

Iceberg signatures and detection in SAR images in two test regions of the Weddell Sea, Antarctica

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ABSTRACT. A pixel-based methodology was established for automatic identification of icebergs in satellite synthetic aperture radar (SAR) images, which were acquired during different seasons and for different sea ice conditions. This includes in particular smaller icebergs (longitudinal axis between 100 m and 18.5 km). Investigations were carried out for two test regions located in the Weddell Sea, Antarctica, using images of the ENVISAT Advanced SAR (ASAR) at HH-polarization, and of the ERS-2 SAR (VV-polarized). From the former, a sequence of Image Mode (IM) and Wide Swath Mode (WS) data were available for the whole year 2006. The ERS-data were acquired around the tip of the Antarctic Peninsula in spring and summer months of the years 2000 to 2003. The minimum size of icebergs that could be identified in the IM-mode images was less than 0.02 km². Radar backscattering coefficients of icebergs, sea ice and open water were determined separately. It is demonstrated that the error in separating icebergs from their surroundings (sea ice or open water) depends on meteorological, oceanographic, and sea ice conditions. Also the preprocessing of the SAR images (e. g. speckle reduction) influences the iceberg recognition. Differences of detection accuracy as a function of the season could not be substantiated for our test sites, but have in general to be taken into account as results of other investigations indicate.

INTRODUCTION

Icebergs are fragments of inland ice masses, which break off from the edges of ice sheets, shelves or glacier tongues (Young and others, 1998; Paterson, 1994). The interest in monitoring icebergs has a number of reasons. Most obvious is the fact that they present a serious hazard to marine traffic. For Antarctica, iceberg calving is the largest term of

25 freshwater flux from the ice sheet into the ocean, but corresponding quantitative estimates reveal large uncertainties
26 (Jacobs and others, 1992; Paterson, 1994; Silva and Bigg, 2005). One reason is that only huge icebergs (lengths above 10 nm
27 or 18.5 km) have been systematically monitored (Silva and others, 2006). When icebergs melt, they affect the local stability
28 of the ocean layers (Silva and others, 2006; Jenkins, 1999). When the input of freshwater in the upper layers increases, the
29 water column is stabilized. A reduction of freshwater input enhances the deep convection and leads to sea ice thinning
30 (Schodlok and others, 2006). Tracking of icebergs is useful for studying the mean currents of the upper ocean layers since
31 they have a much stronger influence on the drift of larger icebergs than surface winds. Since icebergs transport mete-
32 oric dust, their melting leads to a fertilization of the upper ocean layers. Grounded icebergs influence the local benthic
33 ecosystem (Gutt and Starmans, 2001).

34 A number of different satellite sensors have been used for monitoring icebergs. The employment of data from opti-
35 cal sensors such as the Thematic Mapper (TM) on NASA's (National Aeronautic and Space Administration) LANDSAT
36 or MERIS (Medium Resolution Imaging Spectrometer) on ESA's (European Space Agency) ENVISAT (Environmental
37 Satellite) requires suitable cloud and light conditions. This restriction does not hold for imaging radars such as the SAR
38 (Synthetic Aperture Radar) onboard ERS-1/2 (European Remote Sensing Satellite 1 and 2) or the ASAR (Advanced SAR)
39 onboard ENVISAT. With their high spatial resolution of 30 m the detection of even small icebergs with an edge length of
40 about 100 m is possible.

41 In this study, we deal with the unsupervised identification of icebergs in SAR images. Automatic detection of icebergs
42 using SAR images was investigated in a number of studies. The simplest method for object detection is to define intensity
43 thresholds for separating different object classes (e. g. icebergs, sea ice, and water). This approach was, e. g., used by
44 Willis and others (1996). They focused on the detection of icebergs in ERS-1 images, mainly under open sea conditions.
45 In order to eliminate smaller targets (clusters less than five pixels) with intensities similar to the one of icebergs, they
46 applied morphological filters. Williams and others (1999) developed a method for identification of icebergs based on edge
47 detection and segmentation by pixel bonding. Their argument for such an approach is that it is important to identify
48 icebergs as individuals even if they are located very close to one another (such as in iceberg clusters). They carried out
49 tests on ERS-1 images and found that the technique was not reliable for icebergs of less than six image pixels in size,
50 that it generally overestimated the iceberg area, and that it was sometimes difficult to separate segments belonging to the
51 iceberg class from sea ice or open water segments. Taking the shortcomings into account, this approach was also used
52 by Young and others (1998) for a detailed study of spatial distribution and size statistics of icebergs in the East Antarctic
53 sector. In the method presented by Silva and Bigg (2005), edges between segments of different backscattering coefficients
54 are determined in windows of different sizes, i. e. on different spatial scales. The results of different scales are combined in

55 order to obtain precise edge positioning with robustness to noise. In subsequent steps, algorithms are applied for merging
56 segments belonging to the same object and to identify icebergs by applying a set of criteria that define typical ranges of
57 the backscattering coefficient and of geometrical parameters based on area, perimeter, and major/minor axis.

58 The application of the methods described above relies on a detailed knowledge of radar intensity variations in the
59 marine polar environment. To our knowledge, a comparative study of backscattering characteristics of icebergs and the
60 "background", i. e. sea ice or open water or a mixture of both around the icebergs, is still lacking for the Antarctic. With our
61 study we intend to fill this gap. The sensitivity of the backscattering intensities of open water surfaces to wind speed and
62 direction is a well known phenomenon (e. g., Power and others (2001)). For a number of reasons, icebergs must also be
63 identified when captured in sea ice during winter time. Larger areas of the western Weddell Sea are covered by perennial
64 ice. For this ice type, Haas (2001) found a significant seasonal cycle of the backscattered radar intensity. Sea ice structures,
65 such as deformation zones or large cracks on the kilometer-scale, are characterized by a high backscattering intensity
66 similar to icebergs.

67 The main objectives of this paper are to analyze variations of backscattering signatures from icebergs, sea ice and open
68 water surfaces and their dependence on environmental conditions. Considering the results, a methodology is developed
69 for automated detection of icebergs, focusing in particular on icebergs with a longitudinal axis significantly smaller than
70 10 nm. The paper is structured as follows: We give a short overview regarding iceberg and sea ice physical properties and
71 introduce the model, which we used for the statistical distribution of radar intensities. After information is provided on
72 the available SAR images and the areas of investigation, the observed backscattering intensities and intensity statistics of
73 icebergs and background (sea ice, water surface) are presented. From the statistics a detection method is derived and ap-
74 plied to a number of SAR images. A performance study using a reference data set of manually identified icebergs provides
75 quantitative measures for an assessment of the unsupervised method and possible seasonal differences. Also included are
76 examples for estimating the total iceberg area for a given region by employing the developed automated method in
77 comparison to reference data, which also demonstrate problems that occur in the unsupervised iceberg detection.

78 ICEBERGS AND SEA ICE IN SAR IMAGES

79 Icebergs are categorized in a number of different size classes: (a) growler (0-5 m), (b) bergy bit (5-15 m), (c) small berg
80 (15-60 m), (d) medium berg (60-120 m), (e) large berg (120-220 m), and (f) very large bergs (>220 m). The shape categories
81 are: (1) tabular, (2) non-tabular, (3) domed, (4) wedge, (5) dry dock, (6) pinnacle, and (7) blocky (Jackson and Apel (2005),
82 pp. 411). In satellite images, the different shape categories can hardly or even not all be distinguished.

83 The radar backscattering coefficient of an iceberg is the sum of surface and volume contributions. For the analysis of
84 radar signatures, the variable surface characteristics of icebergs have to be considered. The upper part of many icebergs

85 is covered by snow or firn. Smaller icebergs may have rolled over. In such a case, their surface consists of pure ice,
86 which may quickly become weathered. The scattering intensity depends on the iceberg's shape and the roughness of its
87 surface, and on the fraction, size and shape of cracks, air bubbles and impurities in the ice volume (Willis and others,
88 1996; Young and others, 1998). The penetration depths of the radar signal at C-band range from 3 m to 14 m depending
89 on the dielectric properties and the volume structure (e. g. presence of air inclusions) (Power and others, 2001). In L-band
90 SAR images, bright ghost signals were found close to icebergs (125 - 600 m in size) which were explained by time-delayed
91 reflections of radar waves from the ice-water interface at the bottom of an iceberg (Gray and Arsenault, 1991). Under
92 surface freezing conditions, icebergs appear as bright objects against a darker background of sea ice or open water at low
93 to moderate wind speeds. In regions, where the summer air temperatures are at or above the melting point, liquid water
94 and/or wet snow on the iceberg surface reduce the volume scattering contribution significantly. In this case, the icebergs
95 stand out as dark targets.

96 Sea ice is a mixture of freshwater ice, liquid brine, solid salt crystals, and air voids. Its radar backscattering characteristics
97 depend on the ice salinity and temperature, fraction, size, and shape of air bubbles and brine inclusions, small-scale
98 surface roughness (with undulations on the order of the radar wavelength), and large-scale (meter to kilometer) surface
99 structure. Older ice is less saline. Hence, radar waves penetrate deeper into the ice and the volume scattering contribution
100 increases. Various processes at the ice surface or the snow-ice interface, such as melt-freeze cycles, flooding, or the forming
101 of superimposed ice, affect the total backscattering magnitude and the balance between surface and volume scattering.

102 For the definition of intensity thresholds between icebergs and their background, the statistics of the radar backscat-
103 tering coefficients needs to be considered. Even if the "true" backscattering coefficient is constant over a larger area com-
104 prising several pixels in a SAR image, the measured values reveal variations due to speckle (see, e. g., Oliver and Quegan
105 (1998)). Speckle appears as a grainy texture in radar images, which is caused by random constructive and destructive inter-
106 ferences of the scattered signals that occur within each SAR resolution cell. The magnitude of variation caused by speckle
107 is estimated from the effective number of looks (here denoted as L), which is a function of mean square and variance of the
108 radar intensity (see equation 2 below). For this purpose, we used a window of 50×50 pixels for the calculation of mean
109 and variance. Intensity variations due to speckle can be modeled by a gamma-distribution (Oliver and Quegan, 1998).
110 We tested this for icebergs, sea ice and open water and found only a moderate correspondence between observed and
111 modeled distributions. Therefore we suppose that the "true" radar backscattering coefficient varies on spatial scales that
112 are smaller than the window dimension that we used for calculating mean and variance. In this case the K-distribution
113 can be applied to describe the radar intensity statistics. The K-distribution is based on the assumption that the "true"
114 backscattering coefficient is gamma-distributed, and that speckle and radar intensity show variations on different scales

115 so that they can be treated separately (Oliver and Quegan, 1998). Variations of radar intensities over an iceberg may
 116 be caused, e. g., by a changing local surface slope (considering the different shapes of icebergs), or local variations of
 117 properties influencing the scattering. The K-distribution is given by:

$$f(x) = \frac{2}{x} \left(\frac{Lv x}{\mu} \right)^{\frac{L+v}{2}} \frac{1}{\Gamma(L)\Gamma(v)} K_{v-L} \left(2\sqrt{\frac{Lv x}{\mu}} \right), \quad (1)$$

118 where L is the effective number of looks, v is the order parameter, μ is the mean backscattering intensity, $\Gamma(*)$ is the
 119 gamma function, and $K_{v-L}(*)$ is the modified Bessel function of the second kind of order $v - L$. The effective number of
 120 looks is obtained from:

$$L = \frac{\mu^2}{var(x)}, \quad (2)$$

121 where var represents the variance of the backscattering intensity within the area of the window used for calculating
 122 L (Oliver and Quegan, 1998). The order parameter v can be derived from an adapted formula of the moment analysis
 123 (Redding, 1999):

$$v = \frac{\mu^2(L+1)}{var(x)L - \mu^2}. \quad (3)$$

124 DATA AND AREAS OF INVESTIGATION AND IMAGE COLLECTION

125 For our study we used ENVISAT ASAR and ERS-2 data, the former in Image mode (IM) and Wide-Swath mode (WS).
 126 The IM and ERS-2 images are provided at a pixel size of 12.5 m \times 12.5 m with an effective spatial resolution of 30 m \times
 127 30 m and a local incidence angle between 19.2 and 26.7° (IM image swath IS2) and 19.5 to 26.5° (ERS-2), respectively.
 128 The corresponding figures for WS images are 75 m \times 75 m for the pixel size with an effective spatial resolution of 150 m
 129 \times 150 m and local incidence angles between 17 and 43°. All ASAR images were recorded at C-band (5.3 GHz) at HH-
 130 polarization, while the ERS-2 data are VV-polarized. Sandven and others (2007) found that HH-polarization showed the
 131 most reliable results for iceberg identification. The SAR images were georeferenced and calibrated. We reduced the image
 132 size by averaging two adjacent pixels, hence doubling the pixel size, but reducing speckle. Since we focus on ocean
 133 regions, the calibration of the SAR images did not include terrain correction.

134 Two regions in the Weddell Sea were chosen for investigations. The criterion for selection was to cover different envi-
 135 ronmental conditions such as freezing and melting, sea ice concentrations between 0 and 100 percent and different sea

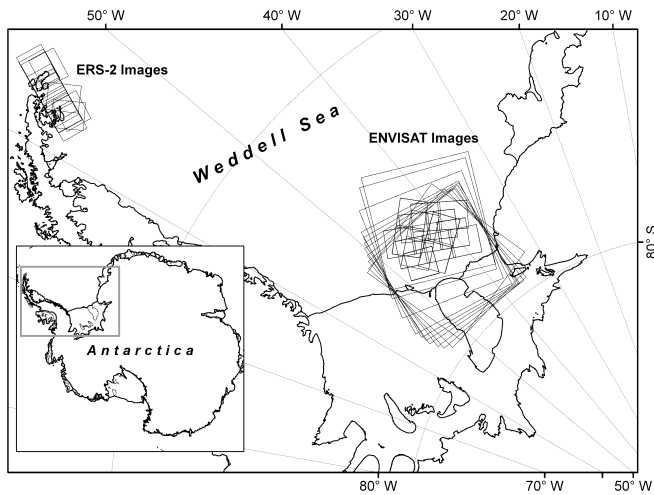


Fig. 1. Overview of the Weddell Sea region, indicating the two study regions and the positions of the images. The coast- and grounding lines as well as the island contours are taken from Haran and others (2006). See text for further details.

136 ice types. Changing conditions affect the absolute radar intensities as well as the relative intensity contrast between the
 137 icebergs and the surrounding sea ice or water surface.

138 The first region is located in the southern Weddell Sea, north of Berkner Island (Figure 1). It is covered with perennial
 139 sea ice (Stroeve and Meier, 1999) and air temperatures are at or above the melting point for only a few days during the
 140 year (see, e. g., ECMWF - European Centre for Medium-Range Weather Forecasts - <http://www.ecmwf.int/>, and Figure 4
 141 below). To investigate a complete seasonal cycle, 61 ENVISAT IM images available for the region of interest and spread in
 142 time across the year 2006 were used. These data were complemented by eleven ENVISAT Wide Swath Mode (WS) images,
 143 one at the beginning of each month starting in February 2006 (Figure 1).

144 The second test site is a region at the tip of the Antarctic Peninsula (Figure 1), which is subject to significant changes
 145 of environmental conditions over the year. During the summer months, air temperatures are mostly above freezing point
 146 and the sea ice concentration is close to zero. In the winter months, when the air temperatures are below zero, the sea
 147 ice cover is often closed (10/10 concentration). For this test site we have received 15 ERS-2 images that were recorded
 148 between 16 October 2000 and 18 January 2003 (Figure 1). The temperature information for the observation period was
 149 taken from the ECMWF data base.

150 **BACKSCATTERING STATISTICS**

151 For the statistical analyses of the ASAR IM image sequence, altogether 566 regions of interest (ROIs) were defined on
 152 icebergs, whereby each ROI covered the whole visible area of the respective iceberg. Hence, the area of each iceberg
 153 could be calculated from the size of the ROIs using standard modules of the image processing software. On sea ice, 600

154 rectangular ROIs were defined. The number of pixels covered by the area of each ROI was variable in case of the icebergs,
155 but was fixed to 400 x 400 pixels for sea ice and open water. In each of the ASAR and ERS-2 scenes, ten icebergs and
156 just as many sea ice/open water ROIs were defined. The largest icebergs with sizes up to 90 km² are covered in their
157 entire size only in the WS images, in the IM images only parts of them are visible. The smallest icebergs that could be
158 clearly identified in WS mode were about 0.2 km² in size, in the IM mode, the minimum size was 0.02 km². The positions
159 of the respective ROIs in the images were chosen randomly. In new- or first-year sea ice regimes, icebergs can be clearly
160 identified because their backscattering coefficient is higher by about 5 dB up to 10 dB (Young and others, 1998)). In wind
161 roughened open-water or deformed sea ice regimes, the iceberg backscattering coefficients do not differ significantly from
162 their surrounding. The visual detection of icebergs in radar images is nonetheless possible because of the radar shadow at
163 the side of an iceberg averted from the incoming radar waves, and because the radar signature of icebergs is usually more
164 homogeneous than sea ice or wind-roughened open water. We did not avoid multiple counts of individual icebergs in the
165 image sequence since we could not exclude temporal variations of the radar signatures. In the area of test site 1, temporal
166 variations of the backscattering coefficients of single icebergs and the differences between the backscattering coefficients
167 of different icebergs were considerable over the year of 2006. However, we did not recognize systematic changes as a
168 function of season.

169 **Southern Weddell Sea region**

170 We started the investigation by concentrating on the seasonal variation of iceberg and sea-ice backscattering intensities
171 in the southern Weddell Sea region, taking into account the effect of the radar incidence angle and the orientation of
172 the iceberg relative to the radar look direction. Five icebergs of different sizes (between 4 km² and 11 km²) and shapes
173 were selected, which could be identified in most images of the image sequence. The results are shown in Figure 2. The
174 mean backscattering intensities of the five icebergs vary as a function of time and differ between the image modes (IM
175 and WS). To investigate the relative contribution of different factors influencing the backscattering coefficients, a multiple
176 correlation coefficient ($r_{a,bcd}$) with one goal parameter (mean backscattering intensity (a)) and three independent impact
177 parameters (incidence angle (b), orientation (c), and recording day (d)) was calculated. This resulted in $r_{a,bcd} = 0.11$,
178 which means that none of the impact parameters had a considerable influence. Relatively, the incidence angle had the
179 largest impact with $r_{a,b} = -0.26$. The negative value indicates that the backscattering coefficient decreases with increasing
180 incidence angle. The orientation and recording day show almost no correlation with the backscattering coefficient ($r_{a,c} =$
181 -0.1 and $r_{a,d} = 0.12$). All correlation coefficients were calculated at a significance level of 99%. We note that in single
182 cases, the backscattered radar intensity of an iceberg may vary between SAR images acquired at different look directions,
183 dependent on the orientation of reflecting facets on the iceberg surface (Sandven and others, 2007). These facets are of

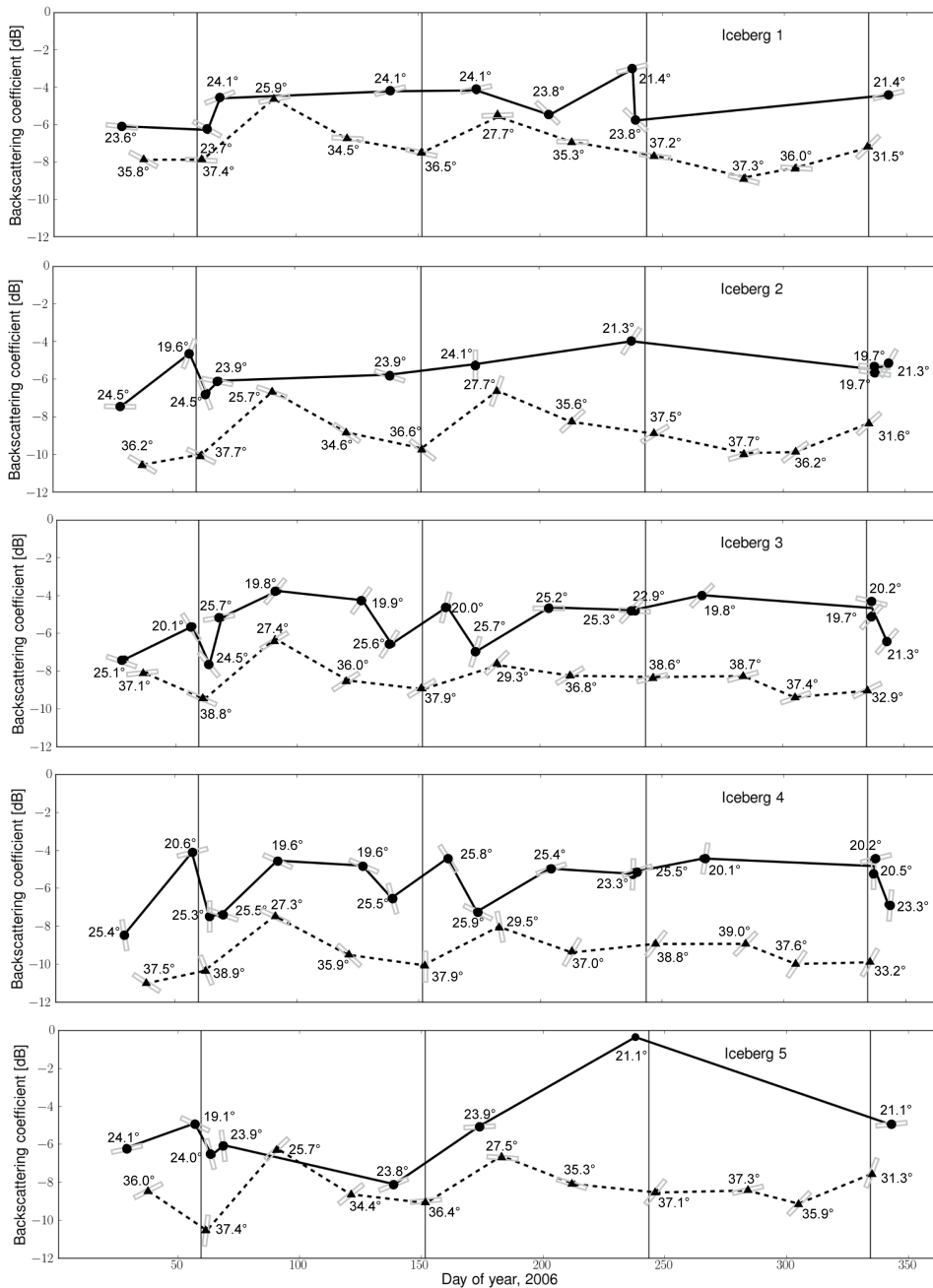


Fig. 2. Mean backscattering coefficient of five icebergs (in dB) as a function of the Julian day for 2006. Black circles are values obtained from IM images, black triangles indicate values from WS images. Grey rectangles represent the orientation of the longitudinal axis of the iceberg relative to the illumination direction. Numbers are the mean radar incidence angle. The vertical lines separate seasons, with the first and the last section being Antarctic summer. Icebergs 3 and 4 are located closely to one another, icebergs 1, 2, and 5 are separated from 3 and 4 and from one another by larger distances.

184 sizes on length scales of a few radar wavelengths. From position changes of the five icebergs in the SAR image sequence
 185 we obtained a value for the iceberg drift of about 16 km or less per year. Looking at SAR images of this region recorded in

186 the end of 2010, all icebergs can still be found. Since they are located over Berkner Bank, one possible reason for this very
187 slow drift (and observed iceberg rotations) could be that they occasionally may be in contact with the sea floor.

188 The sea ice backscattering coefficient changes, in particular over the transitions from freezing to melting conditions and
189 vice versa. According to Haas (2001), Antarctic sea ice backscattering reveals a seasonal cycle. The radar backscattering
190 coefficients are largest in late summer. Backscattering changes are caused by the metamorphosis of snow, the formation of
191 ice layers in the snow, and superimposed ice. These processes result in coarser snow grain sizes and an increasing number
192 of air bubbles in the near-surface layer, which increases the radar backscattering coefficients (Haas, 2001). Under such
193 conditions, the intensity contrast between icebergs and sea ice would be smallest in summer.

194 In order to consider a potential sensitivity of the intensity contrast to the season, we divided our data accordingly. The
195 numbers of available IM-images (in parenthesis WS-mode) are 6(3) for spring, 14(2) for summer, 20(3) for autumn, and
196 21(3) for winter. The numbers of identified icebergs varies between 62 in spring and 201 in winter. Huge icebergs (>10 nm,
197 named and monitored by the U.S. National Ice Center) were excluded from this analysis.

198 From Figure 3 it can be recognized that the observed ranges of the backscattering coefficient at a given incidence angle
199 are large both for icebergs and sea ice. We attribute this to local changes of iceberg properties on the surface and in the
200 subsurface layer affecting the scattering processes. In the WS images, only a few icebergs were observed at lower incidence
201 angles. According to Figure 3, the average incidence angle sensitivity does not differ significantly for icebergs and sea ice.
202 In general, the sensitivity is smallest for volume scattering, slightly larger for very rough surfaces and largest for smooth
203 surfaces (see, e. g. Fung (1994), Chapter 2). Figure 3 indicates that on average the contribution of volume scattering or
204 scattering from a very rough surfaces is dominant for icebergs and sea ice. The range of sea ice backscattering coefficients
205 in Figure 3 (obtained for HH-polarization) compares well with the results of ground-based scatterometer measurements
206 over rough first-year and over second-year ice reported by Drinkwater and others (1995). Their measurements were car-
207 ried out at VV-polaization. For rougher surfaces and in the case of volume scattering, the difference between VV- and
208 HH-polarization is only small.

209 In Table 1, the average, maximum and minimum variance-to-squared-mean ratios (VMR) are presented. For the sta-
210 tistical analysis, we estimated the number of looks for the pre-processed images by calculating mean and variance for
211 a number of apparently texture-free areas (Equation 2). The corresponding VMRs are on average 0.16 for IM data and
212 0.039 for WS mode. This agrees well with the minimum average values of the VMR listed in the table. Values close to the
213 minimum indicate that the radar intensity variation is caused only by speckle. Since the maximum and mean VMRs in
214 Table 1 are significantly larger than the minimum values, we have also to consider "real" variations of the backscattering
215 coefficient itself (opposed to "apparent" variations due to speckle) over areas, which are of similar sizes as the ROIs used

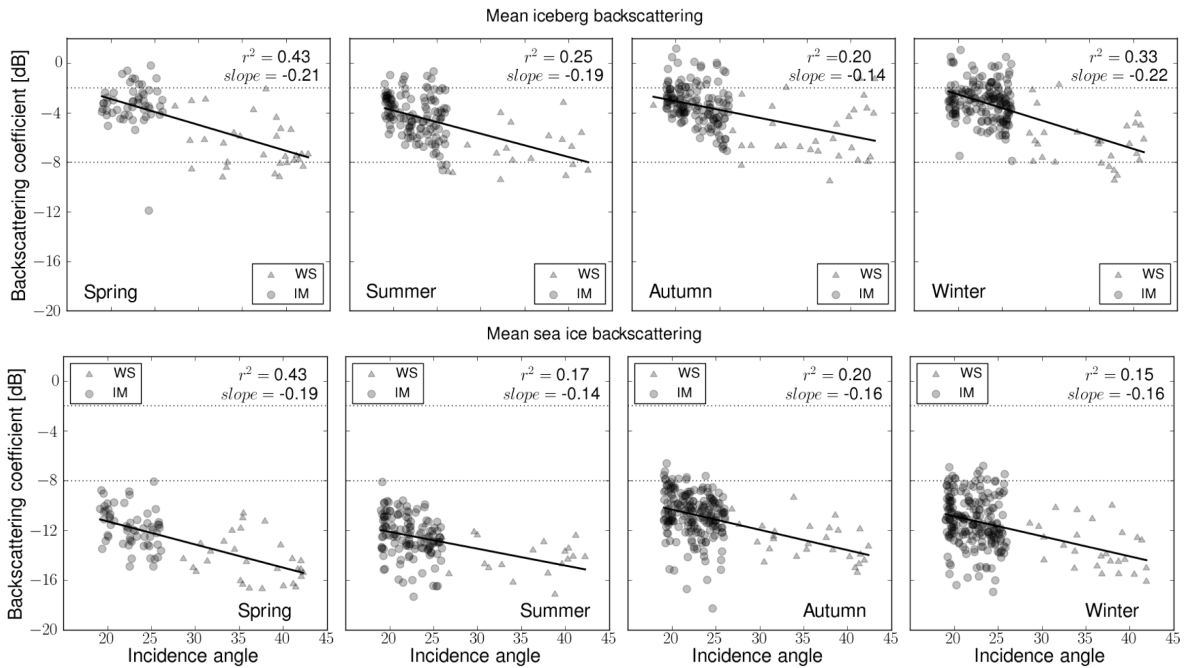


Fig. 3. Mean values of iceberg (top) and sea-ice backscattering coefficients (bottom) as a function of the incidence angle and season. The solid line shows the mean trend. In the upper right corner, the correlation coefficient and the slope of the linear regression are given.

216 for evaluating the VMR. Hence, the choice of the K-distribution for describing the variations of the measured backscat-
 217 tering coefficient is justified. Maximum and mean VMRs are considerably larger for icebergs than for sea ice, which is
 218 interpreted as a larger variability of the 'true' backscattering coefficient on icebergs.

219 According to Figure 3, the intensity contrast between icebergs and sea ice is on average about 7 to 8 dB larger, inde-
 220 pendent of incidence angles and season. This is in contradiction to Haas (2001), who found a spatially partly rapid rise
 221 of the backscattering coefficient for sea ice measured by the ERS-1/2 scatterometer in western Antarctic waters (Weddell,
 222 Amundsen, and Bellingshausen Seas) during summer months. This was attributed to layers of superimposed ice. This
 223 type of ice forms at air temperatures close to or above zero degrees due to melting and refreezing processes at the snow-
 224 ice interface and contains many air bubbles, which scatter the radar waves at C-band. In such a case, the intensity contrast
 225 between icebergs and sea ice is lowest in summer, provided that radar backscattering coefficients of icebergs do not vary
 226 over the season (for which we do not have any evidence in our data). Specifically for the southern Weddell Sea, at the
 227 position of our test site, patterns of seasonal variations of the sea ice backscattering coefficients with distinct summer
 228 maxima were only observed for single years (Figure 2 in Haas (2001)).

229 The meteorological data for summers 2005/2006 and 2006/2007 show temperatures alternating between values above
 230 and below zero degrees (Figure 4), which means that superimposed ice could have formed. We have hence no direct
 231 evidence that the existence of superimposed ice is less widespread at our test site than in other areas investigated by

Table 1. The average, maximum and minimum variance-to-squared-mean ratio (VMR) of the ROIs used for calculating the backscattering coefficients shown in Figure 3.

Season	VMR	IM		WS	
		Iceberg	Sea ice	Iceberg	Sea ice
Spring	Mean	0.47	0.30	0.23	0.11
	Min	0.21	0.16	0.04	0.04
	Max	1.35	0.73	0.92	0.31
Summer	Mean	0.44	0.29	0.18	0.12
	Min	0.15	0.15	0.04	0.05
	Max	1.15	0.64	0.88	0.23
Autumn	Mean	0.50	0.30	0.18	0.13
	Min	0.15	0.15	0.05	0.05
	Max	2.23	0.68	0.61	0.29
Winter	Mean	0.54	0.26	0.17	0.13
	Min	0.19	0.15	0.05	0.06
	Max	2.32	0.54	0.98	0.26

232 Haas (2001). The conclusion is that our result presented in Figure 3, which do not reveal any significant variations of the
 233 intensity contrast between icebergs and sea ice, may not be valid in general.

234 In order to investigate whether there are systematic regional variations in backscattered radar intensities of icebergs,
 235 the mean backscattering coefficients in the 20 to 25° incidence angle interval are presented for autumn in Figure 5. The
 236 backscattering coefficient varies by about 7 dB in a relatively small region, but a clear large-scale pattern of variation
 237 cannot be recognized.

238 We selected different icebergs for the analysis of local backscattering variations and assumed that they broke off at
 239 different locations along the coast of Antarctica. This means that one has to consider local/regional differences of ice
 240 properties at the calving sites and the time that each iceberg drifted from its calving site to the positions shown in Figure 5.
 241 Older icebergs have been affected by one or more summer melting periods. It can hence be expected that the surface and
 242 subsurface characteristics and therefore the backscattering characteristics of the icebergs differ.

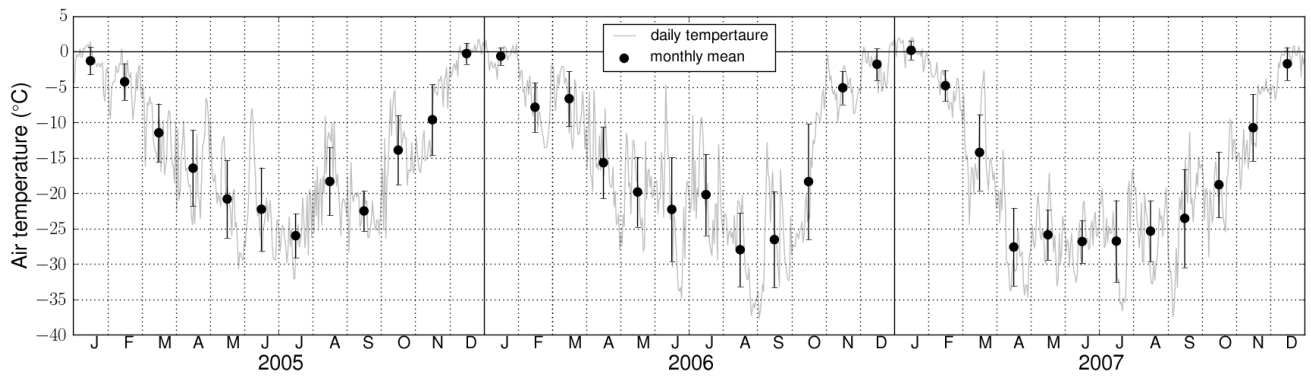


Fig. 4. Air temperature for the years 2005, 2006, and 2007 for the test site north of Berkner Island. Daily temperature taken from ECMWF. The error bars representing the standard deviation of the monthly mean.

243 For the development of classification rules, we investigated how well the measured backscattering coefficients of ice-
 244 bergs and sea ice are matched by the K-distribution. Although we found a relatively weak sensitivity of the backscattering
 245 coefficient to the incidence angle both for icebergs and rough sea ice, we calculated histograms for each season as a
 246 function of incidence angle, considering the fact that in other regions around Antarctica, smooth first-year ice is more
 247 common. An example is given in Figure 6. Here, the histograms were generated from the pixel values of all icebergs that
 248 were visually identified in the SAR images acquired during winter. Attention was paid to get a representative selection
 249 of icebergs (different sizes with their positions spread all over the images). The quality of the theoretical K-distributions
 250 was tested with the Kolmogorov-Smirnov goodness-of-fit test, which uses the maximum absolute value of the deviation

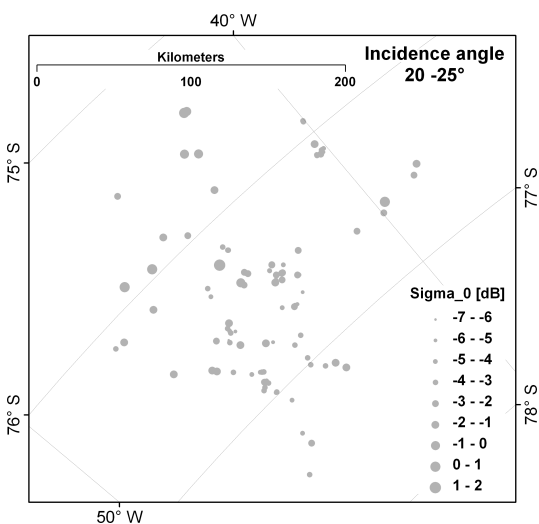


Fig. 5. Mean backscattering coefficients of icebergs in IM (dots), shown for autumn observation over the Southern Weddell Sea test site for the 20 to 25° incidence angle interval.

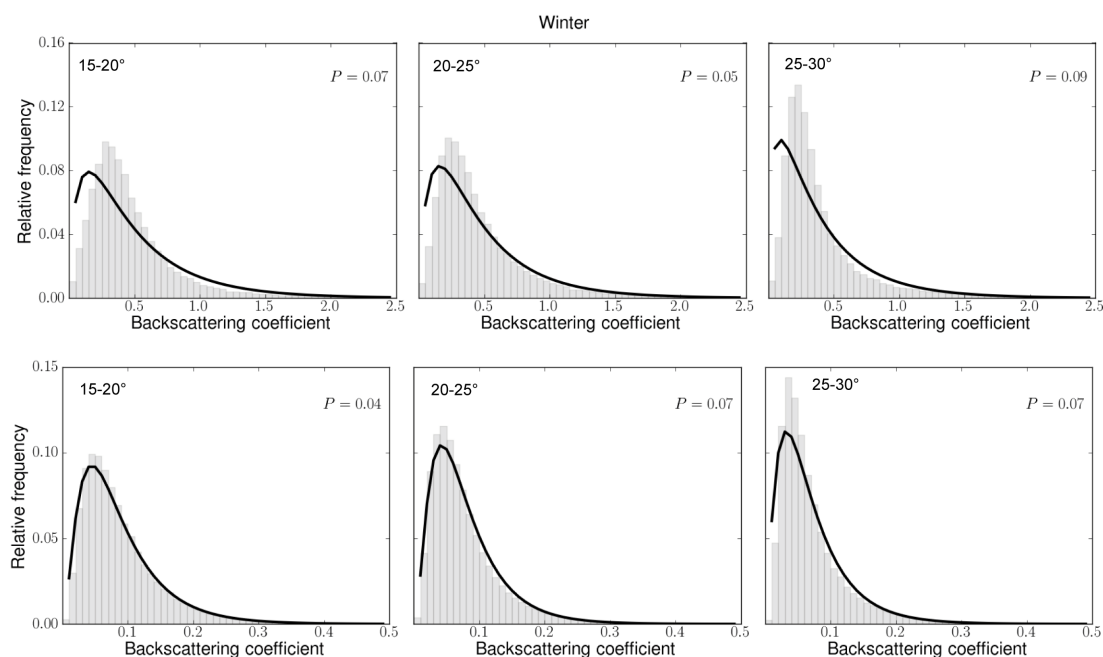


Fig. 6. Example of histograms of the measured backscattering coefficients of icebergs (upper) and sea ice (lower) in the southern Weddell Sea during winter. Backscattering coefficients are given in linear scale. The thick black line shows the K-distribution calculated using Equation 1. The incidence angle interval is provided in the upper left corner and the P value of the Kolmogorov-Smirnov test in the upper right corner of each graph.

251 between the measured and the theoretical cumulative distributions (P), in our case for the backscattering coefficients in
 252 linear scale. The corresponding P values were between 0.02 and 0.21, with means of 0.08 for icebergs in IM images, 0.06 for
 253 sea ice in IM images, 0.11 for icebergs in WS images, and 0.09 for sea ice in WS images. All measured distributions could
 254 be successfully modeled by the K-distribution at a high significance level (99%). This was checked using the quality value
 255 P which should be smaller than 0.23 for our sample size ($N=50$), if theoretical and measured distributions were compared
 256 on the 99% significance level.

257 Antarctic Peninsula region

258 The ERS-2 images from the tip of the Antarctic Peninsula were pre-processed in the same way as the ENVISAT images for
 259 the southern Weddell Sea test site. The images we had available are from spring and summer of the years from 2000 to
 260 2003. Periods for which the ocean surface is ice-free or ice concentration is low occur frequently during summer months
 261 at this test site. Rapid changes of the "background" radar intensity are typical for such periods due to changing wind
 262 and wave conditions. The data were separated into a group of images with bright appearing icebergs and another group
 263 with dark icebergs. Dark icebergs were found only in the warmer summer months (December, January, and February).

Table 2. Wind conditions, air temperature, mean backscattering coefficients (σ_0) of open water and icebergs (in dB scale), and satellite flight direction on different days. The directions are given in degree, where 0° is N and 90° is E. The platform heading is given corresponding to the scene center in degree from north.

Date	Wind speed [m/s]	Wind direction [°]	Temperature [°]	Mean σ_0 of open water	Mean σ_0 of icebergs	Platform heading [°]
15 Jan 2002	14	170	-0.2	-4.79	-12.95	337
18 Jan 2002	7	270	+0.9	-8.24	-13.87	337
14 Dec 2002	16	315	+2.8	-5.01	-13.22	201
18 Jan 2003	2	190	-1.8	-9.30	-13.62	201

264 In spring only bright icebergs were observed, and two summer images, recorded on 17 February 2001, showed bright
265 icebergs as well.

266 The sea ice concentration was nearly zero in the warmer summer months. Therefore we included an investigation of
267 the backscattering coefficients of the open water areas. The available images, which contain black icebergs, were recorded
268 on four different dates: 15 January 2002, 18 January 2002, 14 December 2002, and 18 January 2003. Wind conditions,
269 which were taken from ECMWF, were highly variable in the region of interest (Table 2). The well known sensitivity of
270 ocean backscattering coefficients to wind speed and direction is clearly reflected in Table 2. The average backscattered
271 intensities of the icebergs do not change significantly.

272 For further investigations, we used the images recorded in December 2002 and January 2003 because they cover a
273 relatively large number of "dark" icebergs. The histograms in Figure 7 are two examples of the measured backscatter coef-
274 ficient distributions for dark icebergs and open water in comparison to the K-distribution. For all cases there is a decrease
275 in the occurrence of larger backscattering intensities at higher incidence angle intervals, as expected. The relatively large
276 differences between the open water histograms from December and January are caused by the different wind conditions
277 (see Table 2). For this test site, we obtained maximum deviations between the measured and the theoretical distributions
278 (P) from 0.04 to 0.17, with means of 0.06 for bright icebergs, 0.07 for dark icebergs, 0.07 for sea ice, and 0.09 for open
279 water regions. The Kolmogorov-Smirnov goodness-of-fit tests resulted in a good agreement between the measured and
280 the theoretical distributions at a high significance level (99%).

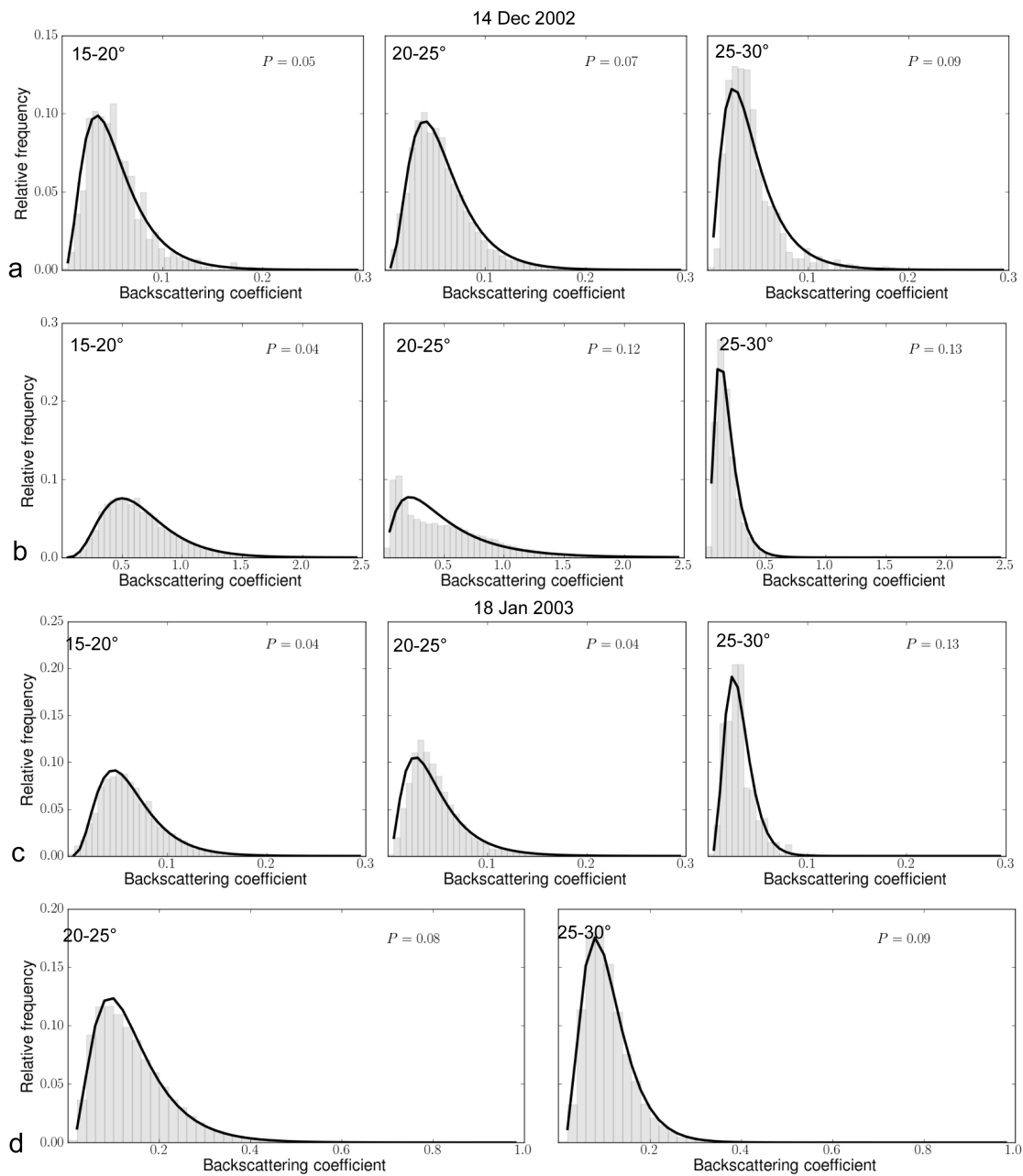


Fig. 7. Histograms of the measured distributions of backscattering coefficient for dark icebergs (line a) and open water (line b) on 14 December 2002 (upper two) and 18 January 2003 (dark icebergs line c and open water line d). The thick black line shows the theoretical K-distribution. The incidence angle interval is provided in the upper left corner and the quality value P of the Kolmogorov-Smirnov test in the upper right corner of each graph. On 18 January 2003, there are no open water ROIs within the incidence angle range of 15 to 20° available.

281 DETECTION OF ICEBERGS

282 In this section, we describe the development of a threshold-based detection method for icebergs, i. e. the partitioning of the
 283 backscatter values into classes of icebergs, sea ice, and open water. Our method is based on a pixel-by-pixel approach. For

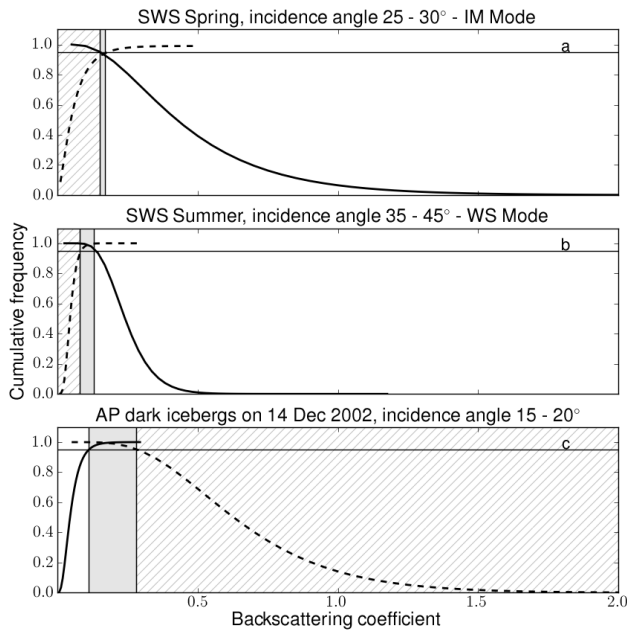


Fig. 8. Cumulative K-distributions of icebergs (bold black solid lines) and sea-ice (black dashed lines) derived from IM-images acquired in the southern Weddell Sea (SWS) in spring (graph 'a') at an incidence angle of 25 - 30° and from WS-images in summer at an incidence angle of 35 - 45° (graph 'b'). Graph 'c' shows the cumulative K-distributions of the ocean surface (black dashed line) and dark icebergs (bold solid black line) at incidence angles of 15 - 20° at the Antarctic Peninsula (AP). Horizontal lines mark a cumulative relative frequency of 0.95, vertical lines correspond to thresholds for the backscattering coefficients of icebergs, sea ice, and open water. Grey areas represent the backscattering range classified as "mixture", diagonal hatched areas indicate class sea ice/open-water, and white areas cover class icebergs.

284 the investigations presented in the preceding sections we separated the data by season, and for each season, we arranged
 285 the data by different incidence angle interval of 5 or 10° width, dependent on the number of iceberg pixels. To these groups
 286 of data, theoretical K-distribution functions were fitted (e.g. Figures 6 and 7). We used the respective theoretical functions
 287 to derive relative cumulation distributions from which intensity thresholds between icebergs and sea ice or open water
 288 were determined (see Figure 8), considering different conditions such as bright icebergs surrounded by sea ice or dark
 289 icebergs surrounded by open water. For bright icebergs (in the SWS region and spring at AP) and for the ocean surface at
 290 higher wind speeds (summer at AP), the K-distributions were cumulated from the large to the small backscattering coef-
 291 ficients, and for dark icebergs (summer at AP) and sea-ice (in SWS region) from small to large backscattering coefficients.
 292 The threshold was chosen at a relative cumulative frequency of 0.95 (horizontal line in Figure 8). We emphasize here that
 293 the result obtained for the Antarctic Peninsula is only valid for the specific wind conditions on 18 January 2003, but it is
 294 a useful example for demonstrating the principle. It is clear, however, that detection of dark icebergs is most reliable for
 295 high wind speeds, and detection of bright icebergs for low wind speeds.

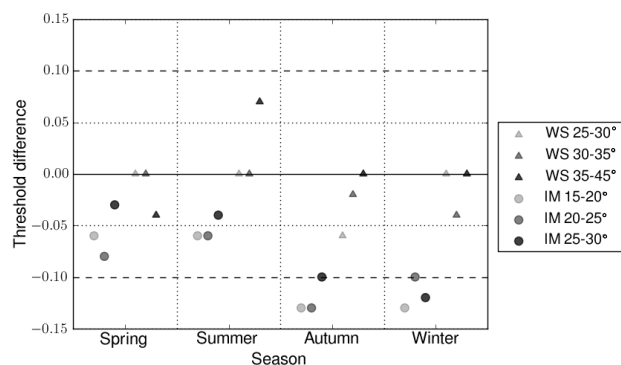


Fig. 9. Mean difference of '0.95-thresholds' (at linear scale) between icebergs and sea ice for each season at the southern Weddell Sea test site. Circles representing IM images and triangles WS images. The color code shows the incidence angle range.

296 The range of backscattering coefficients shown in Figure 8 was separated into three different classes: (1) icebergs (white
 297 area), (2) mixture (grey area), and (3) sea ice (diagonal hatched area). In general, the positions of the 0.95 relative frequency
 298 threshold are different for icebergs and sea ice/open water. The differences between the 0.95-thresholds for icebergs and
 299 for sea ice are shown for all incidence angle intervals over a whole seasonal cycle for the Weddell Sea test site in Figure 9,
 300 using IM and WS data. Positive values are optimal for detection. They indicate that the number of iceberg and sea ice
 301 pixels with identical values of the backscattering coefficient is small (Figure 8b and c). Negative differences mean that
 302 the 0.95-cumulative frequency level of the icebergs is reached at lower backscattering coefficients than the one for sea-ice
 303 (Figure 8a). Since the final iceberg-threshold is determined by the upper intensity limit of the mixture zone (in case of
 304 bright icebergs), it corresponds to a cumulative frequency level less than 0.95. This means that more sea ice pixel and
 305 less iceberg pixels are classified correctly. The results presented in Figure 9 reveal a weak advantage for iceberg detection
 306 when spring and summer data are used. We assume that the larger negative threshold differences found in the autumn and
 307 winter IM-images are related to "unfavorable" sea ice conditions characterized by patterns of relatively high backscattering
 308 intensities due to sea ice deformation. Overlaps between classes 'icebergs' and 'sea ice' were in general smaller in the
 309 WS-images than in IM data. This may be due to a 'smearing' effect on the backscattering signature of narrow sea ice
 310 deformation patterns within one pixel of the coarse-resolution image. The threshold difference is in general dependent on
 311 the intensity contrast between icebergs and sea ice and hence on local and temporal variations of sea ice conditions.

312 As a next step, the derived thresholds were applied to all images available for our study, on a pixel-by-pixel basis, con-
 313 sidering the respective incidence angle range. Each pixel was then marked by a number indicating the class. Figure 10a
 314 shows the zoom-in of an unfiltered SAR image covering one large iceberg surrounded by sea ice of different age and a
 315 lead, which either was a calm open water surface or thin new ice (black in Figure 10a and e). The different grey tones
 316 in Figure 10a correspond to radar intensities given as sigma nought in linear scale. The result after applying the detec-

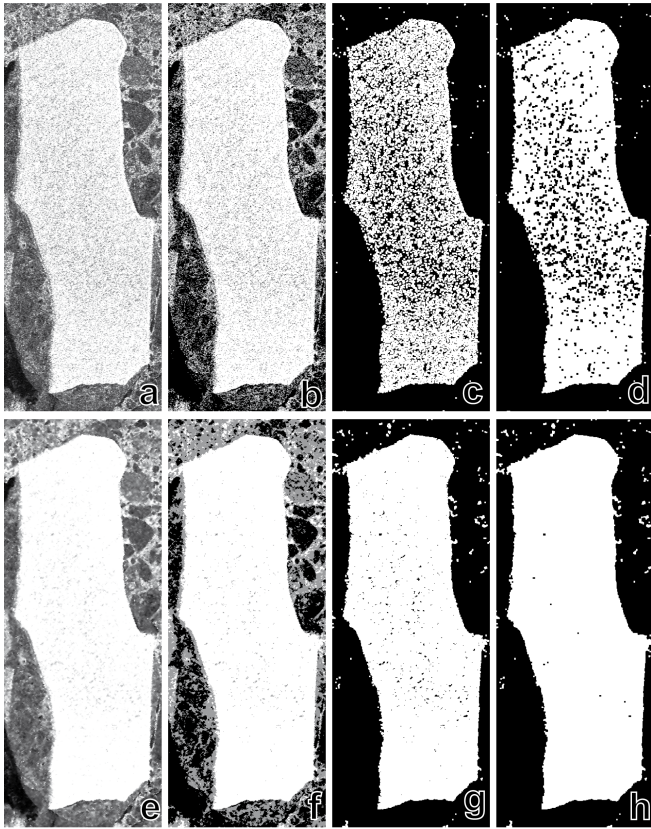


Fig. 10. Subset of an IM image in the southern Weddell Sea region from 1 November 2006. Image (a) is the input (linear sigma nought), (b) classification by thresholds, (c) application of opening filter, (d) application of an additional closing filter, (e) image after enhanced Lee filtering of the input data (a), (f) - (h) same processing steps as (b) - (d). (Image credits: ESA). The iceberg has a longitudinal axis of 18 km.

317 tion thresholds is depicted in Figure 10b. The iceberg is identified very well, but there are also false detections (sea ice
 318 deformation features identified as icebergs) and missing pixels within the iceberg. As shown by Willis and others (1996),
 319 morphological filters may help to reduce the false detection rates. For detailed information on morphological filters the
 320 reader is referred to Haralick and others (1987). An opening filter, which is composed of morphological erosion followed
 321 by morphological dilation, at a kernel size of 3×3 pixels was applied to the threshold image. The result is not satisfying
 322 (Figure 10c). To fill gaps between single iceberg targets, a closing filter (dilation followed by erosion), at a kernel size of 3
 323 $\times 3$ pixels, was used in a next step. The number of missing pixels over the iceberg was reduced, but the remaining gaps
 324 are still numerous (Figure 10d). A considerable improvement of detection was achieved by using an enhanced Lee filter
 325 (kernel size 3×3 pixels, applied to the starting image, Figure 10e) before classification by thresholds (Figure 10f). The
 326 enhanced Lee filter reduced the image speckle while preserving the texture (Lopes and others, 1990). Figures 10g and h
 327 show the results of morphological filtering.

328 The performance of the different processing steps was tested by comparing the results of the threshold-classified and
329 filtered images with the manually chosen icebergs, sea ice, and open-water ROIs as reference. The result of this comparison
330 for the Weddell Sea test site is shown in Figure 11 separately for the different seasons. The height of the bars shown in
331 Figure 11 gives the percentage of the correctly classified iceberg and sea ice pixels, respectively. This means, for example,
332 that in IM (WS) images, on average 2.6 (5.9) percent of the iceberg pixels are erroneously classified as sea ice during
333 summer, and 8.7 (6.7) percent during winter, using the processing chain M5. In the case of sea ice, the corresponding
334 fractions of pixels classified as iceberg are 1.1 (16.7) percent for summer and 2.9 (2.5) percent for winter data. When
335 morphological filters are applied, wrongly classified areas of small size are already removed. It is easy to see that the sea
336 ice classification is more accurate in the IM-images than in the WS-data. This agrees with the result presented in Figure 9.
337 There, negative threshold differences indicate that the thresholds are shifted towards higher intensity values than the ones
338 corresponding to the 0.95-cumulative frequency level of the icebergs. However, for the result presented in Figure 11 the
339 spatial distribution of the pixel is important in the cases in which filters are applied, so that only the M1-case can directly
340 be compared to Figure 9.

341 In the case of icebergs, the application of the opening filter on the threshold images, without first applying the enhanced
342 Lee filter for speckle reduction, deteriorates the detection performance (M1 versus M2-bars in Figure 11). The successive
343 use of the closing filter improves the result (M3-bars in Figure 11). If an enhanced Lee filter is employed before classifica-
344 tion, the detection accuracy increases (M1 versus M4-bars, Figure 11). However, morphological filtering does not improve
345 the result (M4 versus M5-bars, Figure 11). In the case of sea ice, the application of morphological filters on the threshold
346 images, without a preceding enhanced Lee filter, was beneficial (M2 and M3-bars in Figure 11). The enhanced Lee filter
347 increased the classification accuracy only slightly (M4 versus M1-bars in Figure 11) and the gain of the morphological
348 filters was only marginal (M5 versus M4-bars in Figure 11). The results indicate that in general, it is sufficient to apply
349 the enhanced Lee filter followed by a threshold operation to separate icebergs and sea ice. The only exception was found
350 for sea ice in WS-images, for which the morphological filtering applied on M1-images leads to a considerable improve-
351 ment of the classification accuracy in particular in spring and summer data. For IM-images, spring and summer reveal
352 slightly better classification results. For the WS-data, we have no clear evidence for a particular season being optimal
353 for iceberg detection. In summary we found that the application of different filters on the input SAR image influences
354 the classification result, in some cases considerably. However, we could not establish a generally valid optimal filtering
355 approach, which comprises IM- and WS-images and different sea ice conditions, and, in the case of open water, different
356 wind conditions.

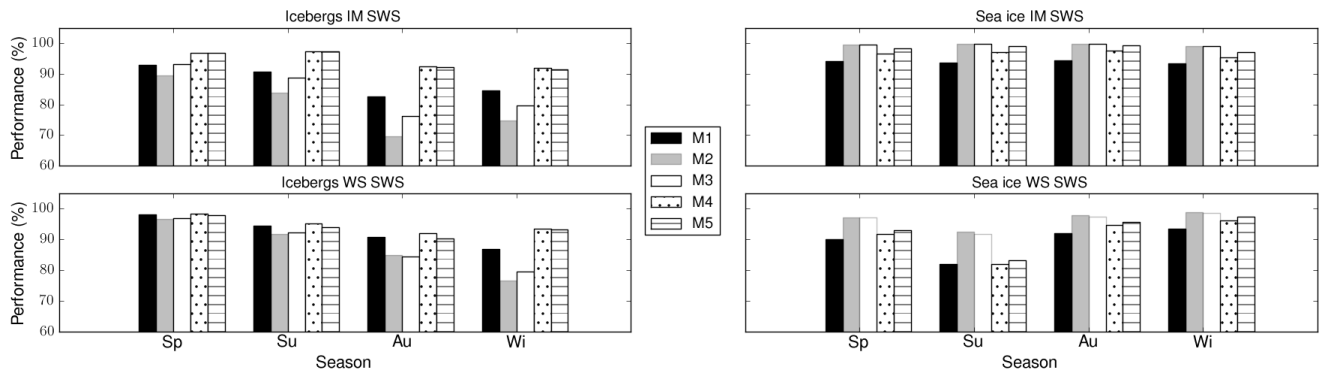


Fig. 11. Performance of the different processing steps shown in Figure 10 for the Weddell Sea test site. Bars are as follows: M1 = threshold, M2 = threshold and opening filter, M3 = threshold, opening and closing filter, M4 = enhanced Lee filter and threshold, and M5 = enhanced Lee filter, threshold, opening and closing filter. (Sp - spring, Su - summer, Au - autumn, Wi - winter).

357 TEST CASES: ESTIMATION OF TOTAL ICEBERG AREA

358 We tested the practical application of the detection algorithm in sea ice covered regions and applied it to the problem
 359 of estimating the total iceberg area. Two IM images recorded on 4 November 2006 in the southern Weddell Sea were
 360 combined in a mosaic and subsequently used for iceberg detection. For a direct comparison, ROIs were manually defined,
 361 each following the contour of one of the 29 icebergs clearly visible in the mosaic. The iceberg areas, calculated from sum
 362 of the pixels in the ROI (pixel size = $25 \times 25 \text{ m} = 625 \text{ m}^2$), varied between 0.02 and 728.75 km². We use these values as
 363 reference in the comparison to the results of the automatic iceberg classification and iceberg sizes derived thereof. Since
 364 we selected icebergs that could be visually identified without any problems in the SAR mosaic and covered at minimum
 365 more than 30 pixels, we regard our reference areas as highly reliable. Potential errors in the visual inspection can only
 366 occur along the edges of the ROI when pixels reveal backscattering values which cannot be clearly associated with one
 367 class (iceberg, sea ice, open water). In the visual inspection, this problem does not occur for such pixels inside the ROI.

368 The automatic (or unsupervised) determination of iceberg sizes is carried out on the basis of the classified images.
 369 We applied the processing chain M5 to the image mosaic (i. e. enhanced Lee filter, threshold, opening and closing filter)
 370 and the intensity thresholds for spring. The resulting image is then the input to a pixel-oriented segmentation algorithm
 371 which is a standard module of the used image processing software. Here, segments, i. e. clusters of connected pixels, are
 372 identified and marked so that the individual segments can automatically be separated afterwards. In relation to our visual
 373 inspection of the images, we selected 30 pixels as minimum cluster size. The output of the segmenation routine resulted
 374 in nearly 2000 detected segments. Besides "true" icebergs this includes also pixel clusters of classes 'sea ice' and 'mixture'
 375 erroneously identified as 'iceberg', whereby class 'mixed' was also regarded as 'sea ice'. Most of the high-intensity objects

376 in the SAR image are deformation zones (ridges, rubble, brash ice) in the sea ice cover, with areas between 0.02 and 9.7 km²
377 (calculated from the sum of clustered pixels). On the one hand, the automated approach "adds" contributions from false
378 detections to the total sum of iceberg pixels, on the other hand, it subtracts "true" iceberg pixels, which are classified as
379 sea ice. Two of the 29 manually detected icebergs, with areas of 0.02 km² and 0.13 km², respectively, were not detected at
380 all in the unsupervised classification. Comparing the automatically determined iceberg areas to the manual reference, we
381 found both negative and positive deviations, but on average, the iceberg size were overestimated by 10 ± 21 percent. A
382 value of 20 percent was obtained by Young and others (1998), who used an edge detection approach for identification of
383 icebergs.

384 For the calculation of the total iceberg area from the classification results of the unsupervised threshold algorithm,
385 objects with sizes less than 0.02 km² (corresponding to 30 image pixels) were regarded as false detections. We cannot
386 exclude that some of these objects are indeed icebergs. In the study by Young and others (1998), a reliable detection in
387 ERS-1 images (VV-polarization) was possible for icebergs with areas larger than 0.06 km², corresponding to six image
388 pixels at a size of 100 m × 100 m. Differences in the sea ice conditions are the reason why we had to select a threshold
389 of 30 image pixels of 25 m in size for the minimum detectable size of the icebergs. In the study by Young and others
390 (1998), the icebergs were mostly surrounded by a background of first-year ice and partly by open water and thin ice.
391 The backscatter value of the background was less than -10.5 dB in 99 percent of all cases. As Figure 3 above reveals, the
392 observed backscattering coefficients of sea ice at our test site can be as large as -7 dB at HH-polarization using IM-mode
393 data at an incidence angle range comparable to ERS-1. This is attributed to a rough ice surface and the presence of multi-
394 year ice for which the backscattering coefficients can be larger than -7 dB at VV-polarization (Young and others, 1998). For
395 rougher ice, VV- and HH-polarization differ only slightly, as already mentioned above.

396 The size distribution of targets revealing a high backscattering coefficient (sea ice deformation zones and icebergs) is
397 shown in Figure 12(left). It is obvious that for this special case, the total areas of smaller icebergs are critically overesti-
398 mated.

399 Further tests for iceberg detection were carried out using WS images acquired over the Weddell Sea test site. In Fig-
400 ure 13a, an example recorded on 1 November 2006 is shown. On the basis of the results on the effect of different filters
401 presented above, the test data were processed by applying opening and closing filter on the threshold image (M3, Fig-
402 ure 11). All visible icebergs were manually marked by ROIs following the iceberg margins. In the center, the iceberg A23-A
403 is visible. The A23-A is a fragment of A23, which calved from the Filcher-Ronne-Ice-Shelf in 1986. The A23-A broke off
404 in 1991 and is aground since then. For determining the intensity thresholds for classification, the A23-A and A-27 (only a

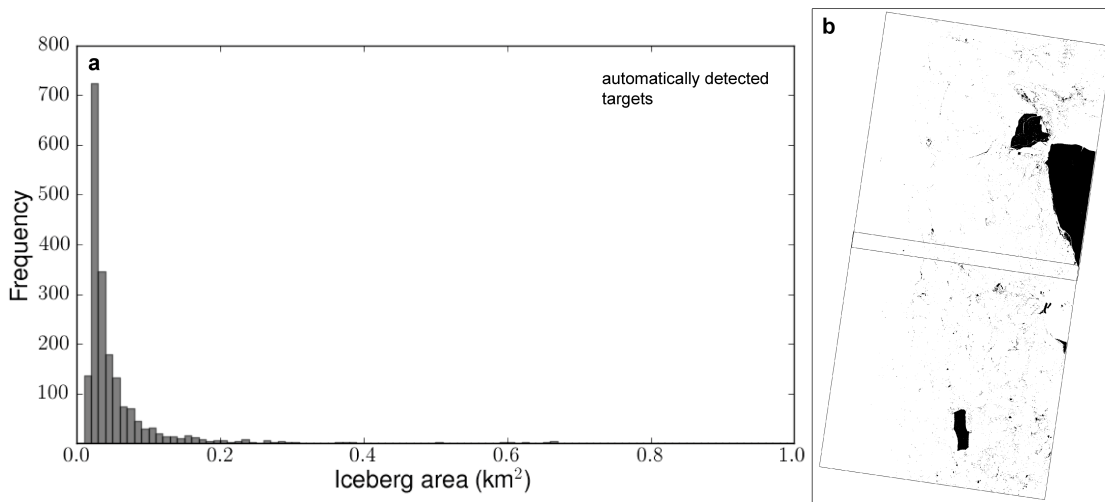


Fig. 12. Size distribution of automatically detected targets in IM images recorded in spring (a - left) and the detection image mosaic (b - right). The size range is here restricted between 0.02 and 1.0 km². Black objects in the right image show pixels detected as icebergs. The rectangles in the right plot are the frames used for the SAR image mosaic.

405 small part of the A27 is visible at the upper edge of the image) were excluded because we are in particular interested in
 406 the smaller icebergs.

407 In the WS image shown in Figure 13 (upper), which represents the result of the automatic classification procedure, alto-
 408 gether nearly 600 false detections occurred with areas between 0.7 and 567.7 km². Of the 101 manually detected icebergs,
 409 three with sizes between 0.7 and 0.9 km² were missed. The areas of six icebergs were underestimated by an average area
 410 fraction of 28 ± 19 percent. Six icebergs were overestimated by more than 500 percent and 50 icebergs by on average 52
 411 ± 87 percent. If we define an area detection as correct when the deviation is less than ± 10 percent, the sizes of eight
 412 icebergs were correctly retrieved by the automatic procedure. The separation of adjacent icebergs failed 25 times. In the
 413 comparison between the performances of the manual and automated procedure it was considered that in some cases a
 414 group of individual icebergs was combined into one object (segment) by the automated algorithm. Therefore the sizes of
 415 the manually identified icebergs belonging to one group were summed up and compared to the size of the corresponding
 416 object resulting from automatic classification.

417 As can be recognized from Figure 13 not only some of the smaller icebergs but also a larger number of deformation
 418 structures in the sea ice are not identified by the automated algorithm. An adjustment of the threshold that would classify
 419 most of the iceberg pixels correctly would also increase the number of false detections (i. e. classifying sea ice deformation
 420 zones as icebergs) since the backscattering coefficients between icebergs and deformed sea ice overlap.

421 For the SAR image shown in Figure 13, the size distributions of automatically detected high-backscatter objects and
 422 manually identified icebergs are presented in Figure 14. The smallest object, that is found by the detection algorithm

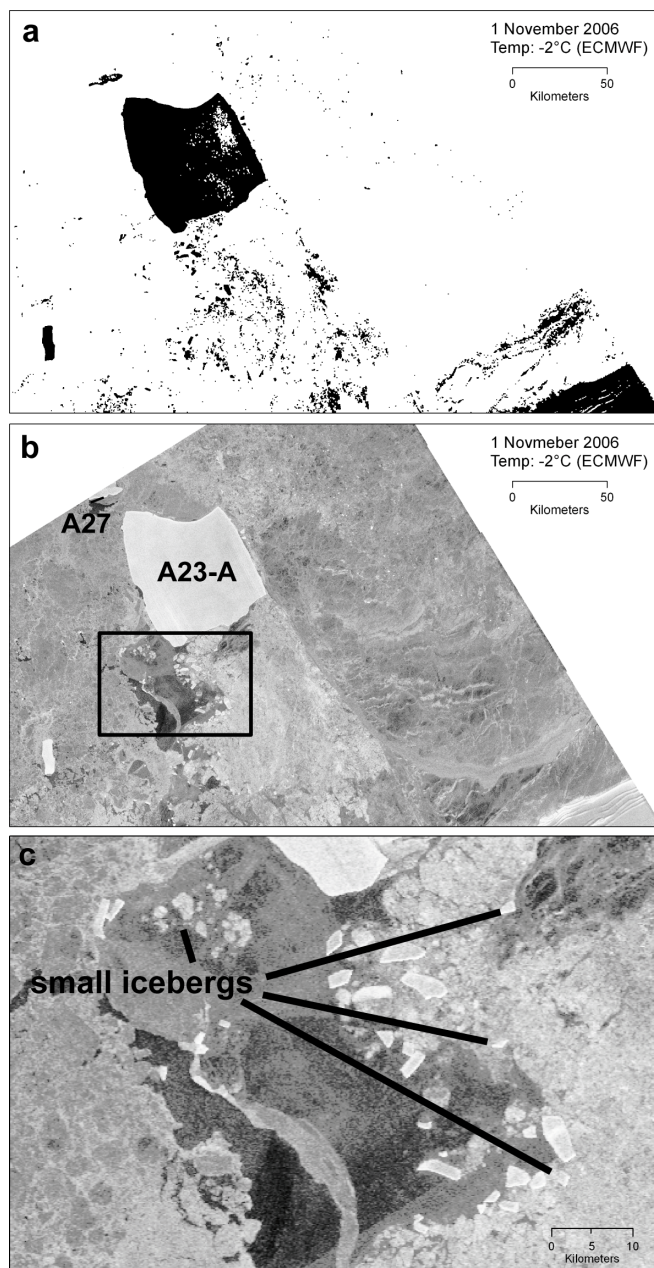


Fig. 13. Detection result (using M3 method - threshold, opening and closing filter) in WS image recorded on 1 November 2006 over the Weddell Sea test site (a). The black objects are objects detected as icebergs. In image (b), the corresponding SAR image is shown. The ice shelf (lower right corner) was excluded from the analysis. The black rectangular in image (b) shows the location of the subset image (c). Image Credits: ESA.

423 covers 30 image pixels, which corresponds to an area of 0.675 km^2 . The reason is that we used a limit for the minimum
 424 size of the icebergs (30 pixels), which can be detected reliably. Again, the "true" size distributions of icebergs (lower graph
 425 of Figure 14) differ significantly from the one obtained automatically, which includes both icebergs and sea ice deformation
 426 zones (upper graph).

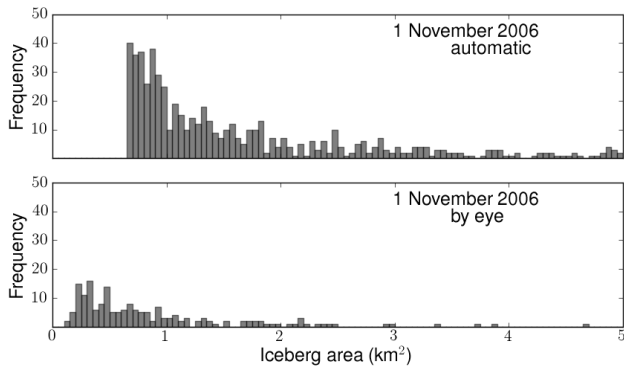


Fig. 14. Size distributions of automatically (M3 - threshold, opening and closing filter) detected objects (upper) and manually detected icebergs (lower) in WS image recorded on 1 November 2006. The x-axis was cut off at 5 km².

427 CONCLUSION

428 We investigated the detection of icebergs in SAR images from the Weddell Sea, focussing specifically on smaller icebergs
 429 from less than 10 nm side length down to sizes of 0.02 km². We had ENVISAT ASAR IM- and WS mode data at HH-
 430 polarization available, acquired north of Berkner Island during 2006, and ERS-2 data at VV-polarization from a region
 431 east of the tip of the Antarctic Peninsula that were taken in spring and summer months from 2000 to 2003.

432 Based on the SAR data, we analyzed the influence of different parameters on variations of the radar intensity backscat-
 433 tered from icebergs. These parameters were the radar incidence angle, the orientation of the iceberg relative to the radar
 434 look direction, and the season of data acquisition. Relative to the other parameters, the sensitivity to the radar incidence
 435 angle was largest, but the absolute value of the correlation coefficient was small. This indicates that for our test cases,
 436 backscattering from the ice volume or from a very rough surface was dominant. Systematic spatial or temporal variations
 437 of iceberg signatures could not be recognized.

438 For our southern Weddell Sea test site we did not find any significant seasonal differences in the intensity contrast
 439 between icebergs and sea ice. We observed that backscattering coefficient of icebergs and sea ice were slightly lower during
 440 spring and summer. This is in contradiction to scatterometer data of seasonal backscatter variations of sea ice around West-
 441 Antarctica with summer maxima at many locations and over a number of years (Haas, 2001). Thus, it is possible that our
 442 result is not generally valid. Considering our finding that iceberg radar intensities do not reveal a seasonal maximum,
 443 iceberg identification may hence often be more difficult in summer. The recognition of icebergs in the open ocean and
 444 in low-concentration sea ice depends strongly on the meteorological conditions and the ocean wave field. The radar
 445 signatures of open water areas vary with changing wind conditions (speed, direction), the ones of sea ice and icebergs
 446 change drastically at the onset of melting (e. g. "black" icebergs observed close to the Antarctic Peninsula). This item is
 447 further discussed at the end of this section.

448 We found that a K-distribution matches well with the observed radar intensity variations of icebergs, sea ice, and open
449 water. By opposing the cumulative K-distributions of icebergs and sea ice or water separately for the four seasons we
450 established radar intensity thresholds as a function of incidence angle range (excluding huge named icebergs). We did
451 not observe a robust temporal sensitivity of the differences between iceberg and sea ice backscattering in our data. Except
452 the fact that the IM-mode data make it possible to identify smaller icebergs (down to approximately 0.02 km² compared
453 to 0.7 km² for WS-mode), the results for radar scattering characteristics from IM-mode compared well with the WS-mode
454 (images were acquired at different days).

455 The overall performance for iceberg detection in sea ice (i. e. considering iceberg pixels classified as sea ice and sea
456 ice pixels classified as iceberg) is similar at both coarser and higher spatial resolution (WS: 150 m versus 30 m for IM).
457 Significant differences could not be affirmed (Figure 11). We investigated how the processing of the images before clas-
458 sification, i. e. the application of speckle- and morphological filtering affects the iceberg identification. We found that the
459 classification accuracy increases when the enhanced Lee-filter is used. In this case, a successive application of morpholog-
460 ical (opening and closing) filters did not reveal significant improvements. If the Lee-filter was not used, morphological
461 filtering reduced the accuracy of iceberg detection but improved sea ice classification. An optimal, generally valid filtering
462 procedure cannot be recommended at this point except the application of speckle filters.

463 Finally, we presented detailed examples of detection / classification results using both IM and WS-mode data from
464 the test site north of Berkner Island. We could demonstrate that adverse sea ice conditions (i. e. the presence of strong
465 deformation patterns) have a large influence on the detection result and any parameters derived based on the classified
466 image (with classes 'iceberg' and 'background').

467 Optimal situations for iceberg detection are low wind speed and freezing conditions. By combining model simulations
468 of ocean radar signatures as a function of wind speed and direction with a larger number of data than we had available for
469 this study, a more detailed method for robust detection of icebergs in open water areas could be developed. With smooth
470 new and first-year ice as background, icebergs are easier to recognize. This suggests early winter as the optimum season.
471 However, if ice formation takes place on a rough water surface, wide belts of pancake ice may develop. Thin smooth
472 ice is rafted by the influence of wind forces, and ice ridges may form in slightly thicker first-year ice. In some regions
473 around Antarctica, the ice cover is perennial, with complex surface structures that may strongly scatter the incoming
474 radar waves. In all these cases, the backscattered radar intensity exceeds partly significantly the intensity level typical for
475 smooth first-year ice. For a reliable iceberg census, a manual verification stage after automatic iceberg detection, as also
476 applied by Young and others (1998), may hence be inevitable in case of critical sea ice conditions. The results of initial
477 automated iceberg detection are nevertheless highly valuable since they support any subsequent manual analysis. An

478 improvement of the approach presented in this paper could be to use quantitative measures of sea ice conditions (the
479 occurrence and timing of which may vary from year to year at a given location) and to determine those conditions, which
480 are better suited for iceberg detection than others. Hence, a two-step procedure is required: in the first step, regionally
481 sea ice conditions are analyzed, and if suitable, iceberg detection is carried out in the next step. For regions which reveal
482 long-lasting unfavorable conditions, the use of different radar bands (L, X) and different polarization modes may improve
483 the situation. This will be investigated in further studies.

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