlantic and  
133 Pacific sectors. The ocean model and sea ice module have the same horizontal grids  
134 with 420×384 grid points. The grid is locally orthogonal and has an average  
135 horizontal resolution of 18 km. The model includes 50 vertical layers, with 28 vertical  
136 levels in the top 1000 m. The thickness of the top layer is 10 m. The K-profile

137 parameterization (KPP, Large et al., 1994) is used as the vertical mixing scheme. The  
138 ocean and sea ice parameters of our model configuration are directly taken from  
139 Nguyen et al. (2011).

140 The topographical data are from the U.S. National Geophysical Data Center  
141 (NGDC) 2 min global relief data set (ETOPO2, Smith and Sandwell, 1997). The  
142 initial ocean field is a climatological field derived from the World Ocean Atlas 2005  
143 (WOA05; Locarnini et al., 2006; Antonov et al., 2006). Monthly boundary conditions  
144 of potential temperature, salinity, current, and sea-surface elevation are derived from a  
145 global configuration of the MITgcm (Menemenlis et al., 2008). Monthly mean river  
146 runoff is based on the Arctic Runoff Data Base (ARDB, Nguyen et al., 2011). We  
147 replaced the Japanese 25-year Reanalysis (JRA25; Onogi et al., 2007) in Nguyen et al.  
148 (2011), by 3-hourly atmospheric forcing data from 1979 to 2013 derived from the  
149 Japanese 55-year Reanalysis (JRA55, Kobayashi et al., 2015; Harada et al., 2016),  
150 provided by the Japan Meteorological Agency (JMA).

151

## 152 2.2 Numerical Experiments

153 The KPP vertical mixing scheme is a widely used first-order closure scheme to  
154 represent mixed layer depths and vertical mixing in open ocean regions (Large et al.,  
155 1994). The KPP scheme separates the water column into two parts, the quiescent  
156 ocean interior layer and the surface planetary boundary layer, where mixing is  
157 enhanced by surface forcing and turbulent processes. A formulation based on  
158 boundary layer similarity theory is applied to determine the depth of the actively  
159 mixing surface boundary layer. The mixing in the ocean interior is determined by  
160 local shear and static instability, internal wave breaking, and double diffusive  
161 processes. A constant background diffusivity coefficient is used to parameterize  
162 internal wave breaking.

163 In our model configuration, the mixing below the surface boundary layer is  
164 mostly determined by the constant background diffusivity coefficient, because vertical  
165 stability is high. With this in mind, six experiments are designed. In a reference or  
166 baseline experiment KPP001 the background diffusivity coefficient is set to  $5.44 \times 10^{-7}$   
167  $\text{m}^2\text{s}^{-1}$  following Nguyen et al. (2011). The KPP001 experiment is integrated from  
168 1979 to 2013 with 3-hourly atmospheric forcing. The simulation fields on 1 January  
169 1999 are used as the initial fields for five additional experiments where the vertical  
170 mixing is increased by factors of 50, 100, 150, 200, and 250 over the reference value

171 of  $5.44 \times 10^{-7} \text{ m}^2\text{s}^{-1}$ . These additional experiments are integrated from 1999 to 2013. In  
172 each run, daily snapshots of sea ice concentration and sea ice thickness are saved.  
173 Three-dimensional model fields of ocean temperature, and ocean salinity are saved as  
174 ten day averages.

175 As a first observation we note that the changes in the circulation field are gradual  
176 so that for the remaining part of the manuscript we will only describe two  
177 experiments KPP100 and KPP250, where the name implies the factor by which the  
178 background diffusivity coefficient is increased. The resulting background diffusivity  
179 coefficients are  $5.44 \times 10^{-5} \text{ m}^2\text{s}^{-1}$  for KPP100 and  $1.36 \times 10^{-4} \text{ m}^2\text{s}^{-1}$  for KPP250.

180 For a regional sea ice-ocean simulation, the length of spin up period depends on  
181 the time of ocean adjustment. In all runs, sea ice concentration develops a reasonable  
182 seasonal cycle without obvious spin up drifts after only a few years (Figure 1a). The  
183 differences in the mean ocean temperature of the upper 200 m between the sensitivity  
184 runs and the baseline run are stable after 2003 (Figure 1b). No obvious ocean drift  
185 appeared in the sensitivity runs, thus the simulation results from 2004 to 2013 are  
186 used for the analysis in this paper.

187

### 188 3. The Baseline Run

189 This model was tuned against observation in a systematic way to reproduce sea  
190 ice extent and drift observations. In this sense the parameter choice of KPP001 is  
191 “optimal” (Nguyen et al., 2011). Figure 2 compares the seasonal cycle of sea ice  
192 extent of the KPP001 run with the observation derived from the Multisensor Analyzed  
193 Sea Ice Extent (MASIE) data. The MASIE data are derived from the daily 4 km sea  
194 ice component of the National Ice Center Interactive Multisensor Snow and Ice  
195 Mapping System product and are available from the beginning of 2006. Generally, the  
196 KPP001 run simulates lower sea ice extent than the observation. The sea ice extent  
197 difference between the KPP001 run and the observation is approximately 1~2 million  
198  $\text{km}^2$ . Our model does not represent the sea ice edge accurately (not shown), which  
199 may contribute to the sea ice extent differences, but the amplitude of sea ice extent  
200 seasonal cycle in the KPP001 run is similar to the observation, and the minimum sea  
201 ice extent in summer of 2007 and 2012 are also simulated accurately. There is a  
202 systematic bias in magnitude and a phase error in the model. The phase error may be  
203 attributed to the zero-layer thermodynamics of the sea ice model (Semtner, 1976)

204 The ocean realism of the KPP001 run is evaluated against hydrographical data

205 from the World Ocean Atlas 2013 (WOA13 V2; Locarnini et al., 2013; Zweng et al.,  
206 2013) along the prime meridian from the Atlantic to the Pacific sector (Figure 3) and  
207 in the Canadian Basin and Eurasian Basin (Figure 4). Objectively analyzed  
208 temperature and salinity climatological fields at 1°-resolution representative for 2005  
209 to 2012 in the WOA13 V2 dataset are compared to model average over the years 2005  
210 to 2012. On the basin scale our model successfully captures the structure of the cold  
211 halocline layer in the Canadian Basin and the deep convection feature in the  
212 Greenland Sea (Figure 3a, 3c, 3e, 3g). In summertime, our model simulates a warmer  
213 temperature core at 400 m depth in the Norwegian Sea (Figure 3b). The warm bias is  
214 larger in wintertime (Figure 3d). In the Greenland Sea our model generates a colder  
215 upper ocean until 700 m depth in summertime, and the cold anomaly shrinks in  
216 wintertime. Around Fram Strait the simulated ocean temperature is higher than the  
217 WOA13 V2 data in summertime with maximum bias up to 2 °C spreading from 300  
218 m to 700 m depth. In wintertime the warm bias core moves upwards to the upper  
219 ocean and increases to 4 °C in the surface layer. In the Canadian Basin our model  
220 produces a slightly warmer surface down to 100 m depth and a moderately colder  
221 upper ocean between 100 m and 600 m depth. On the basin scale our model simulates  
222 a fresher Arctic Ocean both in summertime and wintertime (Figure 3f, 3h). The  
223 maximum fresh bias is in the cold halocline layer of the Canadian Basin with values  
224 up to -1.6. Saline anomalies appear in the Beaufort Sea in wintertime.

225 From the annual mean vertical temperature and salinity distributions in the  
226 Canadian Basin and Eurasian Basin (Figure 4), the model simulates a thermal  
227 inversion layer in the cold halocline layer where temperature decreases with depth. A  
228 warm core appears at 50 m depth in the cold halocline layer. This thermal inversion  
229 layer can be found in the 1978 observations of the Polar Science Center Hydrographic  
230 Climatology data (See Figure 3b in Zhang and Steele 2007), but not in the WOA13  
231 V2 data. The model produces higher temperature than the WOA13 V2 data in the cold  
232 halocline layer and lower Atlantic Water layer, while lower temperature than the  
233 WOA13 V2 data in the upper Atlantic Water layer (Figure 4a). The model simulates a  
234 fresher upper ocean than the WOA13 V2 data, but the vertical salinity gradient is  
235 close to the observations. Although relatively large temperature biases exist in the  
236 Greenland Sea, temperature and salinity biases are small in the Arctic deep basin  
237 areas where the background diffusion is the dominant mixing process in the model.

238

#### 239 4. Sea Ice Response To Increased Vertical Mixing

240 The sea ice responds to increased vertical mixing in the Arctic Ocean with a  
241 perennial reduction of sea ice thickness (Figure 5b) and a notable summertime sea ice  
242 concentration decrease (Figure 5a). Compared with the KPP001 run, the September  
243 minimum sea ice concentration of the KPP250 is smaller by 5% while the April mean  
244 sea ice concentration is only smaller by less than 2%. The basin mean sea ice  
245 thickness of the KPP250 is smaller by more than 0.1 m in both summer and  
246 wintertime. The April sea ice extent reduces by 0.58% in the KPP100 run and 1.92%  
247 in the KPP250 run, while the September sea ice extent decreases by 15.89% in the  
248 KPP100 run and 28.48% in the KPP250 run (not shown).

249 The wintertime sea ice concentration changes mainly along the sea ice edge in  
250 the Greenland Sea and Barents Sea (Figure 6a, 6b). In the KPP100 run, sea ice  
251 concentration decreases along the sea ice edge in the northern Greenland Sea and  
252 Barents Sea, but the exported “ice tongue” along the coast of Greenland extends  
253 further eastwards into the southern Greenland Sea (Figure 6a). In the KPP250 run, the  
254 extra sea ice area in the southern Greenland Sea is smaller, but the sea ice  
255 concentration reduction in the Barents Sea and northern Greenland Sea are much  
256 larger (Figure 6b). In summertime the sea ice concentration is smaller both along the  
257 sea ice edge and in the pack ice area (Figure 6c, 6d). The sea ice concentration  
258 decreases strongly in the northern Greenland Sea, north of Svalbard and in the  
259 southern Canadian Basin. The sea ice thickness changes due to stronger vertical  
260 mixing are found in the multiyear sea ice region near the Canadian Arctic Archipelago  
261 (Figure 7b, 7d). Sea ice thickness decreases more in summertime than in wintertime.

262 The length of the sea ice season, which is defined as the number of days per year  
263 when sea ice concentration is larger than 15%, can be used to characterize the local  
264 sea ice conditions (Parkinson et al., 1999; Meier et al., 2007; Cavalieri and Parkinson,  
265 2012). In the KPP001 run, both in the deep basins and in the northwestern Greenland  
266 Sea, the length of the sea ice season is longer than 330 days. In the marginal seas  
267 along the American and Eurasian continent, the length of the sea ice season is  
268 between 200 and 300 days and shorter in the Barents Sea (not shown). In the KPP100  
269 run, the length of the sea ice season decreases in the northern Greenland Sea and the  
270 marginal seas of the Eurasian continent, while it increases in the southern Greenland  
271 Sea (Figure 7e). In the KPP250 run, the length of the sea ice season further reduces in  
272 the Greenland Sea, Barents Sea and the northern part of the East Siberia Sea (Figure



273 7f).

274

## 275 5. Ocean Responses To Increased Vertical Mixing

276 In our sensitivity runs, atmospheric forcing data remains the same, so sea ice  
277 conditions in the pack ice areas are mainly affected by the underlying ocean state,  
278 while sea ice conditions in the sea ice edge areas are also controlled by horizontal heat  
279 advection associated with surface ocean currents. Vertical distribution of horizontally  
280 averaged ocean temperature, salinity and normalized domain integrated topography  
281 are shown in Figure 8. The averaging domain used in the calculation covers areas in  
282 the Canadian Basin and Eurasian Basin where total depth is larger than 1000 m.  
283 Topography (Holloway et al., 2007) is a scalar expressed by the upwards component  
284 of the vector product of velocity ( $V$ ) and gradient of the total depth ( $\nabla D$ )  $V \times \nabla D$ . In  
285 the northern hemisphere, positive (negative) topography corresponds to flow with  
286 shallower water to the right (left). Therefore in the Arctic Ocean, positive (negative)  
287 topography represent flows dominated by cyclonic (anti-cyclonic) circulation along  
288 steep topography.

289

### 290 5.1 Ocean Temperature and Salinity

291 In the KPP001 run, the thermal inversion layer locates between 50 m and 120 m  
292 depth, a warm core appears at 50 m depth. Warmest water locates between 400 m and  
293 600 m depth in the Atlantic Water layer. In the KPP100 run, the thermal inversion  
294 layer and warm core in the cold halocline layer has disappeared. With increasing  
295 background diffusivity coefficient, the entire cold halocline layer and Atlantic Water  
296 layer become colder, and the location with maximum temperature deepens (Figure 8a).

297 The surface ocean temperature in the ice covered areas is nearly unaffected by  
298 the different diffusivity coefficients, because it is close to the local freezing point  
299 (Figure 9a, 9b). SST decreases by 1 °C in the deep convection area of the Greenland  
300 Sea, while SST in Fram Strait increases by more than 1 °C in the KPP100 run (Figure  
301 9a). In the KPP250 run, the warm SST bias area in Fram Strait expands and the cold  
302 SST bias area in the Greenland Sea shrinks. SST in the Baffin Bay and Beaufort Sea  
303 are getting colder when the vertical mixing increases (Figure 9b).

304 At 200 m depth, approximately the bottom of the cold halocline layer, the mean  
305 ocean temperature decreases with increasing diffusivity (Figure 8a). In the KPP100

306 run, ocean temperature decreases by 1 °C in the Baffin Bay and Canadian Arctic  
307 Archipelago, and decreases by 1.5 °C in the western Greenland Sea (Figure 9c). The  
308 largest ocean temperature reduction of up to -2 °C appears in the southern Eurasian  
309 Basin. Ocean temperature in the western Norwegian Sea increases by 2 °C and the  
310 area north of Fram Strait also becomes warmer. In the KPP250 run, excessive ocean  
311 temperature reduction up to -2 °C occurs in the western Greenland Sea, Baffin Bay  
312 and southern Eurasian Basin (Figure 9d). Below the Atlantic Water layer, ocean  
313 temperature at 1200 m depth also decreases slightly, but mainly in the Eurasian Basin  
314 (Figure 9e, 9f).

315 The vertical distribution of ocean salinity in the Arctic Ocean determines the  
316 strength of the cold halocline layer as well as the upper ocean stratification. In the  
317 KPP001 run, the mean salinity at the ocean surface is lower than 30.5 and increases to  
318 34 at 200 m depth. When vertical mixing increases, the vertical salinity gradient of the  
319 cold halocline layer reduces and the Atlantic Water layer becomes fresher. More  
320 saline water has entered into deep ocean below the Atlantic Water layer (Figure 8b).  
321 Surface water in the Canadian Basin and the East Siberia Sea and Laptev Sea area are  
322 much fresher than other areas in the KPP001 run (not shown). The fresh water in the  
323 Canadian Basin is a result of summer sea ice melting processes while the fresh water  
324 in the East Siberia Sea and Laptev Sea area is mainly river runoff from Russia. In the  
325 KPP100 run, surface water becomes more saline in the Canadian Basin and fresher in  
326 the East Siberia Sea and Laptev Sea area (Figure 10a). In the KPP250 run, the whole  
327 surface layer of the Arctic Basin is covered by more saline water except for the East  
328 Siberia Sea and Laptev Sea area where fresh river discharge on the shelf is not  
329 affected by interior vertical background mixing (Figure 10b). At the bottom of the  
330 cold halocline layer, the water is fresher with more vertical mixing by up to -2 in the  
331 whole Arctic Basin (Figure 10c, 10d). Below the Atlantic Water layer, saline water  
332 covers the whole Canadian Basin (Figure 10e, 10f).

333

## 334 5.2 Ocean Circulation

335 Observations show that the Atlantic Water enters the Arctic Ocean with the West  
336 Spitsbergen Current in the eastern part Fram Strait, subducts north of the Svalbard  
337 Islands and flows as a rim current cyclonically around the boundary of the Arctic  
338 basin (Rudels et al., 1994; Woodgate et al., 2001; Lenn et al., 2009). Simulating this  
339 circulation is challenging and only half of the models in the Arctic Ocean Model

340 Intercomparison Project (AOMIP) were able to correctly simulate the cyclonic  
341 Atlantic Water layer circulation (Yang, 2005). In the KPP001 run, cyclonic circulation  
342 pattern dominates the Atlantic Water layer in the Canadian Basin and Eurasian Basin.  
343 The “strongest” cyclonic circulation is at 350 m depth. When vertical mixing  
344 increases, the cyclonic circulation increases in the surface ocean shallower than 20 m  
345 depth and in the interior ocean deeper than 750 m depth, but decreases between these  
346 layers (measured by topostrophy in Figure 8c).

347 In the surface layer, the Norwegian Atlantic Current flows along the coast of  
348 Norway, then splits into the West Spitsbergen Current and the North Cape Current at  
349 around 75 °N in the KPP001 run. The West Spitsbergen Current flows northwestward  
350 into Fram Strait partly feeding the East Greenland Current and Atlantic current  
351 recirculation. The North Cape Current flows in the marginal seas of the Eurasian  
352 continent until the Laptev Sea. The Beaufort Gyre is partly fed by currents along the  
353 coast of Canadian Arctic Archipelago which originated from the West Spitsbergen  
354 Current, and partly fed by currents from the Bering Strait. A northward current occurs  
355 along the western Baffin Bay after passing through the Canadian Arctic Archipelago  
356 (Figure 11a). In the KPP250 run, anomalous westward flow occurs along the eastern  
357 edge of the Canadian Basin and Eurasian Basin. Further, there is anomalous flow  
358 from the North Pole toward Greenland, where it turns westward into Fram Strait. The  
359 Atlantic current recirculation in the Greenland Sea weakens (Figure 11b).

360 At 200 m depth, the East Greenland Current, West Spitsbergen Current and  
361 Atlantic current recirculation constitute the main flow pattern in the Greenland Sea.  
362 The Arctic Circumpolar Current is obvious in the KPP001 run (Figure 11c). In the  
363 KPP250 run, the Beaufort Gyre is stronger. Anomalous westward flows occur along  
364 the edge of the Eurasian continent and Canadian Arctic Archipelago. The Atlantic  
365 current recirculation in the Greenland Sea also becomes weaker (Figure 11d). At 750  
366 m depth, cyclonic circulation anomalies appear at the eastern Makarov Basin while  
367 anti-cyclonic circulation anomalies occur in the Greenland Sea (Figure 11f).

368

## 369 6. Tracer tendency terms as proxy for vertical fluxes

370 To describe the effect of vertical background diffusivity in our experiments in a  
371 more quantitative way, we compare tracer tendencies due to vertical background  
372 diffusivity with those due to vertical advection (Figure 12).

373 The vertical background diffusivity tracer tendency terms are

374 
$$\frac{\partial T}{\partial t_{bd}} = -\frac{\partial}{\partial z} \left( -K_{bd} \frac{\partial T}{\partial z} \right), \quad \frac{\partial S}{\partial t_{bd}} = -\frac{\partial}{\partial z} \left( -K_{bd} \frac{\partial S}{\partial z} \right),$$

375 and the vertical advection tracer tendency terms are

376 
$$\frac{\partial T}{\partial t_{va}} = -w \frac{\partial T}{\partial z}, \quad \frac{\partial S}{\partial t_{va}} = -w \frac{\partial S}{\partial z},$$

377 where T, S represent temperature and salinity,  $K_{bd}$  is vertical background diffusivity  
378 coefficient, z is depth, w is vertical velocity.

379 In the KPP001 run,  $\frac{\partial T}{\partial t_{bd}}$  has the same magnitude as  $\frac{\partial T}{\partial t_{va}}$  (Figure 12a, 12b), and  
380 the vertical background diffusivity contribution to the vertical heat exchange in the  
381 Atlantic Water layer and lower cold halocline layer is small. When  $K_{bd}$  is increased,  
382  $\frac{\partial T}{\partial t_{bd}}$  becomes more important than  $\frac{\partial T}{\partial t_{va}}$  in the vertical heat exchange. The vertical  
383 background diffusivity cools the Atlantic Water layer and lower cold halocline layer  
384 in the KPP100 run. In the Atlantic Water layer, this “cooling” effect increases with  
385 increasing  $K_{bd}$ .

386 In the KPP001 run,  $\frac{\partial S}{\partial t_{bd}}$  is smaller than  $\frac{\partial S}{\partial t_{va}}$  in generating the vertical salinity  
387 distribution (Figure 12c, 12d).  $\frac{\partial S}{\partial t_{bd}}$  increases with  $K_{bd}$ , but  $\frac{\partial S}{\partial t_{va}}$  becomes smaller.  
388 Increasing the vertical background diffusivity increases salinity in the surface layer  
389 and decreases salinity in the lower cold halocline layer. In the Atlantic Water layer,  
390  $\frac{\partial S}{\partial t_{bd}}$  of the KPP250 run is smaller than that of the KPP100 run, which implies that  
391 increasing of  $K_{bd}$  leads to decreasing Atlantic Water layer salinity.

392

## 393 7. Discussion and Conclusion

394 In this paper, the Arctic Ocean and sea ice response to increased vertical mixing  
395 are investigated based on a sea ice-ocean model. It is found that increased vertical  
396 mixing reduces sea ice thickness all year round and decreases summertime sea ice  
397 concentration. The sea ice thickness reduction occurs in areas with thick multiyear sea  
398 ice near the Canadian Arctic Archipelago while summertime sea ice concentration  
399 decreases both in the marginal sea ice zone and in the pack.

400 In thick multiyear sea ice zone near the Canadian Arctic Archipelago, the sea ice  
401 concentration is almost equal to one and there are only very few sea ice leads and  
402 zones of open water. As a consequence, the upward heat flux in the surface ocean  
403 layer can be used almost entirely to melt sea ice. In marginal sea ice zone with more  
404 sea ice leads and more open water, a large part of the upward heat flux in surface

405 ocean layer will be released to atmosphere. Therefore with increased vertical  
406 background diffusivity, substantial sea ice thickness reduction occurs in areas with  
407 thick multiyear sea ice zone near the Canadian Arctic Archipelago, but not so much in  
408 the marginal ice zone. In the pack ice zone, sea ice concentration and thickness in  
409 summertime is distinctly lower than in wintertime. The upward ocean heat flux is  
410 overruled by a stronger downward atmospheric heat flux in the cold season, while in  
411 summertime with warmer atmospheric temperatures, the upward heat flux can melt  
412 the ice both vertically and laterally. Thus summertime sea ice concentration decreases  
413 both in the marginal sea ice zone and in the pack, while wintertime sea ice  
414 concentration decreases mostly in the marginal sea ice zone.

415 In the Canadian and Eurasian Basin, the increased vertical mixing will speed up  
416 vertical heat and salinity exchange, bring the underlying warm and saline water into  
417 the surface layer to melt sea ice, and induce cooling of the cold halocline layer and the  
418 Atlantic Water layer. The strength of the cold halocline layer weakens together with a  
419 much fresher Atlantic Water layer, and more volume of saline water enters into deep  
420 ocean below the Atlantic Water layer. In the surface layer, water becomes more saline  
421 in the Canadian Basin, but fresher in the East Siberian Sea and Laptev Sea areas. This  
422 difference may be due to external freshwater forcing. In the Canadian Basin, there is  
423 little external freshwater forcing, and increased vertical mixing induces excessive  
424 mixing in the surface layer and the cold halocline layer, thus surface water becomes  
425 more saline. In the Laptev Sea, strong river runoff continuously inputs freshwater into  
426 the Laptev Sea and increased vertical mixing perturbs the upper 80 m of the water  
427 column (not shown), so that the surface water becomes fresher in the Laptev Sea. At  
428 200 m depth, strong ocean temperature reductions by up to 2 °C appear in the western  
429 Greenland Sea, Baffin Bay and southern Eurasian Basin. The result of stronger  
430 vertical mixing leads to more heat escaping from the Atlantic Water layer into the  
431 surface layer. A cooling of the Atlantic Water layer is also reported in Zhang and  
432 Steele (2007).

433 The increased vertical mixing induces cyclonic circulation anomalies in the  
434 surface layer shallower than 20 m depth and in the interior ocean deeper than 700 m  
435 depth, but anti-cyclonic circulation anomalies between them. At the surface layer,  
436 there is an anomalous westward surface flow along the eastern edge of the Canadian  
437 Basin and the Eurasian Basin. The Atlantic current recirculation in the Greenland Sea  
438 weakens with increased vertical mixing. At 200 m depth, the Beaufort Gyre

439 accelerates. There is anomalous westward flow along the edge of the Eurasian  
440 continent and the Canadian Arctic Archipelago. At 750 m depth, cyclonic circulation  
441 anomalies appear in the eastern Makarov Basin while there are anti-cyclonic  
442 circulation anomalies in the Greenland Sea. Anti-cyclonic circulation anomalies mean  
443 that the basic cyclonic circulation decelerates and less heat, which is carried by the  
444 cyclonic circulation, enters the Canadian Basin and the Eurasian Basin. The heat  
445 decrements corresponding to the anti-cyclonic circulation anomalies may partly  
446 contribute to cooling of the Eurasian Basin at 200 m depth. Zhang and Steele (2007)  
447 found that excessively strong vertical mixing (approximately  $1.25 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$ ) changes  
448 the basic cyclonic Atlantic layer circulation pattern into an anticyclonic pattern in the  
449 Canadian Basin. In this study, excessively strong vertical mixing (approximately  
450  $1.36 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$ ) does not change the basic cyclonic Atlantic layer circulation pattern  
451 in the Canadian Basin. This difference may be partly due to the different models used  
452 by the two studies. Different models implement different physical parameterizations,  
453 so that they simulate different upper ocean properties and stratification. The same  
454 increments of vertical mixing coefficient may induce different stratification changes,  
455 which further lead to different Atlantic layer circulation patterns.

456 Corroborating our findings from the viewpoint of decreased vertical mixing,  
457 previous studies also found that stronger Arctic Ocean stratification due to increased  
458 freshwater input leads to a warming of the cold halocline layer and Atlantic Water  
459 layer, and an accelerating of the cyclonic circulation in Atlantic Water layer  
460 (Nummelin et al., 2016). In the context of global warming (Hartmann et al., 2013) and  
461 with the Arctic summer sea ice cover decline (Stroeve et al., 2005, 2008), the vertical  
462 mixing in the interior Arctic Ocean will likely increase (see Guthrie et al., 2013 for an  
463 alternative point of view). The increased vertical mixing will speed up vertical heat  
464 and salinity exchange, the decreased vertical temperature and salinity gradient of the  
465 upper Arctic ocean leads to a weaker Arctic Ocean stratification with consequences  
466 for the circulation pattern. The heat of the Atlantic Water layer will eventually reach  
467 the surface layer and contribute to melting the sea ice. This mechanism is also partly  
468 supported by Zhang et al. (2000), where the increased input of Atlantic water into  
469 Arctic Ocean and reduced halocline strength (Steele and Boyd, 1998) cause an  
470 increased upward heat flux, which limits ice growth and enhances lateral melting.  
471 Considering such physical mechanisms, the Arctic Ocean may become ice free in  
472 summer earlier than predicted by current climate models with prescribed constant

473 vertical background diffusivity.

474 Temperature and salinity biases exist between the baseline run and WOA13 data.  
475 These biases have a negligible effect on our conclusion. First, the WOA13 data  
476 themselves are biased towards summer conditions when it is easier to collect  
477 observations in the Arctic Ocean. Second, when analyzing model results we describe  
478 the differences between the sensitivity runs and the baseline run, these differences are  
479 almost independent of the biases. Third, our study can be seen as a qualitative  
480 research in the sense that the conclusion of the study, that is, increased vertical mixing  
481 reduces sea ice thickness and the vertical salinity gradient of the cold halocline layer,  
482 will not change even if the biases amplify. So far, our study has shown that the  
483 vertical mixing induces extra heat and salinity exchange that will have a noticeable  
484 impact on the upper ocean structure, ocean circulation and sea ice in the Arctic ocean.  
485 However, the design of our experiments with modified constant background  
486 diffusivity coefficient may be too simple to do justice to complex interplay of  
487 processes in the interior Arctic. A more sophisticated mixing scheme for Arctic model  
488 may be necessary to corroborate our results. It is difficult to estimate a threshold value  
489 for vertical background mixing for which the response of the Arctic circulation and  
490 sea ice will be significant. Additionally, in our experiment the vertical mixing of the  
491 entire water column was increased, whereas in a more realistic scenario, wind-driven  
492 mixing mostly occurs in upper several hundred meters and tide-driven mixing occurs  
493 around rough topography. In this sense, our results may serve as an early step towards  
494 exploring the effects of vertical mixing in a changing Arctic Ocean.

495

496

497 **Acknowledgments.** The authors thank the National Snow and Ice Data Center  
498 (NSIDC) for providing the MASIE data (<http://nsidc.org/data/masie/>), the National  
499 Oceanic and Atmospheric Administration (NOAA) for the WOA13 V2 data  
500 (<https://www.nodc.noaa.gov/OC5/woa13/woa13data.html>), the Japan Meteorological  
501 Agency (JMA) for the JRA analysis data ([http://jra.kishou.go.jp/JRA-](http://jra.kishou.go.jp/JRA-55/index_en.html)  
502 [55/index\\_en.html](http://jra.kishou.go.jp/JRA-55/index_en.html)). We thank An T. Nguyen for providing the model configuration.  
503 We thank the two anonymous reviewers for the constructive comments. This work is  
504 supported by the National Natural Science Foundation of China (41506224). This  
505 paper is a contribution to the project T3 (Energy transfers in gravity plumes) of the  
506 Collaborative Research Centre TRR 181 “Energy Transfer in Atmosphere and Ocean”

507 funded by the German Research Foundation.

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676

677 Figure Captions

678

679 Figure 1. Time series of basin mean (a) sea ice concentration and (b) upper 200 m  
680 averaged ocean temperature in °C. The black, blue, red curves represent the KPP001,  
681 KPP100, KPP250 runs, respectively.

682

683 Figure 2. Time series of sea ice extent in km<sup>2</sup>. The solid and dashed line represents the  
684 KPP001 run and MASIE data.

685

686 Figure 3. The 2005-2012 mean ocean temperature in °C and salinity along the prime  
687 meridian from Atlantic to Pacific section of the KPP001 control run (1<sup>st</sup> column), and  
688 difference of KPP001 to World Ocean Atlas data (WOA13, 2<sup>nd</sup> column). The  
689 temperature in summertime, temperature in wintertime, salinity in summertime,  
690 salinity in wintertime are shown in rows 1 to 4, respectively. Wintertime refers to  
691 January, February and March. Summertime refers to July, August and September.

692

693 Figure 4. The 2005-2012 mean vertical distributions of spatial averaged (a) ocean  
694 temperature in °C and (b) salinity. The domain used in the calculation covers areas in  
695 the Canadian Basin and Eurasian Basin where the total depth is larger than 1000 m.  
696 The solid and dashed lines represent the KPP001 run and WOA13 data, respectively.

697

698 Figure 5. Annual cycle of basin mean (a) sea ice concentration and (b) sea ice  
699 thickness in m. The black, blue, red curves represent the KPP001, KPP100, KPP250  
700 runs, respectively.

701

702 Figure 6. Differences of the 2004-2013 mean sea ice concentration between runs  
703 KPP100 and KPP001 (1<sup>st</sup> column) and between runs KPP250 and KPP001 (2<sup>nd</sup>  
704 column). Rows 1 to 2 show the April sea ice concentration, the September sea ice  
705 concentration.

706

707 Figure 7. Differences of the 2004-2013 mean sea ice thickness in m and length of sea  
708 ice season in days between runs KPP100 and KPP001 (1<sup>st</sup> column) and between runs  
709 KPP250 and KPP001 (2<sup>nd</sup> column). Rows 1 to 3 show the April sea ice thickness, the  
710 September sea ice thickness, the length of sea ice season.

711

712 Figure 8. Vertical distribution of domain mean (a) ocean temperature in °C, (b) ocean  
713 salinity, and normalized domain integrated (c) topostrophy. The averaging domain  
714 used in the calculation cover areas in the Canadian Basin and Eurasian Basin where  
715 total depth is larger than 1000 m. The black, blue, red curves represent the KPP001,  
716 KPP100, KPP250 runs, respectively.

717

718 Figure 9. Differences in the 2004-2013 mean ocean temperature in °C at different  
719 depths between runs KPP100 and KPP001 (1<sup>st</sup> column) and runs KPP250 and  
720 KPP001 (2<sup>nd</sup> column). Rows 1 to 3 show surface temperature, temperature at 200 m  
721 depth, temperature at 1200 m depth.

722

723 Figure 10. Difference in the 2004-2013 mean ocean salinity between runs KPP100  
724 and KPP001 (1<sup>nd</sup> column) and runs KPP250 and KPP001 (2<sup>nd</sup> column) . Rows 1 to 3  
725 show surface salinity, salinity at 200 m depth, salinity at 2000 m depth.

726

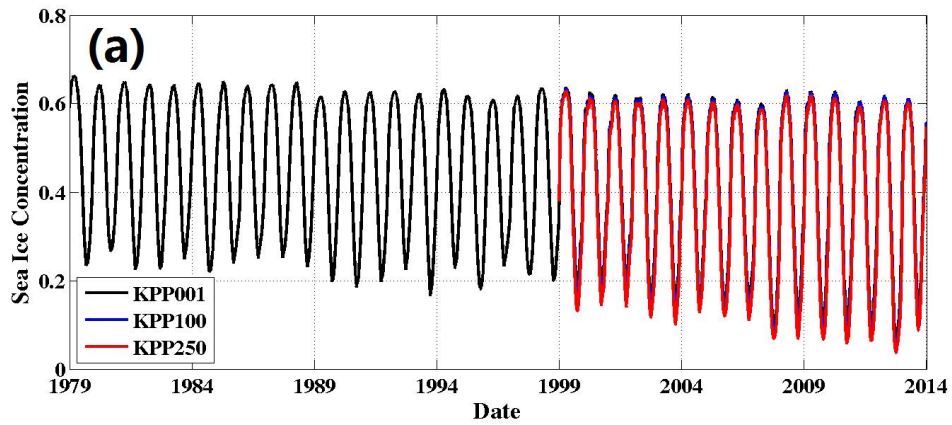
727 Figure 11. The 2004-2013 mean ocean current in ms<sup>-1</sup> (1<sup>st</sup> column) and difference  
728 between runs KPP250 and KPP001 (2<sup>nd</sup> column). Rows 1 to 3 show velocity at 10 m  
729 depth, 200 m depth, 750 m depth. Color denotes the absolute velocity.

730

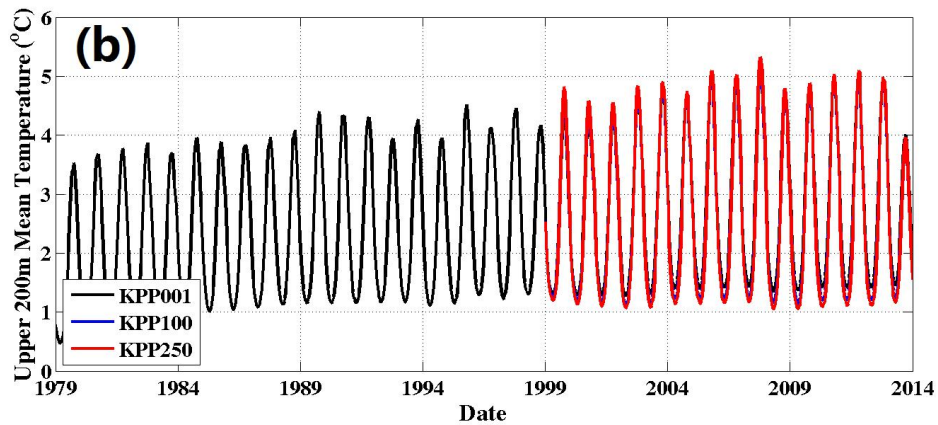
731 Figure 12. Tracer tendency terms in the Canadian Basin and Eurasian Basin. (a)  $\frac{\partial T}{\partial t_{bd}}$ ,  
732 (b)  $\frac{\partial T}{\partial t_{va}}$ , (c)  $\frac{\partial S}{\partial t_{bd}}$ , (d)  $\frac{\partial S}{\partial t_{va}}$ . Units for (a) and (b) are °C\*s<sup>-1</sup>. Units for (c) and (d) are s<sup>-1</sup>.

733 The black, blue, red curves represent the KPP001, KPP100, KPP250 runs,  
734 respectively.

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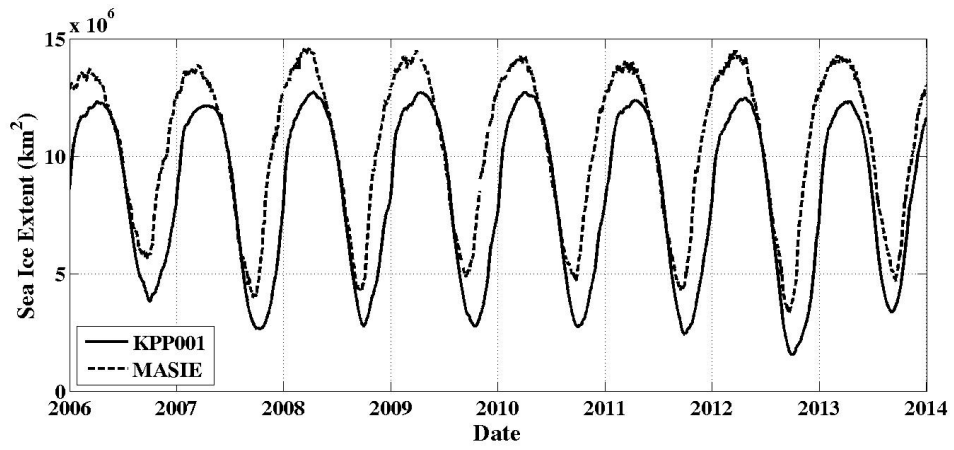
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739 Figure 1. Time series of basin mean (a) sea ice concentration and (b) upper 200 m  
 740 averaged ocean temperature in °C. The black, blue, red curves represent the KPP001,  
 741 KPP100, KPP250 runs, respectively.

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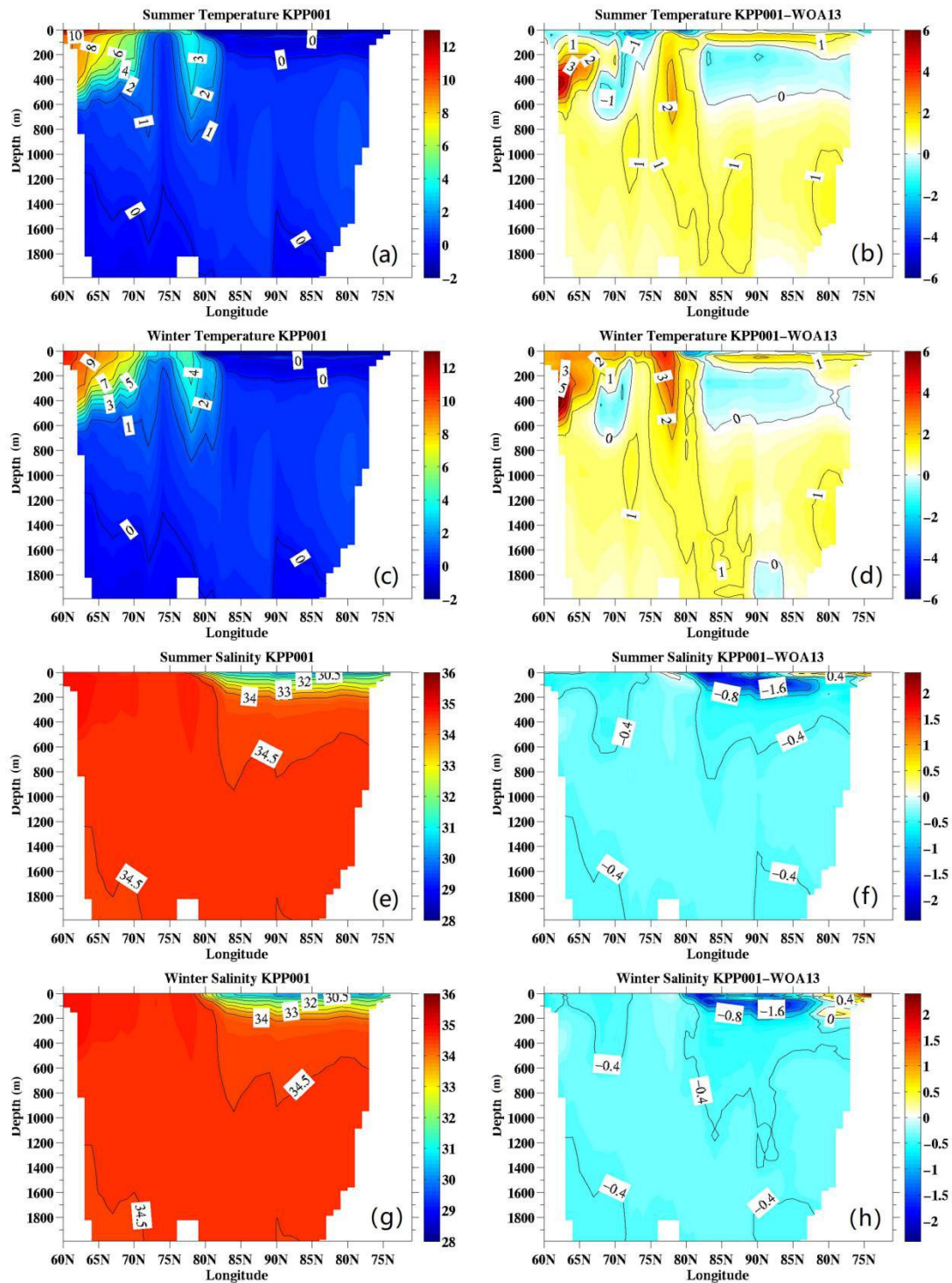




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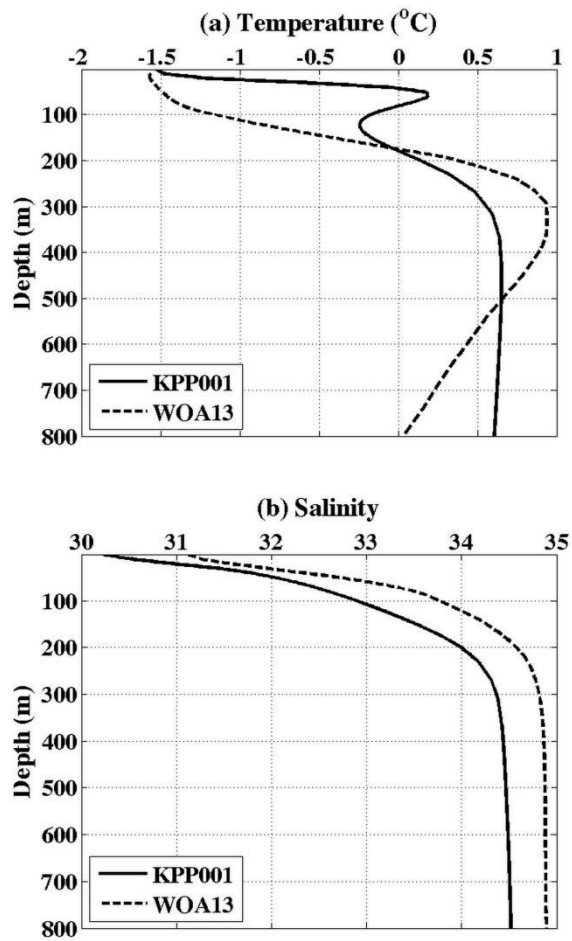
745 Figure 2. Time series of sea ice extent in km<sup>2</sup>. The solid and dashed line represents the  
746 KPP001 run and MASIE data, respectively.

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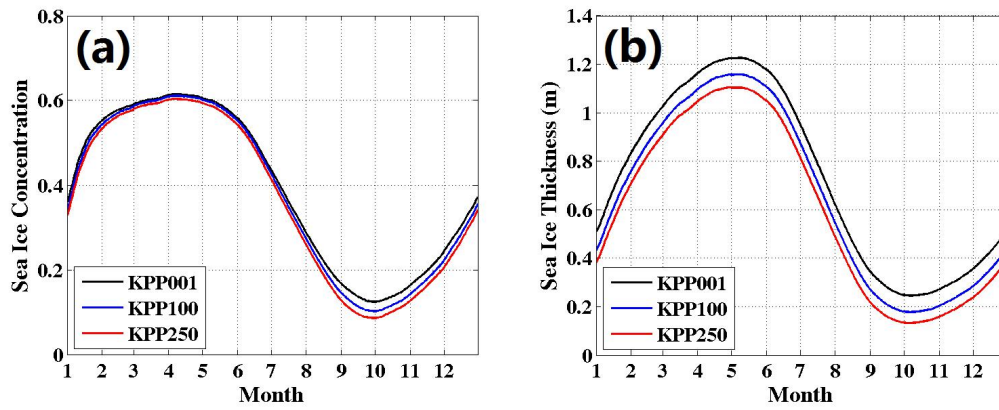
749 Figure 3. The 2005-2012 mean ocean temperature in °C and salinity along the prime  
 750 meridian from Atlantic to Pacific section of the KPP001 control run (1<sup>st</sup> column), and  
 751 difference of KPP001 to World Ocean Atlas data (WOA13, 2<sup>nd</sup> column). The  
 752 temperature in summertime, temperature in wintertime, salinity in summertime,  
 753 salinity in wintertime are shown in rows 1 to 4, respectively. Wintertime refers to  
 754 January, February and March. Summertime refers to July, August and September.



755

756 Figure 4. The 2005-2012 mean vertical distributions of spatial averaged (a) ocean  
 757 temperature in °C and (b) salinity. The domain used in the calculation covers areas in  
 758 the Canadian Basin and Eurasian Basin where the total depth is larger than 1000 m.  
 759 The solid and dashed lines represent the KPP001 run and WOA13 data, respectively.

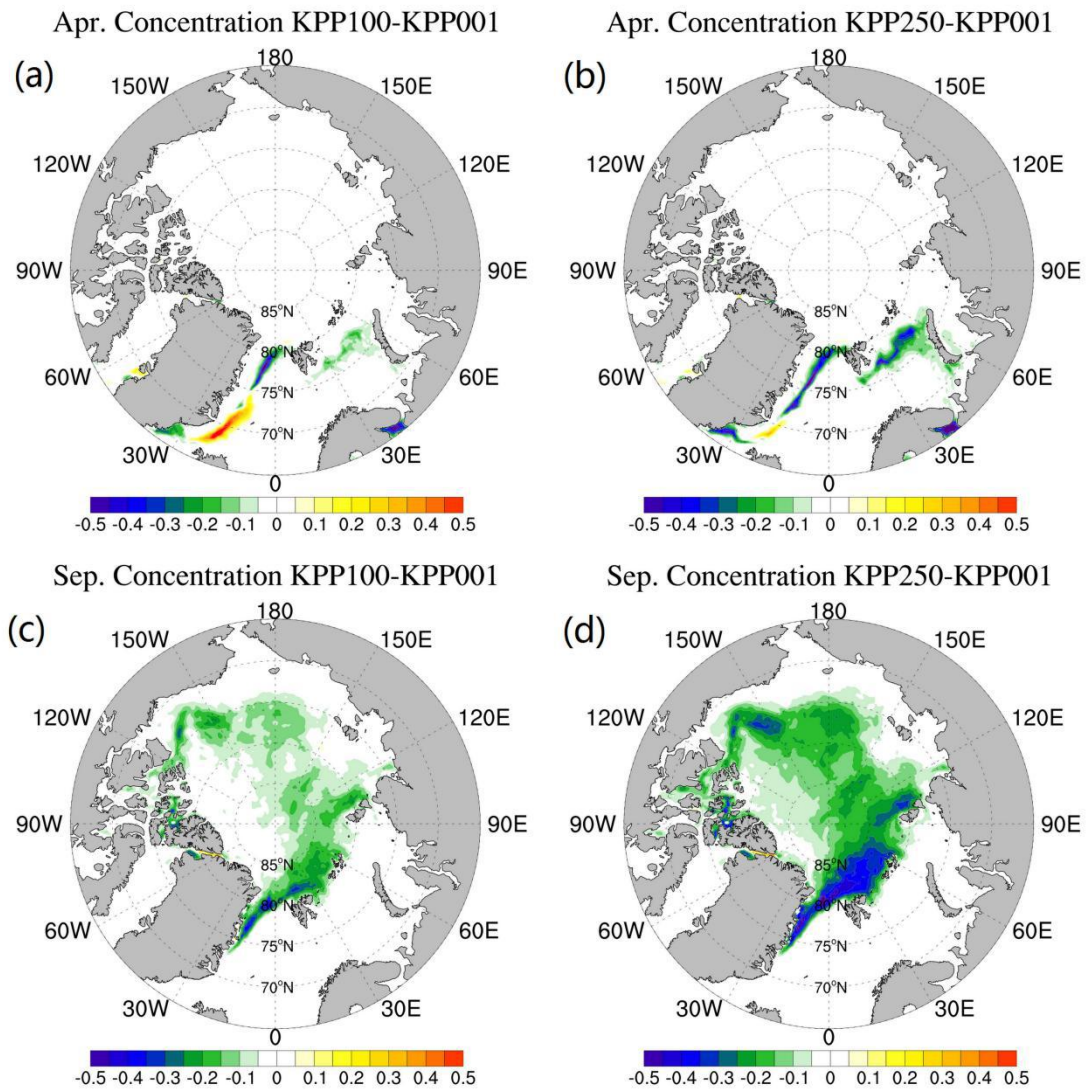
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762 Figure 5. Annual cycle of basin mean (a) sea ice concentration and (b) sea ice  
 763 thickness in m. The black, blue, red curves represent the KPP001, KPP100, KPP250  
 764 runs, respectively.

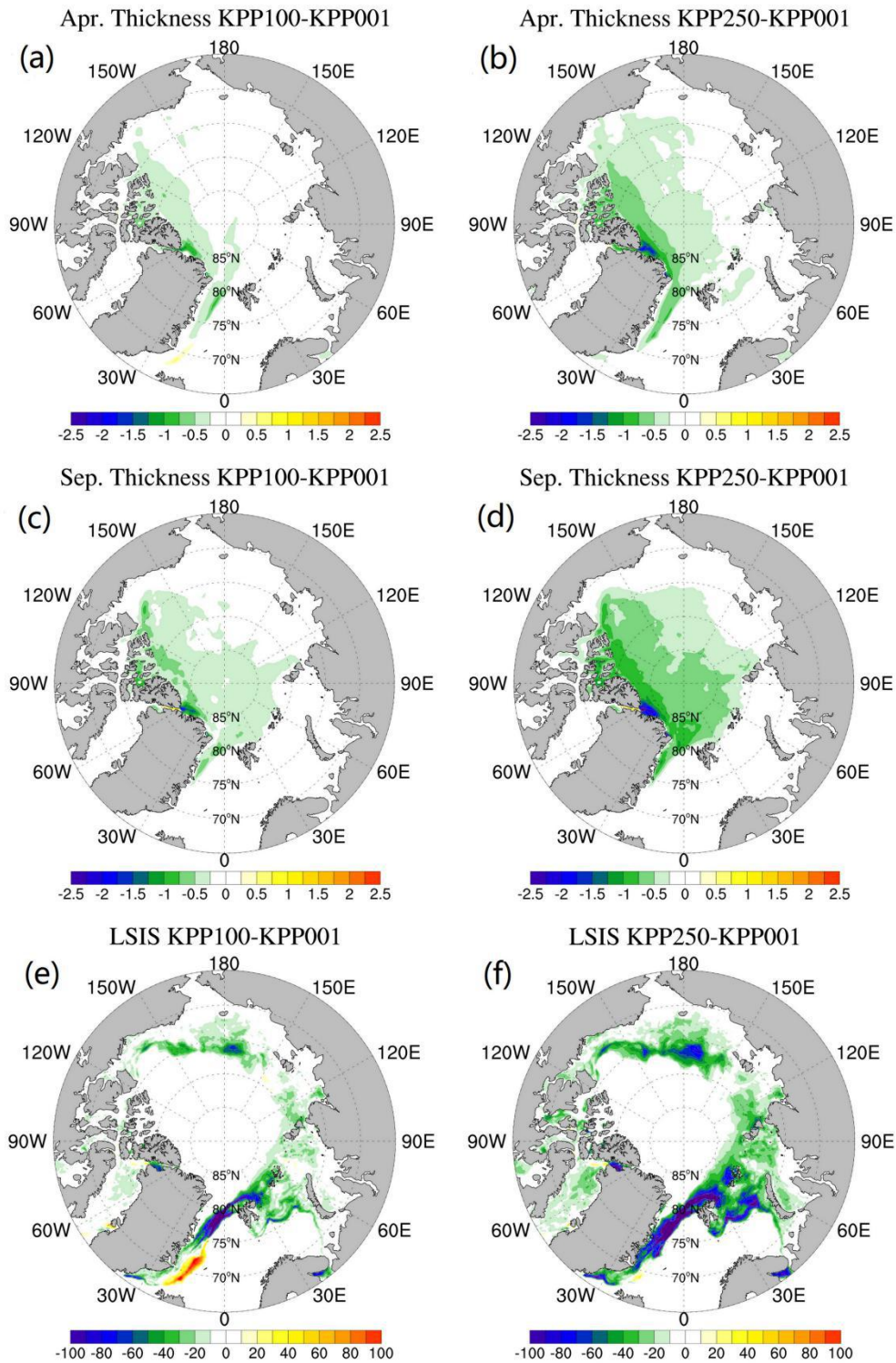
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767 Figure 6. Differences of the 2004-2013 mean sea ice concentration between runs  
 768 KPP100 and KPP001 (1<sup>st</sup> column) and between runs KPP250 and KPP001 (2<sup>nd</sup>  
 769 column). Rows 1 to 2 show the April sea ice concentration, the September sea ice  
 770 concentration.

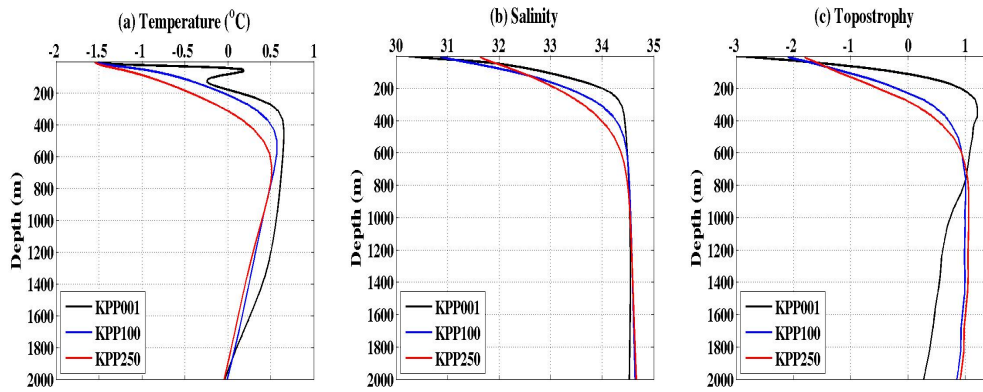




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772 Figure 7. Differences of the 2004-2013 mean sea ice thickness in m and length of sea  
 773 ice season in days between runs KPP100 and KPP001 (1<sup>st</sup> column) and between runs  
 774 KPP250 and KPP001 (2<sup>nd</sup> column). Rows 1 to 3 show the April sea ice thickness, the  
 775 September sea ice thickness, the length of sea ice season.

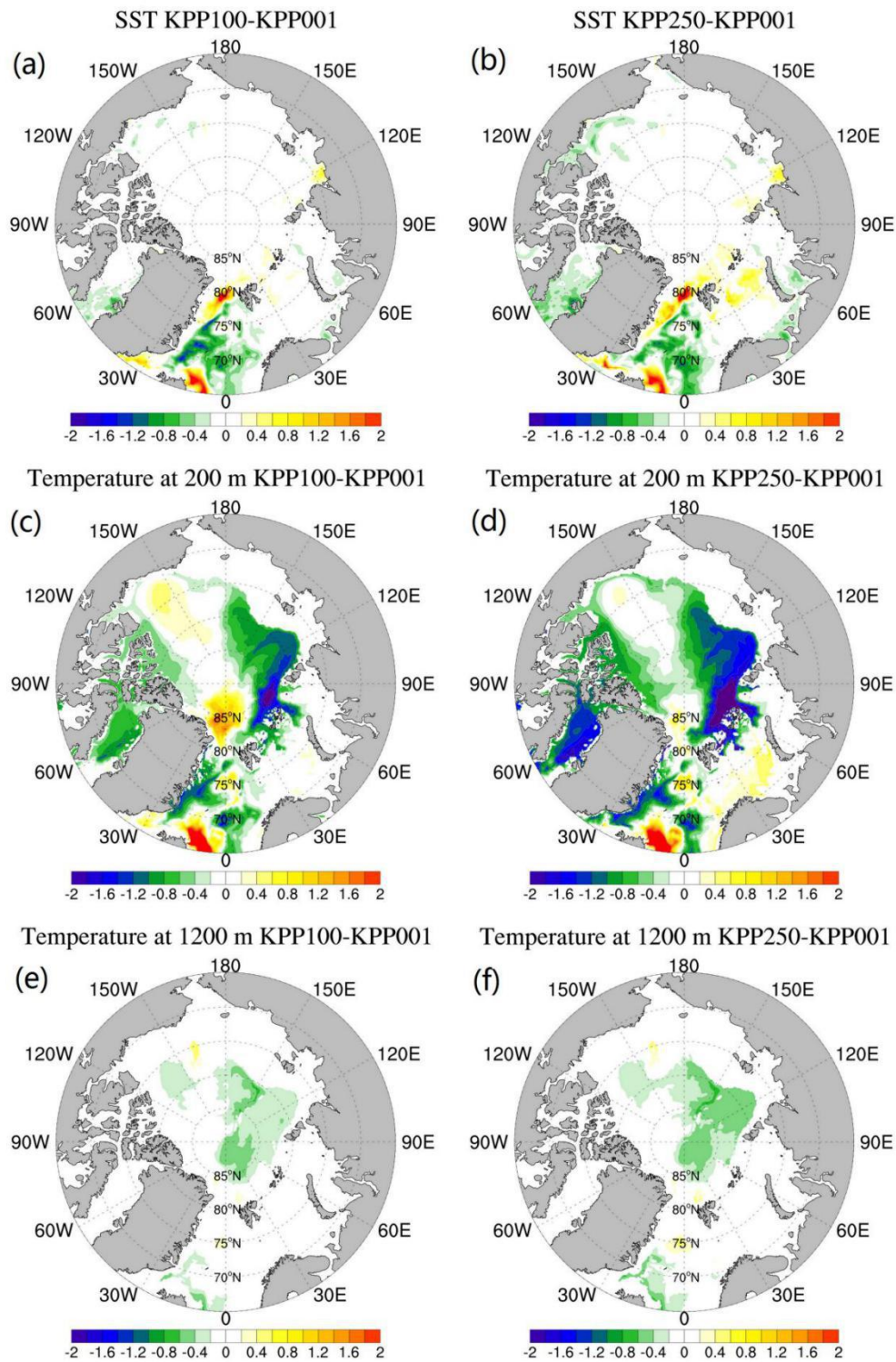
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778 Figure 8. Vertical distributions of spatial averaged (a) ocean temperature in °C, (b)  
 779 salinity, and normalized spatial integrated (c) topostrophy. Domain used in the  
 780 calculation cover areas in the Canadian Basin and Eurasian Basin where total depth is  
 781 larger than 1000 m. The black, blue, red curves represent the KPP001, KPP100,  
 782 KPP250 runs, respectively.

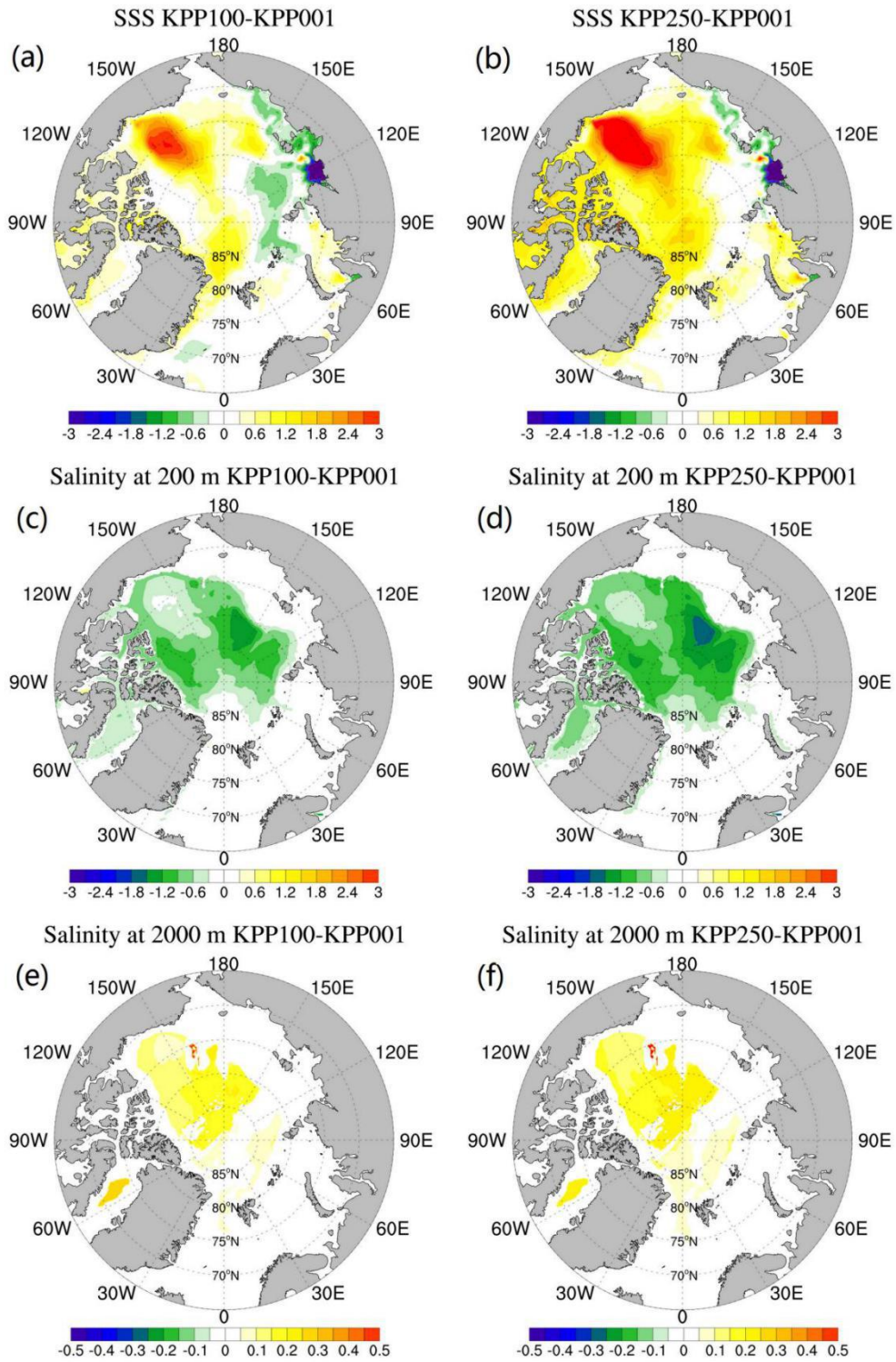
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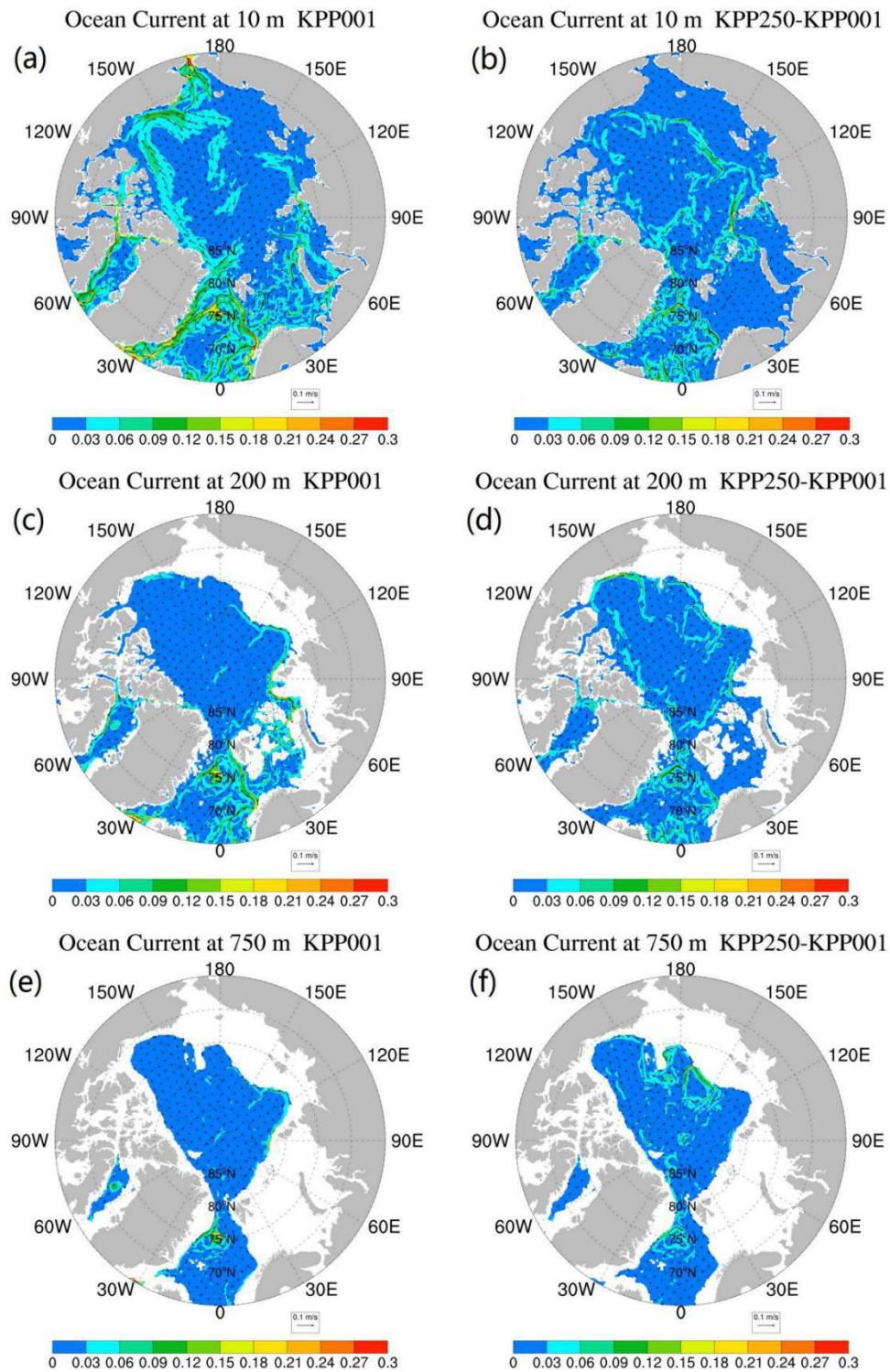
785 Figure 9. Differences in the 2004-2013 mean ocean temperature in °C at different  
 786 depths between runs KPP100 and KPP001 (1<sup>st</sup> column) and runs KPP250 and  
 787 KPP001 (2<sup>nd</sup> column). Rows 1 to 3 show surface temperature, temperature at 200 m  
 788 depth, temperature at 1200 m depth.





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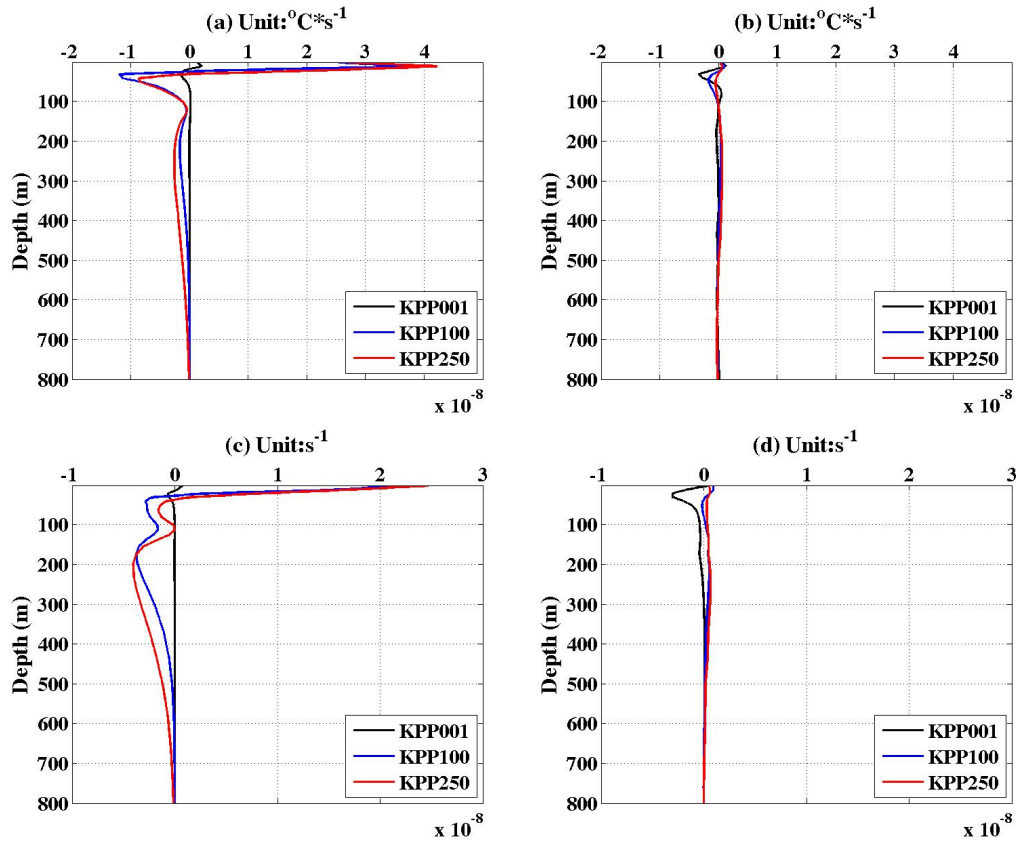
790 Figure 10. Difference in the 2004-2013 mean ocean salinity between runs KPP100  
 791 and KPP001 (1<sup>nd</sup> column) and runs KPP250 and KPP001 (2<sup>nd</sup> column) . Rows 1 to 3  
 792 show surface salinity, salinity at 200 m depth, salinity at 2000 m depth.



793

794 Figure 11. The 2004-2013 mean ocean current in  $\text{ms}^{-1}$  (1<sup>st</sup> column) and difference  
 795 between runs KPP250 and KPP001 (2<sup>nd</sup> column). Rows 1 to 3 show velocity at 10 m  
 796 depth, 200 m depth, 750 m depth. Color denotes the absolute velocity.

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800 Figure 12. Tracer tendency terms in Canadian Basin and Eurasian Basin. (a)  $\frac{\partial T}{\partial t_{bd}}$ , (b)  
 801  $\frac{\partial T}{\partial t_{va}}$ , (c)  $\frac{\partial S}{\partial t_{bd}}$ , (d)  $\frac{\partial S}{\partial t_{va}}$ . Units for (a) and (b) are  $^{\circ}\text{C}\cdot\text{s}^{-1}$ . Units for (c) and (d) are  $\text{s}^{-1}$ . The  
 802 black, blue, red curves represent the KPP001, KPP100, KPP250 runs, respectively.  
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